

Thorsten Theobald:
 Real Algebraic Geometry and Optimization
 AMS Graduate studies in Mathematics, volume 241

Thanks to the readers for helping to improve the book by sending corrections
 and comments.

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Erratum

Chapter 1

P. 12, l. 11 from bottom: should say $0 \leq t \leq 2n - 2$

P. 18, Exer. 5: insert ‘every root α satisfies’

P. 18, Exer. 10: should say ‘ $p = x^3 + \dots$ ’

Chapter 2

P. 30, Exer. 2.3: should say ‘ $P = \{(x, y) \in \mathbb{R}^2 \dots\}$ ’.

Chapter 3

P. 35, l. 11 from bottom: Lemma 3.4 should be Theorem 3.4

P. 41, l. 2 from bottom: should say ‘read off from’.

P. 42, l. 4: should say ‘matrix S_2 ’.

P. 44, l. 10 of Ex. 3.20: should say ‘ $-\frac{1}{4}a^2 + b$ ’

P. 44, l. 1 of Thm. 3.27: should say ‘real closed field’

P. 48, last line of proof: should say ‘ $\exists x\Phi$ ’

Chapter 4

P. 59, l. 4 from bottom: should say ‘ $\deg(sf + rg)$ ’

P. 61, l. 10: should say ‘polynomials f_i ’

P. 61, l. 7: should say ‘through a double’

Chapter 6

P. 107, l. 1: should say 'resultant of p '

P. 117, l. 5 and l. 9: ' $\subset \Sigma[x, y]$ ' and ' $\subset \sigma[x]$ ' should say ' $\subset \mathbb{R}[x, y]$ ' and ' $\subset \mathbb{R}[x]$ ', respectively.

P. 117, last three lines of proof of Thm. 6.28: should say 'and H' is a product of even powers of $h_1, \dots, h_t$. This proves the claim.'

P. 122, proof of Ex. 6.38, l. 2 from bottom: after ' $1 - x$,' insert ' $(1 + x)^2, (1 - x)^2$,'

P. 123, proof of Thm. 6.39: replace ' $\dots$, due to the identity $N_1 N_2 \dots$ ' by the following: ' $\dots$, which follows from setting $g := p \pm q$, $h := p \mp q$ and considering the identity

$$\begin{aligned} \frac{N_1^2}{2} \mp pq &= \frac{1}{4} \left(N_1^2 + h^2 + \frac{1}{2N_1} ((N_1 + g)(N_1^2 - g^2) + (N_1 - g)(N_1^2 - g^2)) \right) \\ &= \frac{1}{4} \left(N_1^2 + h^2 + \frac{1}{2N_1} ((N_1 + g)^2(N_1 - g) + (N_1 - g)^2(N_1 + g)) \right). \end{aligned}$$

P. 126, l. 8: should say 'the function $\sqrt{\sigma_{m+1}}$ '

P. 126, last paragraph: There is a problem in the proof, since the assertion on p. 127 that $h_1, \dots, h_{n+1}$ have the Handelman property does not work as described. To fix the proof, replace the paragraph by the following: 'Let p be a strictly positive polynomial on the unit ball $K = \{x \in \mathbb{R}^n : g_1(x) \geq 0\}$. Further let P be a polytope with facets as tangent hyperplanes of K , such that P approximates K sufficiently well and p is still positive on P . We write P as $P = \{x \in \mathbb{R}^n : h_i(x) \geq 0, 1 \leq i \leq m\}$ with affine-linear polynomials h_i . Then there exists a Handelman representation

$$p = \sum_{\beta} c_{\beta} h_1^{\beta_1} \dots h_m^{\beta_m}$$

with nonnegative coefficients c_{β} .' As before, this gives a representation $p = \sigma_0(1 - \sum_{i=1}^n x_i^2) + \sigma_1$ with sums of squares σ_0 and σ_1 . Conclude with 'This shows $p \in \text{QM}(g_1)$ and thus the single polynomial $1 - \sum_{i=1}^n x_i^2$ has the Putinar property.'

P. 127, l. 1 of proof: should say 'Since $\text{QM}(g_1, \dots, g_m)$ is Archimedean'

P. 131, l. 1 and 2: should say $\gamma' = \gamma(1 + \tau/2)^2$

P. 131, l. 8 from bottom: should say $\sum_{e \in \{0,1\}^r} \sigma_e h_1^{e_1} \dots h_r^{e_r}$

P. 131, l. 7 from bottom: should say $N \pm h \in \text{QM}(g_1, \dots, g_m)$

Chapter 7

- P. 144, l. 3 from bottom: should say $\frac{-729}{4096} \approx -0.17798$
- P. 148, l. 6 or proof: should say $\sum_{i=1}^k \mathcal{L}_i(q_i, q_i)$
- P. 151, l. 2: should say 'moment relaxation.'
- P. 151, l. 4: should say 'with rank $M_d(y^*)$
- P. 151, l. 5: should say 'of the form $M_d(y^*) = v^*(v^*)^T$,
- P. 153, l. 2: should say $z = (z_0, z_1, \dots, z_d)$
- P. 153, l. 4 of proof of Thm. 7.19: should say $\sum_{i=0}^d z_i \sum_{j+k=i} q_j q_k$
- P. 154, l. 4 of paragraph after proof: should say $\mathcal{M}_n \subset \mathcal{P}[x]$
- P. 155, l. 2: should say $t \in \mathbb{N}$
- P. 160, l. 6 of equation (7.21): should say $\mathcal{L}_{g_j, \leq t - \lceil \deg(g_j)/2 \rceil}$
- P. 164, l. 3 after Ex. 7.36: should say $\mathcal{V}_{\mathbb{C}}(I)$
- P. 164, l. 6 from bottom: should say 'square root of $p(a)$ '
- P. 164, l. 3 from bottom: should say $q(x) = 0$ for all $x \in \mathcal{V}_{\mathbb{C}}(I)$
- P. 165, l. 4: should say $r_0(a) = p(a)$
- P. 166, l. 3 and 4: should say $\mathcal{L}_{g_j, \leq t - \lceil \deg(g_j)/2 \rceil}$ and $L \in (\mathbb{R}[x]_{\leq 2t})^*$, respectively
- P. 168, last line of proof: should say $|\mathcal{B}| = \dim(\mathbb{R}[x]/I)$
- P. 168, l. 2 after proof: should say $\lambda_1, \dots, \lambda_r > 0$
- P. 169, last two lines of proof of Cor. 7.42: should say 'evaluating $\mathcal{L}$ at the polynomials p_k '
- P. 170, l. 1 of Exer 7.4: should say 'for every $g_1, \dots, g_m \in \mathbb{R}[x]$ affine linear with'
- P. 170, l. 3 of Exer 7.4: should say 'Theorem 7.5'

Chapter 10

- P. 239, l. 4 of proof: should say $z_2 \geq z_3 \exp\left(\frac{z_1}{z_3}\right)$
- P. 239, l. 6 of proof: should say $\geq s_1 z_1 + (-s_1) \exp\left(\frac{s_3}{s_1} - 1\right) z_3 \exp\left(\frac{z_1}{z_3}\right) + s_3 z_3$
- P. 239, last line of proof: should say ' $z \in K_{exp}$.'
- P. 244, l. 1 from bottom: should say 'equal to $-d$.'
- P. 245, l. 3 before Thm. 10.9 should say $\sum_{\alpha \in \mathcal{A}} \nu_{\alpha} = 1$