

AMOEBAS OF GENUS AT MOST ONE

THORSTEN THEOBALD AND TIMO DE WOLFF

ABSTRACT. The amoeba of a Laurent polynomial $f \in \mathbb{C}[z_1^{\pm 1}, \dots, z_n^{\pm 1}]$ is the image of its zero set $\mathcal{V}(f)$ under the Log-map. Understanding the configuration space of amoebas (i.e., the decomposition of the space of all polynomials, say, with given support or Newton polytope, with regard to the existing complement components) is a widely open problem.

In this paper we investigate the class of polynomials f whose Newton polytope $\text{New}(f)$ is a simplex and whose support A contains exactly one point in the interior of $\text{New}(f)$. Amoebas of polynomials in this class may have at most one bounded complement component. We provide various results on the configuration space of these amoebas. In particular, we give upper and lower bounds in terms of the coefficients of f for the existence of this complement component and show that the upper bound becomes sharp under some extremal condition. We establish connections from our bounds to Purbhoo's lopsidedness criterion and to the theory of A -discriminants.

Finally, we provide a complete classification of the configuration space for the case that the exponent of the inner monomial is the barycenter of the simplex Newton polytope. In particular, we show that the set of all polynomials with amoebas of genus 1 is path-connected in the corresponding configuration space, which proves a special case of the question on connectivity (for general Newton polytopes) stated by H. Rullgård.

1. INTRODUCTION

Given a complex Laurent polynomial $f \in \mathbb{C}[\mathbf{z}^{\pm 1}] = \mathbb{C}[z_1^{\pm 1}, \dots, z_n^{\pm 1}]$ the amoeba $\mathcal{A}(f)$ (introduced by Gel'fand, Kapranov, and Zelevinsky [6]) is the image of its variety $\mathcal{V}(f)$ under the Log-map

$$(1.1) \quad \text{Log} : (\mathbb{C}^*)^n \rightarrow \mathbb{R}^n, (|z_1| \cdot e^{i\phi_1}, \dots, |z_n| \cdot e^{i\phi_n}) \mapsto (\log |z_1|, \dots, \log |z_n|),$$

where $\mathcal{V}(f)$ is considered as a subset of the algebraic torus $(\mathbb{C}^*)^n = (\mathbb{C} \setminus \{0\})^n$. Amoebas occur in and have rich connections to various fields of mathematics (including complex analysis [4], the topology of real algebraic curves [8], discriminants and hypergeometric functions [10, 11], or dynamical systems [3]) and in particular form a cornerstone of tropical geometry (see, e.g., [7, 9, 16]).

By Forsberg, Passare, and Tsikh [4], $\mathcal{A}(f)$ has finitely many complement components whose orders (as introduced in Section 2.1) map injectively to the integer points in the Newton polytope $\text{New}(f)$ (i.e., the convex hull of the exponents of f). For $\alpha \in \text{New}(f) \cap \mathbb{Z}^n$ let $E_\alpha(f)$ be the complement component with order α . Only very little is known concerning the existence and characterization of the complement components $E_\alpha(f) \subset \mathbb{R}^n$

2010 *Mathematics Subject Classification.* 14M25, 14Q10, 14T05, 52B20.

Key words and phrases. Amoebas, genus 1, configuration space, lopsidedness, A -discriminants.
Research supported by DFG grant TH 1333/2-1.

with orders α in terms of the coefficients of f (see Section 2.1 for some known properties), and thus understanding the configuration space of amoebas is a widely open field. For amoebas of linear polynomials an explicit characterization exists. Since in this case there does not exist a bounded complement component those amoebas are particular instances of amoebas of genus 0.

As a step towards better understanding the structure of amoebas of general, nonlinear varieties, we study a class of polynomials whose amoebas can have at most one bounded complement component. For a full-dimensional lattice simplex $\Delta \subset \mathbb{R}^n$, let \mathcal{P}_Δ denote the class of all Laurent polynomials with Newton polytope Δ . Let $\alpha(0), \dots, \alpha(n) \in \mathbb{Z}^n$ be the vertices of an n -simplex Δ and $y \in \mathbb{Z}^n$ be contained in the interior of Δ . Then let $\mathcal{P}_\Delta^y \subset \mathcal{P}_\Delta$ denote the class of Laurent polynomials of the form

$$(1.2) \quad f = b_0 \cdot \mathbf{z}^{\alpha(0)} + b_1 \cdot \mathbf{z}^{\alpha(1)} + \dots + b_n \cdot \mathbf{z}^{\alpha(n)} + c \cdot \mathbf{z}^y, \quad b_i \in \mathbb{C}^*, c \in \mathbb{C}.$$

W.l.o.g. we can assume that $\alpha(0)$ is the origin and $b_0 = 1$. Polynomials in \mathcal{P}_Δ have exactly $n + 2$ monomials. Note that we do not require that $\#(\Delta \cap \mathbb{Z}^n) = n + 2$, since the simplex Δ may contain further lattice points as long as the corresponding coefficients are 0. For general background on lattice point simplices (with one inner lattice point) see [1, 19]), and we remark that f can be regarded as supported on a circuit (see, e.g., [2, 17]). As explained in Section 2.2, $\mathcal{A}(f)$ can have at most one bounded complement component and thus there are only two possible homotopy types for $\mathcal{A}(f)$.

Our goal is to characterize the configuration space of the amoebas of the class of polynomials \mathcal{P}_Δ . After reviewing various properties of amoebas in Section 2, in Section 3 we provide bounds on the coefficients for the existence and non-existence of the inner complement component. These bounds – which are stated in Theorem 3.7 – are based on investigating the equilibrium points (as defined in Definition 3.2). We remark that, as a special case, the lower bound in Theorem 3.7 implies that maximally sparse polynomials with simplex Newton polytope have solid amoebas (Corollary 3.8); see Nisse [12] for a treatment on the solidness of amoebas for more general Newton polytopes.

In Section 4 we study the points where (for varying value of $|c|$) the complement component appears which provides improved bounds that even become tight in certain cases. Our main results are given in Theorems 4.1 and 4.4.

In Section 5 we connect our results to Purbhoo's lopsidedness criterion [18] and to the theory of A -discriminants (e.g. [6]). Lopsidedness provides a sufficient criterion for membership to the complement of an amoeba, and based upon this Purbhoo provided a sequence of approximations which converge to the amoeba. In our situation we can provide an exact characterization for genus 1 for all arguments of the inner monomial in terms of lopsidedness. See Theorem 5.3. With regard to A -discriminants we show that a polynomial f in our class has a complement component of order y such that the upper bound from Theorem 4.4 becomes sharp if and only if its coefficient vector belongs to the A -discriminant (Corollary 5.5).

In Section 6 we restrict to polynomials in \mathcal{P}_Δ^y with the additional property that the exponent y of the inner monomial is the barycenter of the simplex spanned by $\{\alpha(0), \dots, \alpha(n)\}$. For this class we can characterize the configuration space completely and in particular can show that the set of polynomials whose amoebas has a complement component of order

y is pathconnected (Corollary 6.7). The question whether the set of polynomials (w.r.t. a fixed support set A) having a certain complement component is connected was marked as an open problem by Rullgård [22] and is still widely open for non-vertices $\alpha(i)$ of $\text{conv } A$.

2. PRELIMINARIES

2.1. Amoebas. Let $A = \{\alpha(1), \dots, \alpha(d)\} \subset \mathbb{Z}^n$ and $f = \sum_{i=1}^d b_i \mathbf{z}^{\alpha(i)} \in \mathbb{C}[\mathbf{z}^{\pm 1}]$. The amoeba $\mathcal{A}(f) \subset \mathbb{R}^n$ as defined in (1.1) is a closed set with non-empty complement and each complement component of $\mathcal{A}(f)$ is convex (see [4, 6]). The *order map* is given by $\text{ord} : \mathbb{R}^n \setminus \mathcal{A}(f) \rightarrow \text{New}(f) \cap \mathbb{Z}^n$,

$$(2.1) \quad \mathbf{w} \mapsto \frac{1}{(2\pi i)^n} \int_{\text{Log}^{-1}(\mathbf{w})} \frac{z_j \partial_j f(z)}{f(z)} \frac{dz_1 \cdots dz_n}{z_1 \cdots z_n}, \quad 1 \leq j \leq n.$$

Since points in the same complement component have the same order, (2.1) induces an injective map from the set of complement components to $\text{New}(f) \cap \mathbb{Z}^n$, and thus the notation $E_\alpha(f)$ for $\alpha \in \text{New}(f) \cap \mathbb{Z}^n$ (as provided in the Introduction) is well defined. In particular, the number of complement components of $\mathcal{A}(f)$ is bounded by the number of lattice points in $\text{New}(f)$.

For the vertices α of $\text{New}(f)$, the complement component $E_\alpha(f)$ is always non-empty (for every choice of the coefficients of f), while the non-emptiness of $E_\alpha(f)$ for non-vertices α depends on the choice of the coefficients of f (see [4]). For any $\alpha \in A$ it is known that there exists some polynomial f supported on A for which the complement component $E_\alpha(f)$ is non-empty [22].

In order to study the configuration space, we can identify a polynomial $f = \sum_i b_i \mathbf{z}^{\alpha(i)}$ with its coefficient vector in \mathbb{C}^A . In our case it is useful and relevant to consider the subset \mathbb{C}_\diamond^A of \mathbb{C}^A with $\text{New}(f) = \text{conv } A$. Note that for $A := \{\alpha(0), \dots, \alpha(n), y\}$ with $\alpha(0), \dots, \alpha(n)$ the vertices of an n -simplex and y a lattice point in the interior of $\Delta := \text{conv } A$, the configuration space \mathbb{C}_\diamond^A is precisely \mathcal{P}_Δ^y .

For $\alpha \in \text{New}(f) \cap \mathbb{Z}^n$ let $U_\alpha^A = \{f \in \mathbb{C}_\diamond^A : E_\alpha(f) \neq \emptyset\}$ be the set of all polynomials in \mathbb{C}_\diamond^A whose amoeba has a non-empty complement component of order α . Note that complement components of amoebas are lower semicontinuous in \mathbb{C}_\diamond^A and thus the sets U_α^A are open sets (see [4, Prop. 1.2], [22]). Furthermore, all U_α^A are pseudo-convex, non-empty and semialgebraic sets (see [22]).

If one considers the image of a variety under the argument map, rather than the Log-map, the resulting set is called *coamoeba* and has recently also attracted attention (see [11, 12, 13]).

2.2. The tropicalization, the spine, and the complement-induced tropicalization. We introduce four polyhedral complexes which are naturally associated with an amoeba: the *tropical hypersurface*, the *equilibrium*, the *complement-induced tropical hypersurface* and the *spine*.

Recall that the *tropical semiring* $(\mathbb{R} \cup \{-\infty\}, \oplus, \odot)$ is given by the operations $a \oplus b := \max(a, b)$ and $a \odot b := a + b$ (where some expositions prefer the minimum instead of the maximum). For a tropical polynomial h , the *tropical hypersurface* $\mathcal{T}(h)$ is the set of points where the maximum is attained at least twice (see, e.g., [5, 20]). It is well known

that tropical hypersurfaces are polyhedral complexes which are geometrically dual to a subdivision of the Newton polytope of h .

Let $f = \sum_{i=1}^d m_i(\mathbf{z}) = \sum_{i=1}^m b_i \mathbf{z}^{\alpha(i)}$ with terms m_i and coefficients $b_i \in \mathbb{C}$, and $C := \{\alpha \in \text{New}(f) \cap \mathbb{Z}^n : E_\alpha(f) \neq \emptyset\}$ be the set of orders of the existing complement components. The *tropicalization* of f is the tropical polynomial (say, in the variables \mathbf{w})

$$\text{Trop}(f) = \bigoplus_{i=1}^d \log |b_i| \odot \mathbf{w}^{\alpha(i)},$$

and the *complement-induced tropicalization* is

$$\text{Trop}(f|_C) = \bigoplus_{\alpha(i) \in C} \log |b_i| \odot \mathbf{w}^{\alpha(i)}$$

(see, e.g., [14, 16, 22]). We set $\mathcal{C}(f) = \mathcal{T}(\text{Trop}(f|_C))$.

Define the (*modular*) *equilibrium* $\mathcal{E}(f)$ of f as the following superset of $\mathcal{T}(\text{Trop}(f))$ (and of $\mathcal{T}(\text{Trop}(f|_C))$),

$$(2.2) \quad \mathcal{E}(f) = \{\mathbf{w} \in \mathbb{R}^n : |m_i(\text{Log}^{-1}(\mathbf{w}))| = |m_j(\text{Log}^{-1}(\mathbf{w}))| \text{ for some } 1 \leq i \neq j \leq d\}.$$

The Ronkin function

$$N_f : \mathbb{R}^n \rightarrow \mathbb{R}, \quad \mathbf{w} \mapsto \frac{1}{(2\pi i)^n} \int_{\text{Log}^{-1}(\mathbf{w})} \frac{\log |f(z_1, \dots, z_n)|}{z_1 \cdots z_n} dz_1 \cdots dz_n$$

of a polynomial f is a convex function which is affine linear on the complement components of $\mathcal{A}(f)$ and can be interpreted as the average value of the fiber $\text{Log}^{-1}(\mathbf{w})$ ([21], cf. [14]). The gradient of $N_f(\mathbf{w})$ for a $\mathbf{w} \in \mathbb{R}^n \setminus \mathcal{A}(f)$ coincides with the order of the corresponding complement component ([4]).

By the affine linearity of $N_f(\mathbf{w})$ on every $E_\alpha(f)$, we have for all $\mathbf{w} \in E_\alpha(f)$ that $N_f(\mathbf{w}) = \beta_\alpha + \langle \alpha, \mathbf{w} \rangle$ with Ronkin coefficient

$$(2.3) \quad \beta_\alpha = \log |b_i| + \text{Re} \left[\frac{1}{(2\pi i)^n} \int_{\text{Log}^{-1}(0)} \log \left(\frac{f(\mathbf{z})}{b_i \cdot \mathbf{z}^\alpha} \right) \frac{dz_1 \wedge \dots \wedge dz_n}{z_1 \cdots z_n} \right].$$

The *spine* $\mathcal{S}(f)$ of $\mathcal{A}(f)$ is the tropical hypersurface of the tropical polynomial $\bigoplus_{\alpha \in C} \beta_\alpha \odot \mathbf{w}^\alpha$ and is therefore dual to an integral, regular subdivision of $\text{New}(f)$ (cf. [14, 22]).

The spine $\mathcal{S}(f)$ is a strong deformation retract of the amoeba $\mathcal{A}(f)$ (see [14]). In general, the complement-induced tropical hypersurface $\mathcal{C}(f)$ is not a deformation retract of $\mathcal{A}(f)$. However, for a certain rich subclass of Laurent polynomials we have ([22, Theorem 8, p. 33 and the proof of Theorem 12, p. 36]):

Lemma 2.1 (Rullgård). *Let $f \in \mathbb{C}[\mathbf{z}^{\pm 1}]$ with at most $2n$ monomials such that for all $k \in \{1, \dots, n-1\}$ no $k+2$ of its exponent vectors lie in an affine k -dimensional subspace. Then $\mathcal{C}(f)$ is a strong deformation retract of $\mathcal{A}(f)$.*

This implies in particular that for all polynomials f in \mathcal{P}_Δ^y the complement-induced tropical hyperplane $\mathcal{C}(f)$ is a deformation retract of their amoeba $\mathcal{A}(f)$. Thus there are just two possible homotopy types for polynomials f in \mathcal{P}_Δ^y since the tropical hypersurface $\mathcal{C}(f)$ is dual to a regular subdivision of the point set A .

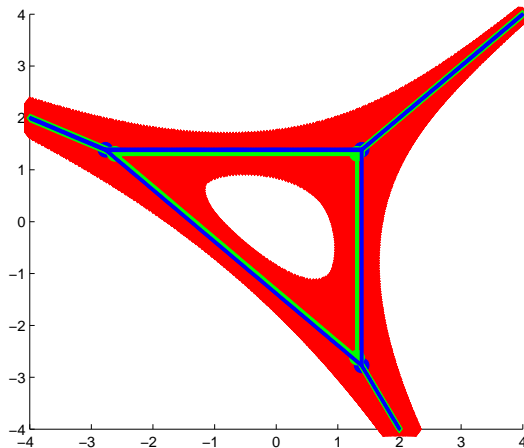


FIGURE 1. The amoeba $\mathcal{A}(f)$ (red) of $f = 1 + z_1^2 z_2 + z_1 z_2^2 - 4z_1 z_2$, with the spine $\mathcal{S}(f)$ (green, light) and the complement induced tropical hypersurface $\mathcal{C}(f)$ (blue, dark). Note that on the outer tentacles $\mathcal{S}(f)$ and $\mathcal{C}(f)$ coincide.

Although the spine (or in case of \mathcal{P}_Δ^y even $\mathcal{C}(f)$) is a tropical hypersurface, it is nevertheless difficult to compute the homotopy of $\mathcal{A}(f)$. Both the definitions of $\mathcal{S}(f)$ and $\mathcal{C}(f)$ depend on C and in general do not depend continuously on the coefficients of f ([14]).

Example 2.2. Given $\Delta = \text{conv}\{0, (2, 1), (1, 2)\}$, $y = (1, 1)$, and $f = 1 + z_1^2 z_2 + z_1 z_2^2 - 4z_1 z_2$ in \mathcal{P}_Δ^y , Figure 1 depicts $\mathcal{A}(f)$, $\mathcal{S}(f)$ and $\mathcal{C}(f)$.

2.3. Fibers. Let $f \in \mathbb{C}[\mathbf{z}^{\pm 1}]$. For our investigations the fibers of certain points $\mathbf{w} \in \text{Log}((\mathbb{C}^*)^n)$ under the Log-map play a key role. Any such fiber is a real n -torus $[0, 2\pi)^n$, and f induces a function $\mathcal{F}_{\mathbf{w},f}$ on the fiber of a $\mathbf{w} \in \mathbb{R}^n$:

$$(2.4) \quad \mathcal{F}_{\mathbf{w},f} : [0, 2\pi)^n \rightarrow \mathbb{C}, \quad \phi \mapsto f(e^{w_1} \cdot e^{i\phi_1}, \dots, e^{w_n} \cdot e^{i\phi_n})$$

Notice that a point \mathbf{w} is contained in $\mathcal{A}(f)$ if and only if there exists some $\phi \in [0, 2\pi)^n$ with $\mathcal{F}_{\mathbf{w},f}(\phi) = 0$.

3. EQUILIBRIUM POINTS AND BOUNDS FOR THE INNER COMPLEMENT COMPONENT

From now on, we study polynomials $f \in \mathcal{P}_\Delta^y$ of the form (1.2). The monomials $b_i \mathbf{z}^{\alpha(i)}$ are called the *outer monomials* and $c\mathbf{z}^y$ is called the *inner monomial*. These polynomials form a “simplest” class of the polynomials where the characterization of the amoeba becomes “difficult”. Since an exact description of the complement components (and, in particular, the homotopy) is not available, one of our main goals is to provide bounds on the coefficients to determine the homotopy type of $\mathcal{A}(f)$. In this section, we focus on bounds which are obtained by investigating *equilibrium points* (as introduced in Definition 3.2).

As a starting point, recall that the complement components of amoebas of linear polynomials are well understood. By Forsberg, Passare and Tsikh [4, Proposition 4.2], for a

linear polynomial $f := \sum_{i=0}^n b_i z_i$ and a point $\mathbf{z} \in (\mathbb{C}^*)^n$, $\text{Log}(\mathbf{z})$ is contained in a complement component $E_{\alpha(i)}(f)$ if and only if $|b_i z_i| > \sum_{j \neq i} |b_j z_j|$. The following statement captures a slight generalization of this result to Newton polytopes that might contain interior lattice points.

Theorem 3.1. *Let $f := \sum_{i=0}^n b_i \mathbf{z}^{\alpha(i)}$ such that $\text{New}(f)$ is an n -simplex. For $\mathbf{z} \in (\mathbb{C}^*)^n$ we have $\text{Log}(\mathbf{z}) \in E_{\alpha(i)}(f)$ if and only if $|b_i \mathbf{z}^{\alpha(i)}| > \sum_{j \neq i} |b_j \mathbf{z}^{\alpha(j)}|$.*

Note that this theorem refers to the maximally sparse case, where an arbitrary polynomial f is called *maximally sparse* if for all non-vertices α of $\text{New}(f)$ we have $b_i = 0$.

For the convenience of the reader we provide a proof of Theorem 3.1 which is analogous to the proof of statement [4, Proposition 4.2].

Proof. The direction “ \Leftarrow ” is obvious. For the converse direction let $\mathbf{w} \in \mathbb{R}^n \setminus \mathcal{A}(f)$. Since the case $n \in \{1, 2\}$ is trivial, assume $n \geq 3$. We normalize such that $\alpha(0) = 0 \in \mathbb{Z}^n$ and $\arg(b_0) = 0 \in [0, 2\pi)$.

Order the monomials by length such that $|b_j \mathbf{w}^{\alpha(j)}| \leq |b_{j+1} \mathbf{w}^{\alpha(j+1)}|$ for $j \in \{0, \dots, n\}$ and let m denote the largest integer in $\{0, \dots, n-1\}$ such that $\sum_{j=0}^{m-1} |b_j \mathbf{z}^{\alpha(j)}| \leq \sum_{j=m}^n |b_j \mathbf{z}^{\alpha(j)}|$. We denote $t_1 := \sum_{j=0}^{m-1} |b_j \mathbf{z}^{\alpha(j)}|$, $t_2 := |b_m \mathbf{z}^{\alpha(m)}|$ and $t_3 := \sum_{j=m+1}^n |b_j \mathbf{z}^{\alpha(j)}|$. By the choice of m we have $t_1 + t_2 \geq t_3$, $t_1 + t_3 \geq t_2$ and $t_2 + t_3 \geq t_1$. Hence, t_1, t_2, t_3 form the edge lengths of a triangle and thus there are $\psi_1, \psi_2 \in [0, 2\pi)$ with

$$\sum_{j=0}^{m-1} |b_j \mathbf{z}^{\alpha(j)}| + |b_m \mathbf{z}^{\alpha(m)}| \cdot e^{i \cdot \psi_1} + \sum_{j=m+1}^n |b_j \mathbf{z}^{\alpha(j)}| \cdot e^{i \cdot \psi_2} = 0.$$

Since the integer vectors $\alpha(1), \dots, \alpha(n)$ are linearly independent, we can find $\phi \in [0, 2\pi)^n$ such that $\sum_{j=0}^n b_j |\mathbf{z}|^{\alpha(j)} \cdot e^{i \cdot \langle \alpha(j), \phi \rangle} = 0$.

Finally, one can show that all extreme points of the closure of $\mathcal{A}(f)$ satisfy the required inequalities which we omit here. \square

Thus, the class \mathcal{P}_{Δ}^y is a natural generalization of maximally sparse polynomials with simplex Newton polytope. Note that the above proof technique does not extend to the case of simplices with interior integer points since then the set of all exponent vectors is not affinely independent.

In the following we often write f as a sum of monomials:

$$(3.1) \quad f(\mathbf{z}) = m_0(\mathbf{z}) + m_1(\mathbf{z}) + \dots + m_n(\mathbf{z}) + m_y(\mathbf{z})$$

with each $m_i(\mathbf{z})$ representing the according monomial of f in the notation of (1.2). By our remarks after Lemma 2.1 there are only two possible homotopy types for the amoeba of a polynomial $f \in \mathcal{P}_{\Delta}^y$, and it is useful to introduce the following equilibrium points related to the modular equilibrium $\mathcal{E}(f)$ from (2.2).

Definition 3.2. For $f \in \mathcal{P}_{\Delta}^y$ of the form (3.1), let $\text{eq}(y)$ be the point of the modular equilibrium $\mathcal{E}(f)$ where at least all monomials but m_y have the same modular value. Similarly, for $0 \leq j \leq n$ let $\text{eq}(j)$ be the point in $\mathcal{E}(f)$ where at least all monomials but m_j have the same modular value. We call $\text{eq}(y), \text{eq}(0), \dots, \text{eq}(n)$, the (*modular*) *equilibrium points*.

Let $M \in \mathbb{Z}^{n \times n}$ be the matrix with columns $\alpha(1), \dots, \alpha(n)$.

Lemma 3.3. *If $\alpha(0) = 0$ and $b_0 = 1$ then the equilibrium point $\text{eq}(y) \in \mathbb{R}^n$ is the unique solution $\mathbf{x} \in \mathbb{R}^n$ of the system of linear equations $M^t \cdot \mathbf{x} = -\text{Log}((b_1, \dots, b_n))^t$.*

Proof. The point $\text{eq}(y)$ is the point where all monomials $m_0(\mathbf{z}), \dots, m_n(\mathbf{z})$ are in equilibrium. Hence $\text{eq}(y)$ satisfies the n linear equations

$$\log |b_i| + \langle \mathbf{w}, \alpha(i) \rangle = \log |b_0| + \langle \mathbf{w}, \alpha(0) \rangle.$$

Since $\alpha(0) = 0$, each of these coincides with one row of the linear system $M^t \cdot \mathbf{x} = -\text{Log}(b)$. \square

The following lemma states how the spine $\mathcal{S}(f)$ of the amoeba $\mathcal{A}(f)$ is related to $\mathcal{C}(f)$.

Lemma 3.4. *Let $f \in \mathcal{P}_\Delta^y$.*

- (a) *If $\mathcal{A}(f)$ is solid then the inner vertex of $\mathcal{S}(f)$ is the equilibrium point $\text{eq}(y)$ and $\mathcal{S}(f)$ coincides with the complement-induced tropicalization $\mathcal{C}(f)$.*
- (b) *If $\mathcal{A}(f)$ has genus 1 then $\mathcal{S}(f)$ and $\mathcal{C}(f)$ are homotopy equivalent, their inner simplices $\Sigma_{\mathcal{S}(f)}$ and $\Sigma_{\mathcal{C}(f)}$ are similar and all faces not belonging to the inner simplices coincide in all points lying outside of both inner simplices.*

Proof. (a) If $\mathcal{A}(f)$ is solid then the order of any complement component of $\mathcal{A}(f)$ is a vertex of $\text{New}(f)$ and hence for every Ronkin coefficient $\beta_{\alpha(i)}$ we have $\beta_{\alpha(i)} = \log |b_i|$ and therefore $\mathcal{S}(f) = \mathcal{C}(f)$.

(b) Let $\mathcal{A}(f)$ have genus 1. $\mathcal{S}(f)$ and $\mathcal{C}(f)$ coincide in all points lying outside of both inner simplices since for any vertex $\alpha(i)$ of $\text{New}(f)$ we have $\beta_{\alpha(i)} = \log |b_i|$. Homotopy equivalence follows from Lemma 2.1. Since $\mathcal{S}(f)$ and $\mathcal{C}(f)$ are tropical hypersurfaces dual to the same triangulation of $\text{New}(f)$, $\Sigma_{\mathcal{S}(f)}$ and $\Sigma_{\mathcal{C}(f)}$ are similar. \square

Lemma 3.5. *Let $\alpha(0) = 0$, $b_0 = 1$ and $f \in \mathcal{P}_\Delta^y$ such that $\mathcal{A}(f)$ has genus 1.*

- (a) *If $\mathbf{z} \in (\mathbb{C}^*)^n$ with $\text{Log}(\mathbf{z}) = \text{eq}(y)$ then $|m_y(\mathbf{z})| > 1$.*
- (b) *The equilibrium point $\text{eq}(y)$ is contained in the interior of the simplex with vertices $\text{eq}(0), \dots, \text{eq}(n)$.*

Proof. (a) Assume that $|m_y(\mathbf{z})| \leq 1$. Due to definition of $\text{eq}(y)$ and $\text{Log}(\mathbf{z}) = \text{eq}(y)$ we know $|m_i(\mathbf{z})| = 1$ for all $i \in \{0, \dots, n\}$. Hence, we have $\text{eq}(y) \in \mathcal{C}(f)$. By Lemma 3.3 $\text{eq}(y)$ is the unique point where the infinite cells of $\mathcal{C}(f)$ intersect. Thus, $\mathcal{C}(f)$ has genus 0. This yields a contradiction since $\mathcal{A}(f)$ has genus 1 and $\mathcal{C}(f)$ is a deformation retract of $\mathcal{A}(f)$.

(b) Let Σ' be the simplex with vertices $\text{eq}(0), \dots, \text{eq}(n)$. By definition of $\mathcal{C}(f)$ we have for all $\mathbf{z} \in (\mathbb{C}^*)^n$: If $|m_y(\mathbf{z})| > |m_i(\mathbf{z})|$ for all $i \in \{0, \dots, n\}$, then $\text{Log}(\mathbf{z})$ is contained in the interior of Σ' . With (a) the assertion follows. \square

Let $f \in \mathcal{P}_\Delta^y$, and consider f with a varying $\arg(c)$. An angle $\arg(c)$ is called in *extreme opposition* if there exists some $\mathbf{z} \in (\mathbb{C}^*)^n$ with

$$(3.2) \quad \arg(m_y(\mathbf{z})) = \arg(m_i(\mathbf{z})) + \pi \pmod{2\pi}, \quad 0 \leq i \leq n.$$

Since condition (3.2) is actually independent of the modulus of \mathbf{z} (and also of the modulus of the coefficients), we call $\arg(\mathbf{z})$ an *extremal phase*.

Lemma 3.6. *Let f be in \mathcal{P}_Δ^y , where we consider $\arg(c)$ as parameter. Then there always exists some choice of $\arg(c)$ such that $\arg(c)$ is in extreme opposition.*

Proof. By multiplying f with a Laurent monomial, we can assume $\alpha(0) = 0$ and $b_0 = 1$.

Setting $\phi := \arg(\mathbf{z})$, the condition (3.2) is a linear condition in ϕ . Using the non-singular integral matrix M introduced above, the image of $[0, 2\pi)^n$ under the mapping $\phi \mapsto M\phi$ is a D -fold covering of $[0, 2\pi)^n$ where $D := \det(M)$. Hence, there exists $\phi \in [0, 2\pi)^n$ with

$$M^t \cdot \phi = -(\arg(b_1), \dots, \arg(b_n))^t \pmod{2\pi},$$

and indeed the number of distinct solutions for ϕ in $[0, 2\pi)^n$ is D . Setting $\arg(c) := \pi - \langle \phi, \mathbf{y} \rangle$ we obtain the result. \square

In order to study the amoebas of polynomials in \mathcal{P}_Δ^y , we investigate the parametric family of polynomials

$$(3.3) \quad f_\kappa := \left| |c| \cdot e^{i \cdot \arg(c)} \cdot \mathbf{z}^{\mathbf{y}} + \sum_{i=0}^n b_i \cdot \mathbf{z}^{\alpha(i)} \right|_{|c|=\kappa} = \kappa \cdot e^{i \cdot \arg(c)} \cdot \mathbf{z}^{\mathbf{y}} + \sum_{i=0}^n b_i \cdot \mathbf{z}^{\alpha(i)}$$

in \mathcal{P}_Δ^y . Recall that, for a fixed $\kappa_1 \in \mathbb{R}_{>0}$, $E_y(f_{\kappa_1}) \subset \mathbb{R}^n$ denotes the set of all points belonging to the complement of $\mathcal{A}(f_{\kappa_1})$ which have the order y .

For a parametric family f_κ we are interested in those parameters κ where the genus of $\mathcal{A}(f_\kappa)$ changes. We say that $\mathcal{A}(f_\kappa)$ *switches* from genus 0 to 1 at κ_0 , if $E_y(f_{\kappa_0}) = \emptyset$ and for every (sufficiently small) $\varepsilon > 0$ we have $E_y(f_{\kappa_0+\varepsilon}) \neq \emptyset$. Note that, for sufficiently large κ , $\mathcal{A}(f_\kappa)$ is always of genus 1 (e.g. by the lopsidedness criterion; see Section 5).

For a parameter value $\kappa_1 \in \mathbb{R}$ with $E_y(f_{\kappa_1}) \neq \emptyset$ we are furthermore interested in characterizing the point where the complement component E_y appears first (with respect to values $\kappa < \kappa_1$ in the parametric family). Formally, we say that the inner complement component $E_y(f_{\kappa_1})$ *appears first* at $\mathbf{w} \in \text{Log}((\mathbb{C}^*)^n)$ if the following conditions hold:

- (a) $\mathbf{w} \in E_y(f_{\kappa_1})$, and
- (b) there exists a $\kappa_0 < \kappa_1$ such that $E_y(f_{\kappa_0}) = \emptyset$ and for every $\kappa \in [\kappa_0, \kappa_1]$ we have $E_y(f_\kappa) = \emptyset$ or $\mathbf{w} \in E_y(f_\kappa)$.

For every such κ_1 this point is unique and will be denoted by $\text{app}(f_{\kappa_1})$.

Let $K \subset \mathbb{R}_{\geq 0}$ for some given parametric family f_κ denote parameters where $\mathcal{A}(f_\kappa)$ switches from genus 0 to 1. Then we say f_κ *switches the last time* from genus 0 to 1 at $\kappa^* := \max K$. In the following we are in particular interested in the corresponding point $\text{app}(f_{\kappa^*})$ where the inner complement component *finally appears* and which we denote as $\mathbf{a}(f_\kappa)$.

Let M_j be the matrix obtained by replacing the j -th column of M by \mathbf{y} . For convenience of notation we define

$$(3.4) \quad \Theta := \prod_{i=1}^n \theta_i^{\det(M_i)/\det(M)}.$$

With the results of the lemmas we are able to establish the main theorem of this section.

Theorem 3.7. *Let f_κ be a parametric family of the form (3.3) in \mathcal{P}_Δ^y with $\alpha(0) = 0$, $b_0 = 1$, and let Θ be defined by (3.4). Then we have:*

- (a) *For $\kappa = |\Theta|$ we have $\text{eq}(y) = \text{eq}(0) = \dots = \text{eq}(n)$. Hence, in particular, $\mathcal{A}(f_\kappa)$ is solid for all choices of $\arg(c)$ whenever $\kappa \leq |\Theta|$.*
- (b) *For $\kappa > (n+1) \cdot |\Theta|$ we have $\text{eq}(y) \notin \mathcal{A}(f_\kappa)$ and hence $\mathcal{A}(f_\kappa)$ has genus 1. If additionally $\arg(c)$ is in extreme opposition and the inner complement component $E_y(f_\kappa)$ appears finally at the point $\text{eq}(y)$ then this bound is sharp, i.e., $\text{eq}(0) \in \mathcal{A}(f_{(n+1) \cdot |\Theta|})$.*

Note that the question to decide *if* the inner complement component appears finally at $\text{eq}(y)$ will be discussed in the next section.

Proof. As initial preparation, we note that for $f \in \mathcal{P}_\Delta^y$ and any $\mathbf{z} \in (\mathbb{C}^*)^n$ with $\text{Log}(\mathbf{z}) = \text{eq}(y)$ we have $|m_y(\mathbf{z})| = |c|/|\Theta|$. Namely, by Lemma 3.3 we have

$$|m_y(\mathbf{z})| = |c| \cdot e^{\langle \text{eq}(y), y \rangle} = |c| \cdot \exp\left(-\left\langle (M^t)^{-1} \cdot \text{Log}(b), y \right\rangle\right)$$

and the claim follows with Cramer's rule.

(a) Let $\mathbf{z} \in (\mathbb{C}^*)^n$ with $\text{Log}(\mathbf{z}) = \text{eq}(y)$. By Lemma 3.3 we have $|m_i(\mathbf{z})| = 1$ for all $i \in \{0, \dots, n\}$. If $\kappa = |\Theta|$ we have $|m_y(\mathbf{z})| = 1$ as well due to initial calculation. Hence by definition of $\text{eq}(y)$ and of the $\text{eq}(k)$ all equilibrium points coincide. The solidness of $\mathcal{A}(f_\kappa)$ for such κ follows from Lemma 3.5.

(b) Assume $\text{eq}(y) \in \mathcal{A}(f_\kappa)$ for some $\kappa > 0$. Then there exists a $\mathbf{z} \in (\mathbb{C}^*)^n$ with $\text{Log}(\mathbf{z}) = \text{eq}(y)$ and $f_\kappa(\mathbf{z}) = 0$. By the definition of $\text{eq}(y)$ and our initial calculation, we have $|m_y(\mathbf{z})| = \kappa/|\Theta|$ and $|m_i(\mathbf{z})| = 1$, and thus

$$(3.5) \quad \frac{\kappa}{|\Theta|} \cdot e^{i \cdot (\arg(c) + \langle \phi, y \rangle)} + 1 + \sum_{j=1}^n e^{i \cdot (\arg(b_j) + \langle \phi, \alpha(j) \rangle)} = 0.$$

But since each exponential term has modulus 1, this implies $\kappa \leq |\Theta| \cdot (n+1)$, contradicting the precondition.

Since $\text{eq}(y) \in \text{conv}\{\text{eq}(0), \dots, \text{eq}(n)\}$ (Lemma 3.5 (b)), the precondition $\text{eq}(y) \notin \mathcal{A}(f)$ implies $\text{eq}(y) \in E_y(f)$, and thus $E_y(f) \neq \emptyset$.

Assume now that the inner complement component $E_y(f_\kappa)$ appears finally at $\text{eq}(y)$. It suffices to show that $\text{eq}(y) \in \mathcal{A}(f_{(n+1)|\Theta|})$. If $\arg(c)$ is in extreme opposition then (by definition of an extremal phase) there exists a $\phi \in [0, 2\pi)^n$ satisfying (3.5) with $\arg(c) + \langle \phi, y \rangle = \pi + \arg(b_j) + \langle \phi, \alpha(j) \rangle$. Hence, $\mathcal{F}_{\text{eq}(0), f}(\phi) = -\kappa + (n+1)|\Theta|$ and we have $\text{eq}(0) \in \mathcal{A}(f_{(n+1)\Theta})$. \square

Theorem 3.7 yields the following corollary which is a special case of the class treated in [12].

Corollary 3.8. *Maximally sparse polynomials with simplex Newton polytope have solid amoebas.*

Proof. Let $f \in \mathcal{P}_\Delta^y$. Theorem 3.7 (a) yields that $\mathcal{A}(f)$ is solid for all $|c| \leq |\Theta|$. Since $|\Theta| > 0$, $\mathcal{A}(f)$ is in particular solid for $|c| = 0$, i.e., if f is maximally sparse. \square

4. POINTS OF APPEARANCE OF THE INNER COMPLEMENT COMPONENT AND SHARP BOUNDS

In the previous section we gave a lower and an upper bound for $\mathcal{A}(f)$ having genus 0 respectively 1 via investigating the fiber $\mathcal{F}_{\text{eq}(y),f}$. We have seen that if the inner complement component appears finally at $\text{eq}(y)$, then the upper bound gets sharp. In this section we investigate in general where the complement component appears finally and how this point is related to $\text{eq}(y)$. Based on this, we provide lower and upper bounds partially improving Theorem 3.7 (see a comparison at the end of the section). We show that, under some extremal condition, the upper bound is tight and the inner complement component appears finally at a unique, explicitly computable minimum $\mathbf{a}(f_\kappa)$ which happens to coincide with $\text{eq}(y)$ if and only if the inner lattice point is the barycenter of the Newton polytope (Theorems 4.1, 4.4 and Corollary 4.3).

As before, let Δ be a lattice n -simplex and y be in the interior of Δ . Again, we consider the parametric family f_κ as introduced in (3.3). In the first statement we assume that $y = 0$.

Theorem 4.1. *Let f_κ be a parametric family of polynomials in \mathcal{P}_Δ^0 with $f_\kappa := \kappa \cdot e^{i \cdot \arg(c)} + \sum_{i=0}^n m_i(\mathbf{z}) = \kappa \cdot e^{i \cdot \arg(c)} + \sum_{i=0}^n b_i \cdot \mathbf{z}^{\alpha(i)}$. Let $\mathbf{w} \in \mathbb{R}^n$ and assume that $|m_0(\text{Log}^{-1}(\mathbf{w}))| \geq \dots \geq |m_n(\text{Log}^{-1}(\mathbf{w}))|$. Then there exists a $\kappa \in \mathbb{R}_{>0}$ such that*

$$\kappa \geq \sum_{i=0}^{n-2} |m_i(\text{Log}^{-1}(\mathbf{w}))| \quad \text{and} \quad \mathbf{w} \notin E_y(f_\kappa).$$

Proof. W.l.o.g. we can assume that $\arg(c) = 0$ in f_κ . Since $\alpha(0), \dots, \alpha(n)$ form a simplex, there is a dual basis $\alpha(1)^*, \dots, \alpha(n)^* \in \mathbb{Q}^n$ with $\langle \alpha(j)^*, \alpha(k) \rangle = 0$ for all $k \notin \{j, 0\}$. We will choose $\lambda_1, \dots, \lambda_n \in [0, 2\pi)$ such that for $\phi := \sum_{j=1}^n \lambda_j \alpha(j)^*$ we get $\mathcal{F}_{\mathbf{w}, f_\kappa}(\phi) = 0$ for some $\kappa \in \mathbb{R}_{>0}$ sufficiently large.

We can choose $\lambda_2, \dots, \lambda_n \in [0, 2\pi)$ with

$$e^{i \cdot (\arg(b_j) + \langle \lambda_j \alpha(j)^*, \alpha(0) \rangle)} = \pi \quad \text{for all } j \in \{2, \dots, n\}.$$

We may finally choose $\lambda_1 \in [0, 2\pi)$ such that the sum of the two shortest monomials

$$|m_0(\text{Log}^{-1}(\mathbf{w}))| \cdot e^{i \cdot (\arg(b_0) + \sum_{k=1}^n \langle \lambda_k \alpha(k)^*, \alpha(0) \rangle)} + |m_1(\text{Log}^{-1}(\mathbf{w}))| \cdot e^{i \cdot (\arg(b_1) + \langle \lambda_1 \alpha(1)^*, \alpha(0) \rangle)}$$

is a real negative value, due to the following Rouché-type principle from complex analysis.

Claim. For $A, B \in \mathbb{C}$ with $A > B$ and $r, s \geq 1$ the univariate function $g(z) := A \cdot z^r + B \cdot z^s$ has a non-zero winding number with respect to the origin.

Clearly, the function $A \cdot z^s$ has a non-zero winding number. Now assuming that g has a winding number of zero, there would exist some $t \in (0, 1)$ such that $h(z) := Az^r + t \cdot Bz^s$ has a zero z outside the origin. This is a contradiction.

Altogether, for $\phi := \sum_{j=1}^n \lambda_j \cdot \alpha(j)^*$, we get $\mathcal{F}_{\mathbf{w}, f_\kappa}(\phi) = \kappa - \sum_{j=0}^{n-2} |m_j(\text{Log}^{-1}(\mathbf{w}))| + \xi$ with $\xi \in \mathbb{R}_{<0}$ and therefore $\mathcal{F}_{\mathbf{w}, f_\kappa}(\phi) = 0$ for $\kappa = \sum_{j=0}^{n-2} |m_j(\text{Log}^{-1}(\mathbf{w}))| + |\xi|$. This yields $\mathbf{w} \notin E_y(f_\kappa)$ for such choice of κ . \square

Our goal is to characterize the κ for which the amoeba $\mathcal{A}(f_\kappa)$ switches the last time from genus 0 to 1. We first consider the case of $\arg(c)$ in extreme opposition and then use this case to provide a bound for the general case.

Let $\arg(c)$ be in extreme opposition for f_κ (note that this property is independent of the choice of κ). For a point $\mathbf{w} \in \text{Log}((\mathbb{C}^*)^n)$, the function $\mathcal{F}_{\mathbf{w}, f_\kappa}$ from (2.4) on the fiber of \mathbf{w} evaluates for an extremal phase ϕ to

$$\mathcal{F}_{\mathbf{w}, f_\kappa}(\phi) = \left(\kappa \cdot e^{\langle \mathbf{w}, y \rangle} - 1 - \sum_{j=1}^n |b_j| \cdot e^{\langle \mathbf{w}, \alpha(j) \rangle} \right) \cdot e^{i\psi}$$

for some angle $\psi \in [0, 2\pi)$. Since we are only interested in the zeros of $\mathcal{F}_{\mathbf{w}, f_\kappa}$, we can always assume $\psi = 0$. Clearly, $\mathbf{w} \in E_y(f_\kappa)$ whenever $\kappa \cdot e^{\langle \mathbf{w}, y \rangle} > 1 + \sum_{j=1}^n |b_j| \cdot e^{\langle \mathbf{w}, \alpha(j) \rangle}$.

Since an extremal phase ϕ yields the minimal real value of a fiber $\mathcal{F}_{\mathbf{w}, f_\kappa}$ and since $\mathcal{A}(f_\kappa)$ has genus 1 if $E_y(f_\kappa) \neq \emptyset$, the κ^* where $\mathcal{A}(f_\kappa)$ switches its genus the last time is given by

$$(4.1) \quad \min_{\mathbf{w} \in \text{Log}((\mathbb{C}^*)^n)} \left(e^{-\langle \mathbf{w}, y \rangle} + \sum_{j=1}^n |b_j| \cdot e^{\langle \mathbf{w}, \alpha(j) - y \rangle} \right) \in \mathbb{R}_{>0}.$$

The minimizer \mathbf{w}^* then has to be the point $\mathbf{a}(f_\kappa)$ where the inner complement component finally appears for $\arg(c)$ in extreme opposition, since $\mathbf{w}^* \notin E_y(f_{\kappa^*})$, $\mathbf{w}^* \in E_y(f_\kappa)$ for all $\kappa > \kappa^*$ and for all $\mathbf{w} \neq \mathbf{w}^*$ there is a $\kappa > \kappa^*$ such that $\mathbf{w} \notin E_y(f_\kappa)$.

In the following set $\widehat{M} := (\alpha(j)_i - y_i)_{1 \leq i, j \leq n}$ and \widehat{M}_j as the matrix obtained by replacing the j -th column of \widehat{M} by y .

Lemma 4.2. *Let $\alpha(0) = 0$, $b_0 = 1$, and $\arg(c)$ be in extreme opposition for f_κ . The point $\mathbf{a}(f_\kappa)$ where the inner complement finally appears is given by $\text{eq}(y) + \mathbf{s}^*$, where \mathbf{s}^* is the solution of the system of linear equations*

$$(4.2) \quad M^t \cdot \mathbf{s} = (\gamma_1, \dots, \gamma_n)^t$$

with $\gamma_j := \log \left(\det(\widehat{M}_j) / \det(\widehat{M}) \right)$ for $j \in \{1, \dots, n\}$.

Proof. It suffices to show that $\text{eq}(y) + \mathbf{s}^*$ solves the problem (4.1). Substituting $\mathbf{w} = \text{eq}(y) + \mathbf{s}$ into (4.1) and applying Lemma 3.3 and Theorem 3.7 simplifies the problem to

$$|\Theta| \cdot \min_{\mathbf{s} \in \text{Log}((\mathbb{C}^*)^n)} \left(e^{-\langle \mathbf{s}, y \rangle} + \sum_{j=1}^n e^{\langle \mathbf{s}, \alpha(j) - y \rangle} \right).$$

To compute the global minimum of $e^{-\langle \mathbf{s}, y \rangle} + \sum_{j=1}^n e^{\langle \mathbf{s}, \alpha(j) - y \rangle}$ we observe that the partial derivatives

$$\frac{\partial f_\kappa}{\partial s_i} = -y_i \cdot e^{-\langle \mathbf{s}, y \rangle} + \sum_{j=1}^n (\alpha(j)_i - y_i) \cdot e^{\langle \mathbf{s}, \alpha(j) - y \rangle}$$

vanish if and only if $\sum_{j=1}^n (\alpha(j)_i - y_i) \cdot e^{\langle \mathbf{s}, \alpha(j) \rangle} = y_i$ for all $i \in \{1, \dots, n\}$. We obtain $\widehat{M} \cdot (e^{\langle \mathbf{s}, \alpha(1) \rangle}, \dots, e^{\langle \mathbf{s}, \alpha(n) \rangle})^t = y$, and hence $e^{\langle \mathbf{s}, \alpha(j) \rangle} = \det \widehat{M}_j / \det \widehat{M}$ for $j \in \{1, \dots, n\}$. Setting $\gamma_j := \log \det \widehat{M}_j - \log \det \widehat{M} > 0$ yields $\langle \mathbf{s}, \alpha(j) \rangle = \gamma_j$. Thus, we obtain a system

of linear equations (4.2). Since its solution is unique and $\lim_{|s| \rightarrow \infty} f(\mathbf{s}) = \infty$ this critical point has to be a minimum. \square

Note that, by Lemma 3.3 and 4.2, the point $\mathbf{a}(f_\kappa)$ is the solution of the linear system

$$(4.3) \quad M^t \cdot \mathbf{x} = (\gamma_1 - \log |b_1|, \dots, \gamma_n - \log |b_n|)^t$$

and hence may be computed explicitly in terms of the coefficients and exponents of f .

Corollary 4.3. *Let $\arg(c)$ be in extreme opposition for f_κ . The point $\mathbf{a}(f_\kappa)$ where the inner complement component appears finally coincides with the equilibrium point $\text{eq}(0)$ if and only if*

$$\sum_{j=1}^n \alpha(j) = (n+1) \cdot y.$$

Proof. Assuming w.l.o.g. $\alpha(0) = 0$, $b_0 = 1$, the result follows from $\sum_{j=1}^n (\alpha(j)_i - y_i) \cdot e^{\langle \mathbf{s}, \alpha(j) \rangle} = y_i$ for all $i \in \{1, \dots, n\}$. \square

With these statements we can prove the main theorem of this section.

Theorem 4.4. *Let f_κ be a parametric family of polynomials in \mathcal{P}_Δ^y of the form (3.3) with $\alpha(0) = 0$, $b_0 = 1$, let $\arg(c)$ be in extreme opposition and set*

$$(4.4) \quad \widehat{\Theta} = \prod_{i=1}^n \left(\frac{\det(\widehat{M}) \cdot b_i}{\det(\widehat{M}_i)} \right)^{\det(M_i) / \det(M)}.$$

$\mathcal{A}(f_\kappa)$ switches the last time from genus 0 to 1 at

$$(4.5) \quad \kappa = |\widehat{\Theta}| \cdot \left(1 + \sum_{j=1}^n \frac{\det(\widehat{M}_j)}{\det(\widehat{M})} \right).$$

For all other choices of $\arg(c)$ we have: If $\mathcal{A}(f_\kappa)$ is solid, then κ is strictly bounded from above by the right hand side of (4.5).

Proof. Let $\arg(c)$ be in extreme opposition. By Lemma 4.2 it is easy to verify that for an extremal phase $\phi' \in [0, 2\pi)^n$ we have $e^{-\langle \mathbf{a}(f_\kappa), y \rangle} \cdot e^{i \cdot \langle \phi', y \rangle} = \widehat{\Theta}$. We know that $\mathcal{A}(f_\kappa)$ switches the last time from genus 0 to 1 at

$$\kappa^* = \min_{s \in \text{Log}((\mathbb{C}^*)^n)} \left(e^{-\langle \text{eq}(y) + s, y \rangle} + \sum_{j=1}^n |b_j| \cdot e^{\langle \text{eq}(y) + s, \alpha(j) - y \rangle} \right).$$

Due to above calculation of $\widehat{\Theta}$ and (4.3) this is equivalent to (4.5).

Let $\arg(c)$ be not in extreme opposition. We have $E_y(f_\kappa) = \emptyset$ if and only if $\mathcal{F}_{\mathbf{a}(f_\kappa), f_\kappa} \cap \mathcal{V}(f_\kappa) \neq \emptyset$. Let $\phi \in [0, 2\pi)^n$ be a zero of $\mathcal{F}_{\mathbf{a}(f_\kappa), f_\kappa}$. Since $\arg(c)$ is not in extreme opposition, not all outer monomial have the same argument at $\mathcal{F}_{\mathbf{a}(f_\kappa), f_\kappa}(\phi)$ and therefore

$$|\mathcal{F}_{\mathbf{a}(f_\kappa), f_\kappa}(\phi)| < |\widehat{\Theta}| \cdot \left(1 + \sum_{j=1}^n \frac{\det(\widehat{M}_j)}{\det(\widehat{M})} \right). \quad \square$$

It follows from the above derivations that the upper bound for polynomials in \mathcal{P}_Δ^y to be solid, which we computed in Theorem 4.4 improves the upper bound from Theorem 3.7 (b) in all cases but the one in Corollary 4.3.

For the lower bound computed in Theorem 4.1 notice that it holds for all κ , and hence improves the lower bound from Theorem 3.7 (a), if there exists only one κ such that $f_\kappa \in \partial U_y^A$ (i.e., if the genus switches only once from 0 to 1 for κ running from 0 to ∞). This may only be the case if the set U_y^A is connected, which we already mentioned in the introduction to be an open problem.

5. LOPSIDEDNESS AND A -DISCRIMINANTS

In the following section we investigate the genus 1 configuration space from two other points of view: lopsidedness and A -discriminants.

In [18] Purbhoo introduced the concept of *lopsidedness* to provide certificates for points outside of an amoeba (see [23] for connections to certificates by the real Nullstellensatz and sums of squares). Based on these results and Theorem 4.4 we develop a sufficient criterion for amoebas of polynomials in \mathcal{P}_Δ^y to have genus 1. We recall Purbhoo's main result. Let $f(\mathbf{z}) = \sum_{i=1}^d m_i(\mathbf{z}) \in \mathbb{C}[\mathbf{z}^{\pm 1}]$ be a Laurent polynomial with monomials m_1, \dots, m_d . For a given $\mathbf{w} \in \mathbb{R}^n$ we define $f\{\mathbf{w}\}$ to be the following sequence of numbers in $\mathbb{R}_{\geq 0}$:

$$f\{\mathbf{w}\} := (|m_1(\text{Log}^{-1}(\mathbf{w}))|, \dots, |m_d(\text{Log}^{-1}(\mathbf{w}))|).$$

A sequence of positive real numbers is called *lopsided* if one of the numbers is greater than the sum of all the others. Defining

$$\mathcal{LA}(f) := \{v \in \mathbb{R}^n : f\{\mathbf{w}\} \text{ is not lopsided}\},$$

it is easy to see that $\mathcal{A}(f) \subseteq \mathcal{LA}(f)$.

In order to establish a converging hierarchy of approximations of $\mathcal{A}(f)$, set

$$\begin{aligned} \tilde{f}_r(\mathbf{z}) &:= \prod_{k_1=0}^{r-1} \cdots \prod_{k_d=0}^{r-1} f(e^{2\pi i k_1/r} z_1, \dots, e^{2\pi i k_d/r} z_n) \\ &= \text{Res}(\text{Res}(\dots \text{Res}(f(u_1 z_1, \dots, u_d z_d), u_1^r - 1), \dots, u_{d-1}^r - 1), u_d^r - 1), \end{aligned}$$

where $\text{Res}(f, x)$ denotes the resultant with respect to x . It is easy to see that $\mathcal{A}(f) = \mathcal{A}(\tilde{f}_r)$. Then the following theorem holds (see [18, Theorem 1]).

Theorem 5.1. *For $n \rightarrow \infty$ the family $\mathcal{LA}(\tilde{f}_r)$ converges uniformly to $\mathcal{A}(f)$. There exists an integer N such that to compute $\mathcal{A}(f)$ within $\varepsilon > 0$, it suffices to compute $\mathcal{LA}(\tilde{f}_r)$ for any $d \geq N$. Moreover, N depends only on ε and the Newton polytope (or degree) of f and can be computed explicitly from these data.*

As before let $A = \{\alpha(1), \dots, \alpha(d)\} \subset \mathbb{Z}^n$, \mathbb{C}_\diamond^A be the configuration space introduced in Section 2.1, and U_α^A be the set of polynomials $f \in \mathbb{C}_\diamond^A$ which have a complement component of order α .

It is an easy consequence of the definition of lopsidedness that the following proposition holds (which is, to the best of our knowledge, surprisingly nowhere mentioned in the literature):

Proposition 5.2. *Let $f = \sum_{\alpha(j) \in A} b_j \mathbf{z}^{\alpha(j)}$. Assume that $E_{\alpha(1)}(f)$ is non-empty and that there exists some $\mathbf{w} \in E_{\alpha(1)}(f)$ such that $f\{\mathbf{w}\}$ is lopsided. Then $g\{\mathbf{w}\}$ is lopsided for every $g \in \mathbb{T}(f) := \{\sum_{\alpha(j) \in A} e^{i\psi_j} \cdot b_j \mathbf{z}^{\alpha(j)} : \psi_j \in [0, 2\pi) \text{ for all } j\}$, in particular $\mathbb{T}(f) \subset U_{\alpha(1)}^A$.*

Proof. Since $g\{\mathbf{w}\} = f\{\mathbf{w}\}$ for every $g \in \mathbb{T}(f)$, for every $\mathbf{w} \in E_{\alpha(1)}(f)$ with $f\{\mathbf{w}\}$ lopsided we have $g\{\mathbf{w}\}$ lopsided as well. Then, in particular, $E_{\alpha(1)}(g) \neq \emptyset$, whence $g \in U_{\alpha(1)}^A$. \square

Theorem 5.3 shows that for polynomials in \mathcal{P}_Δ^y the converse is also true. In this statement it is convenient to have 0 as the interior lattice point, so that we set $A := \{\alpha(0), \dots, \alpha(n), 0\}$.

Theorem 5.3. *Let $f_c = c + \sum_{j=0}^n b_j \mathbf{z}^{\alpha(j)} = c + \sum_{j=0}^n m_j(\mathbf{z})$ be a parametric family in \mathcal{P}_Δ^0 with complex parameter c , and let $\mathbf{a} := \mathbf{a}(f_{|c|})$ be the point where the inner complement component appears finally for positive real parameter values and $\arg(c)$ in extreme opposition. If there exists some $d \in \mathbb{C}^*$ such that $\mathbb{T}(f_d) \subset U_0^A$ then $f_d\{\mathbf{a}\}$ is lopsided with $|d|$ as the maximal term.*

Proof. Let $d \in \mathbb{C}^*$ with $\mathbb{T}(f_d) \subset U_0^A$. First we show that for every $c \in \mathbb{C}$ with $|c| \geq |d|$ the amoeba $\mathcal{A}(f_c)$ is of genus 1.

The parametric family f_c forms a complex line in \mathcal{P}_Δ^0 which can be interpreted as a real plane H . By a result of Rullgård ([22, Theorem 14], see also [9]), the intersection of $(U_\alpha^A)^c$ with an arbitrary projective line in \mathbb{C}_\diamond^A (viewed as projective space) is non-empty and connected (even for arbitrary A). For the parameter value $c = 0$ we are in the maximally sparse case, and thus Corollary 3.8 implies $f_0 \in (U_0^A)^c$. By the precondition $\mathbb{T}(f_d) \subset U_0^A$, the set $C := \{f_c : c = |d| \cdot e^{i\phi}, \phi \in [0, 2\pi)\} \subset \mathbb{T}(f_d)$ is contained in U_0^A . Considered in the plane H , the set C is a circle around the origin. Now the connectedness result implies that for $|c| \geq |d|$ the amoeba $\mathcal{A}(f_c)$ is of genus 1 (see Figure 2 for an illustration).

For $\arg(c)$ in extreme opposition, let $\kappa^* \in \mathbb{R}$ be the value where $\mathcal{A}(f_{|c|})$ switches the last time from genus 0 to 1. By Theorem 4.4, the upper bound is attained at some point $\mathbf{z} \in (\mathbb{C}^*)^n$ with $\text{Log } \mathbf{z} = \mathbf{a}$ and extremal phase ϕ . Hence, by evaluating the fiber function of \mathbf{a} at ϕ we obtain $\kappa^* = \sum_{j=0}^n |b_j| \cdot e^{\langle \mathbf{a}, \alpha(j) \rangle}$. The auxiliary statement yields that $\kappa^* < |d|$, and thus $|d| > \sum_{j=0}^n |b_j| \cdot e^{\langle \mathbf{a}, \alpha(j) \rangle} = \sum_{j=0}^n |m_j(\text{Log}^{-1}(\mathbf{a}))|$. \square

We recall some of the terminology for A -discriminants: Let $\nabla_0 \subset (\mathbb{C}^*)^A$ denote the set of all polynomials f such that there exists a $\mathbf{z}^* \in (\mathbb{C}^*)^n$ with

$$f(\mathbf{z}^*) = \frac{\partial f}{\partial z_1}(\mathbf{z}^*) = \dots = \frac{\partial f}{\partial z_n}(\mathbf{z}^*) = 0$$

and let ∇_A denote the Zariski closure of ∇_0 . If the variety ∇_A is of codimension 1, then the A -discriminant Δ_A is defined as the irreducible, integral polynomial in the coefficients

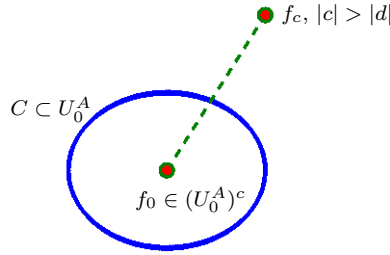


FIGURE 2. The real plane H in the proof of Theorem 5.3.

b_1, \dots, b_d of $f \in (\mathbb{C}^*)^A$ as variables which vanishes on ∇_A . The A -discriminant is unique up to sign (see [6, Chapter 9, p. 271]).

The following theorem shows that, for polynomials in \mathcal{P}_Δ^y , there is a strong connection between their A -discriminants and the configuration space of their amoebas. Here, $\overline{U_y^A}$ denotes the topological closure of the set U_y^A . Set $A := \{\alpha(0), \dots, \alpha(n), y\}$.

Theorem 5.4. *Let $\alpha(0) = 0$, $b_0 = 1$. A polynomial $f = c \cdot \mathbf{z}^y + 1 + \sum_{i=1}^n b_i \cdot \mathbf{z}^{\alpha(i)}$ is contained in ∇_A if and only if the expression*

$$(5.1) \quad c + \widehat{\Theta} \cdot \left(1 + \sum_{j=1}^n \frac{\det(\widehat{M}_j)}{\det(\widehat{M})} \right)$$

in the variables b_1, \dots, b_n, c vanishes. Here, $\widehat{\Theta}$ is defined as in (4.4).

Note that a power of the summands of (5.1) is a binomial.

Corollary 5.5. *Let $\alpha(0) = 0$ and $b_0 = 1$. The A -discriminant Δ_A is a binomial whose variety coincides with the set of projective points $(1 : b_1 : \dots : b_n : c)$ where $\arg(c)$ is in extreme opposition and $\mathcal{A}(f_{|c|})$ switches the last time from genus 0 to genus 1 exactly at the value $|c| = |\widehat{\Theta}| \cdot (1 + \sum_{j=1}^n \det \widehat{M}_j / \det \widehat{M})$.*

Note that a power of the summands of (5.1) is an irreducible binomial with rational coefficients. Up to normalizing the coefficients, this is the A -discriminant.

Proof of Theorem 5.4. For the given polynomial $f \in \mathcal{P}_\Delta^y$, we have

$$(5.2) \quad \frac{\partial f}{\partial z_j} = y_j \cdot c \cdot \mathbf{z}^{y-e_j} + \sum_{i=1}^n b_i \cdot \alpha(i)_j \cdot \mathbf{z}^{\alpha(i)-e_j}, \quad 1 \leq j \leq n,$$

where e_j denotes the j -th unit vector. Assume that arbitrary $b_1, \dots, b_n \in \mathbb{C}^*$ are fixed. Substituting f into \mathbf{z}^{e_j} times (5.2) yields a regular system of linear equations in $(\mathbf{z}^{\alpha(1)}, \dots, \mathbf{z}^{\alpha(n)})$. The regularity comes from the fact that the $\alpha(1), \dots, \alpha(n)$ are the vertices of a simplex. Hence there are only finitely many solutions $\mathbf{z}^* \in (\mathbb{C}^*)^n$ such that all partial derivatives vanish, and all of these solutions have the same modulus. For any such solution \mathbf{z}^* , solving $f = 0$ for c yields a unique and non-zero c such that the f corresponding to

the coefficients b_1, \dots, b_n, c is in ∇_A . This argumentation shows furthermore that ∇_A is a subvariety of codimension 1 and hence Δ_A exists. Observe that \mathbf{z}^* does not depend on c .

Let now $\phi' \in [0, 2\pi)^n$ be an extremal phase. Then $(\mathbf{a}(f_\kappa), \phi') = \mathbf{z}^*$ since we know $\frac{\partial f}{\partial z_j}(\mathbf{a}(f_\kappa), \phi') = 0$ for all $j \in \{1, \dots, n\}$ from the last section (see the proof of Lemma 4.2). But since further $\mathcal{F}_{\mathbf{a}(f_\kappa), f}(\phi') = 0$ if and only if c is in extreme opposition and its modulus equals the bound from Theorem 4.4, we have $f \in \nabla_A$ if and only if (5.1) vanishes. \square

Proof of Corollary 5.5. Expression (5.1) is a Laurent binomial in the variables b_1, \dots, b_n, c with rational coefficients and monomials in distinct variables. Now the statement follows from Theorem 5.4 via Theorem 4.4. \square

We remark that a different connection between A -discriminants and amoebas was investigated by Passare, Sadykov and Tsikh [15] who studied the amoebas of A -discriminantal hypersurfaces.

6. THE BARYCENTRIC CASE

In this section we treat polynomials in \mathcal{P}_Δ^y where the exponent of the inner monomial is the barycenter of the simplex spanned by the exponents of the outer monomials. We call such a pair (Δ, y) *barycentric*. For this class we provide a complete classification of the configuration space, i.e. the set U_y^A and its complement $(U_y^A)^c$. In particular, we are able to answer Rullgård's question for this barycenter case by showing that set U_y^A is pathconnected (Corollary 6.7).

In [14, Proposition 2] Passare and Rullgård showed that the amoeba of $f(\mathbf{z}) := 1 + c \cdot z_1 \cdots z_n + \sum_{i=1}^n z_i^{n+1} \in \mathbb{C}[\mathbf{z}]$ has a complement component of order $(1, \dots, 1)$ if and only if $0 \notin \mathcal{A}(f)$. Moreover, this component exists if and only if $c \notin \{-t_1 - \dots - t_n : t_i \in \mathbb{C}, |t_i| = 1, t_1 \cdots t_n = 1\}$. We generalize this result as well as our Corollary 4.3 to the following theorem. From now on let $A = \{\alpha(0), \dots, \alpha(n), y\}$ and $\text{conv } A = \Delta$.

Theorem 6.1. *Let (Δ, y) be barycentric, and let f_c be a family of parametric polynomials in \mathcal{P}_Δ^y with parameter $c \in \mathbb{C}$ (i.e., $|c|$ and $\arg(c)$). Then for every parameter value $c \in \mathbb{C}$ the following statements are equivalent:*

- (a) $f_c \in U_y^A$ (i.e. $\mathcal{A}(f_c)$ has genus 1),
- (b) $\text{eq}(y) \in E_y(f_c)$,
- (c) $c \notin \left\{ -|\Theta| \cdot \sum_{j=0}^n e^{i \cdot (\arg(b_j) + \langle \alpha(j) - y, \phi \rangle)} : \phi \in [0, 2\pi)^n \right\}$.

Note that (c) generalizes the condition from the example above, since if all coefficients of f_c are 1 then $\Theta = 1$.

Proof. Since the inner lattice point y is the barycenter, we have $f_c = c \cdot \mathbf{z}^y + \sum_{j=0}^n b_j \cdot \mathbf{z}^{\alpha(j)}$ and $\sum_{j=0}^n \alpha(j) = (n+1) \cdot y$. As usual, we may assume $b_0 = 1$ and $\alpha(0) = 0$.

(b) \Leftrightarrow (c): Since $\alpha(0), \dots, \alpha(n)$ form a simplex, the equilibrium point $\text{eq}(y)$ is unique. At $\text{eq}(y)$ we have for the outer monomials $|b_i| \cdot e^{\langle \alpha(i), \text{eq}(y) \rangle} = 1$ (Definition 3.2) and furthermore $e^{\langle y, \text{eq}(y) \rangle} = 1/|\Theta|$ (proof of Theorem 3.7). Hence, at $\text{eq}(y)$ the fiber function is given

by

$$\mathcal{F}_{\text{eq}(y), f_c}(\phi) = c \cdot e^{i \cdot \langle y, \phi \rangle} + |\Theta| \cdot \sum_{j=0}^n e^{i \cdot (\arg(b_j) + \langle \alpha(j), \phi \rangle)}.$$

Thus, if and only if the condition (c) is satisfied, the zero set $\mathcal{V}(\mathcal{F}_{\text{eq}(y), f_c})$ of the fiber function $\mathcal{F}_{\text{eq}(y), f_c}$ is empty and therefore $\text{eq}(y) \in \mathbb{R}^n \setminus \mathcal{A}(f_c)$. Since by Theorem 3.7 (a) $\text{eq}(y)$ may be contained in the complement of $\mathcal{A}(f_c)$ only if c is the dominant term, we have with Lemma 2.1 that $\text{eq}(y) \in \mathbb{R}^n \setminus \mathcal{A}(f_c)$ if and only if $\text{eq}(y) \in E_y(f_c)$.

(b) \Rightarrow (a) is trivial. (a) \Rightarrow (b): Since we are only interested in $\mathcal{V}(f_c)$ we may normalize such that $y = 0$ and hence $\sum_{i=1}^n \alpha(i) = -\alpha(0)$. We show that $\mathcal{A}(f)$ is symmetric around $\text{eq}(y)$: Assume that $\text{eq}(y) + \mathbf{w} \in E_y(f_c)$ for an arbitrary $\mathbf{w} \in \mathbb{R}^n$. Setting $\lambda_j = \langle \alpha(j), \text{eq}(y) + \mathbf{w} \rangle$ for $j \in \{1, \dots, n\}$ we obtain

$$(6.1) \quad \langle \alpha(0), \text{eq}(y) + \mathbf{w} \rangle = - \sum_{j=1}^n \langle \alpha(j), \text{eq}(y) + \mathbf{w} \rangle = - \sum_{j=1}^n \lambda_j.$$

Then for any permutation of the λ_j there is a \mathbf{w}' with $\langle \alpha(j), \text{eq}(y) + \mathbf{w}' \rangle = \lambda_j$ for $j \in \{0, \dots, n\} \setminus \{k, l\}$ and $\langle \alpha(k), \text{eq}(y) + \mathbf{w}' \rangle = \lambda_l$, $\langle \alpha(l), \text{eq}(y) + \mathbf{w}' \rangle = \lambda_k$. This is obvious for $k, l \in \{1, \dots, n\}$. Thus, let $k = 0, l = 1$ and $\langle \alpha(0), \text{eq}(y) + \mathbf{w}' \rangle = \lambda_1$. Then by (6.1) we have

$$\langle \alpha(1), \text{eq}(y) + \mathbf{w}' \rangle = - \langle \alpha(0), \text{eq}(y) + \mathbf{w}' \rangle - \sum_{j=2}^n \langle \alpha(j), \text{eq}(y) + \mathbf{w}' \rangle = - \sum_{j=1}^n \lambda_j,$$

i.e., every permutation of the lengths of the monomials at $\text{eq}(y) + \mathbf{w}$ is realized at some point $\text{eq}(y) + \mathbf{w}'$. Similarly, let $\phi \in [0, 2\pi)^n$ with $\exp(i \cdot \langle \alpha(j), \phi \rangle) = \psi_j \in [0, 2\pi)$. Then, with the same argumentation, there is a ϕ' realizing every given permutation of the ψ_j . Altogether, such a permutation is realized by some \mathbb{C}^* -basis transformation on $(\mathbb{C}^*)^n$. Thus, if \mathbf{w}' realizes some permutation of the λ_j , then there exists an automorphism π on $[0, 2\pi)^n$ such that for all $\psi \in [0, 2\pi)^n$: $\mathcal{F}_{\text{eq}(y) + \mathbf{w}, f_c}(\psi) = \mathcal{F}_{\text{eq}(y) + \mathbf{w}', f_c}(\pi(\psi))$. Hence, we have for all such \mathbf{w}' :

$$(6.2) \quad \text{eq}(y) + \mathbf{w} \in E_y(f_c) \Rightarrow \text{eq}(y) + \mathbf{w}' \in E_y(f_c).$$

Now investigate the complement-induced tropical hypersurface $\mathcal{C}(f_c - c)$ (see Section 2.2) with $\text{eq}(y)$ as unique vertex. Let A_0, \dots, A_n denote the cells given by the decomposition $\mathbb{R}^n \setminus \mathcal{C}(f_c - c)$. We can assume w.l.o.g. that \mathbf{w} is contained in the interior of some A_i . The fact that every permutation of the λ_i is realized at some point $\text{eq}(y) + \mathbf{w}'$ together with (6.2) yields: If $\text{eq}(y) + \mathbf{w} \in A_i$ then there is some $\text{eq}(y) + \mathbf{w}' \in E_y(f_c)$ for every $A_j \neq A_i$. Since $\text{eq}(y)$ is the unique vertex of $\mathcal{C}(f_c - c)$ and due to convexity of $E_y(f_c)$ this implies for every $\mathbf{w} \neq 0$

$$\text{eq}(y) + \mathbf{w} \in E_y(f_c) \Rightarrow \text{eq}(y) \in E_y(f_c). \quad \square$$

Theorem 6.1 yields that understanding U_y^A and its complement can be reduced to understanding the fiber function $\mathcal{F}_{\text{eq}(y), f_c}$ and its variety. With this approach we will be able to provide a geometric description of U_y^A and $(U_y^A)^c$.

For $R > r$, a hypocycloid with parameters R, r is the parametric curve in $\mathbb{R}^2 \cong \mathbb{C}$ given by

$$(6.3) \quad [0, 2\pi) \rightarrow \mathbb{C}, \quad \phi \mapsto (R - r) \cdot e^{i\phi} + r \cdot e^{i\left(\frac{r-R}{r}\right)\phi}.$$

Geometrically, it is the trajectory of some fixed point on a circle with radius r rolling (from the interior) on a circle with radius R . The main part of this section is devoted towards proving the following nice and explicit characterization of $\partial(U_y^A)^c$.

Theorem 6.2. *Let (Δ, y) be barycentric. For given $b_0, \dots, b_n \in \mathbb{C}^*$ the intersection of the set $\partial(U_y^A)^c$ with the complex line $\{(b_0, \dots, b_n, c) : c \in \mathbb{C}\}$ is given by the hypocycloid with parameters $R = (n + 1) \cdot |\Theta|$, $r = |\Theta|$ and with cusps at*

$$(6.4) \quad \arg(c) = \pi \cdot \left(1 + \frac{2k - \sum_{i=1}^n \arg(b_i)}{n + 1}\right), \quad k \in \{0, \dots, n\}.$$

We have already seen that it suffices to treat the case $y = 0$. Let $f_c \in \mathcal{P}_\Delta^0$ be a parametric family with $\sum_{i=0}^n \alpha(i) = 0$ and fixed $b_0, \dots, b_n \in \mathbb{C}^*$, $b_0 = 1$. For f_c consider the set

$$(6.5) \quad S := \{c \in \mathbb{C} : \mathcal{V}(\mathcal{F}_{\text{eq}(y), f_c}) \neq \emptyset\}$$

as a subset of $\mathbb{R}^2 \cong \mathbb{C}$. Theorem 6.1 shows that S is exactly the set of all $c \in \mathbb{C}$ such that the inner complement component of $\mathcal{A}(f)$ exists. Hence, $S \subseteq \mathbb{R}^2$ is located in the configuration space \mathcal{P}_Δ^0 intersected with the complex line $\{(b_0, \dots, b_n, c) : c \in \mathbb{C}\}$ induced by the family f_c . It contains all coefficient vectors of polynomials not belonging to U_y^A . As a first step towards the proof of Theorem 6.2 we show a technical result on the set S .

Lemma 6.3. *Let $k := -n + 1 + (-1)^{n+1}$ and*

$$(6.6) \quad F : [k, n] \times [0, 2\pi) \rightarrow \mathbb{C}, \quad (\mu, \psi) \mapsto |\Theta| \cdot \mu \cdot e^{i\psi} + |\Theta| \cdot e^{i(-n\psi + \sum_{j=1}^n \arg(b_j))}.$$

Then

- (1) *The image of F is contained in S .*
- (2) *Up to a rotation, the curve parameterized by $\phi \mapsto F(n, \phi)$ for $\phi \in [0, 2\pi)$ is a hypocycloid (6.3) with $R = (n + 1) \cdot |\Theta|$, $r = |\Theta|$.*

Proof. By (6.5) and (2.4) the set S is given by the image of the function $g : [0, 2\pi)^n \rightarrow \mathbb{C}$, $\phi \mapsto -|\Theta| \cdot \sum_{j=0}^n e^{i(\arg(b_j) + \langle \alpha(j), \phi \rangle)}$ (Theorem 6.1). The idea of the proof is that the image of g restricted to some particular subset of $[0, 2\pi)^n$ is exactly the image of F .

Let again $\alpha(1)^*, \dots, \alpha(n)^* \in \mathbb{Q}^n$ denote the dual basis of $\alpha(1), \dots, \alpha(n)$, and set

$$h(\phi) := g(\phi) - |\Theta| \cdot e^{i\langle \alpha(0), \phi \rangle} = -|\Theta| \cdot \sum_{j=1}^n e^{i(\arg(b_j) + \langle \alpha(j), \phi \rangle)}.$$

Further let $\psi \in [0, 2\pi)$ and σ_ψ denote the segment $[-k \cdot |\Theta| \cdot e^{i\psi}, n \cdot |\Theta| \cdot e^{i\psi}] \subset \mathbb{C}$.

We first discuss the case of n even. For fixed ψ , let $M := \{\phi_\xi : \xi \in [0, \pi]\}$ with

$$\phi_\xi := \sum_{j=1}^{n/2} (\psi - \arg(b_j) + \xi) \cdot \alpha(j)^* + \sum_{j=n/2+1}^n (\psi - \arg(b_j) - \xi) \cdot \alpha(j)^*.$$

Since $\arg(b_j) + \langle \alpha(j), \phi_\xi \rangle = \psi + \xi$ for $j \leq n/2$ (resp. $\psi - \xi$ for $j > n/2$) and since all summands have modulus $|\Theta|$, we see $e^{i \cdot (\arg(b_j) + \langle \alpha(j), \phi_\xi \rangle)} + e^{i \cdot (\arg(b_j) - \langle \alpha(j+n/2), \phi_\xi \rangle)} \in \sigma_\psi$. Thus, $h(\phi_\xi) \in \sigma_\psi$ for all $\phi_\xi \in M$.

Since furthermore the real part of $h(\phi_\xi) \cdot e^{-i \cdot \psi}$ is given by $n \cdot \cos(\xi)$, the image of $h(M)$ is σ_ψ , i.e. $\{|\Theta| \cdot \mu \cdot e^{i \cdot \psi} : \mu \in [k, n]\}$. Finally we have for every $\phi_\xi \in M$

$$\begin{aligned} \langle \alpha(0), \phi_\xi \rangle &= \left\langle -\sum_{j=1}^n \alpha(j), \phi_\xi \right\rangle = \sum_{j=1}^{n/2} \arg(b_j) - \psi + \xi + \sum_{j=n/2+1}^n \arg(b_j) - \psi - \xi \\ &= \sum_{j=1}^n \arg(b_j) - \psi. \end{aligned}$$

Hence the set $g(M) = \{h(\phi_\xi) + |\Theta| \cdot e^{i \cdot \langle \alpha(0), \phi_\xi \rangle} : \phi_\xi \in M\}$ coincides with the set $\{|\Theta| \cdot (\mu \cdot e^{i \cdot \psi} + e^{i \cdot (\sum_{j=1}^n \arg(b_j) - \psi)}) : \mu \in [k, n]\}$, i.e., $g(M) = F([k, n], \psi)$.

If n is odd, the argumentation is analogous up to the fact that we redefine $M := \{\phi_\xi : \xi \in [0, \pi]\}$ by

$$\begin{aligned} \phi_\xi &:= (\psi - \arg(b_1)) \cdot \alpha(1)^* + \sum_{j=2}^{\lfloor n/2 \rfloor} (\psi - \arg(b_j) + \xi) \cdot \alpha(j)^* + \\ &\quad \sum_{j=\lfloor n/2 \rfloor + 1}^n (\psi - \arg(b_j) - \xi) \cdot \alpha(j)^*. \end{aligned}$$

This proves the first statement.

For the choice of R and r we obtain the hypocycloid curve $\{|\Theta| \cdot n \cdot e^{i \cdot \phi} + |\Theta| \cdot e^{-i \cdot n|\Theta| \phi} : \phi \in [0, 2\pi)\}$, which coincides with the image of $F(n, \psi)$, $\psi \in [0, 2\pi)$ up to a coordinate change given by $\psi \mapsto \left(\frac{\sum_{i=1}^n \arg(b_i)}{n+1}\right) + \phi$. This is the second statement. \square

Indeed, the next lemma states that the set S *exactly* coincides with the region defined by the hypocycloid curve. See the Appendix for a detailed calculation.

Lemma 6.4. *The set S equals the region T whose boundary is (up to rotation) the hypocycloid with parameter $R = (n+1) \cdot |\Theta|$, $r = |\Theta|$ given by $\phi \mapsto F(n, \phi)$ for $\phi \in [0, 2\pi)$. In particular, S is simply connected.*

With these results we are able to prove Theorem 6.2:

Proof of Theorem 6.2. Again, we may assume that y is the origin. For $b_0, \dots, b_n \in \mathbb{C}^*$ we investigate the parametric family $f_c = c + \sum_{j=0}^n b_j \cdot \mathbf{z}^{\alpha(j)} \in \mathcal{P}_\Delta^0$ with a parameter $c \in \mathbb{C}$. On this complex line in the configuration space we want to describe $\partial(U_y^A)^c$.

By Theorem 6.1 (c), $\mathcal{A}(f_c)$ has genus 1 if and only if $c \notin \{|\Theta| \cdot \sum_{j=0}^n e^{i \cdot (\arg(b_j) + \langle \alpha(j) - y, \phi \rangle)} : \phi \in [0, 2\pi)^n\}$ (recall that Θ depends on the choice of the b_i) which is the complement of S by definition. Therefore

$$\partial((U_y^A)^c) \cap \{(b_0, \dots, b_n, c) : c \in \mathbb{C}\} = \partial S.$$

By Lemma 6.4, ∂S is up to rotation a hypocycloid with parameters $R = (n+1) \cdot |\Theta|$, $r = |\Theta|$ around the origin. The location of the cusps follows from the definition of the ∂S -describing function F in (6.6) solving $i \cdot \lambda = -i \cdot (n \cdot \lambda + \sum_{j=1}^n \arg(b_j)) \pmod{2\pi}$. \square

Example 6.5. For the parametric family of polynomials $f_c = 1 + 2.4 \cdot z_1^2 z_2 + c \cdot z_1 z_2^3 + (1 + 1.3i) \cdot z_1 z_2^8$, the set $\mathcal{P}_\Delta^y \cap \{(1 : 2.4 : 1 + 1.3 \cdot i : c) : c \in \mathbb{C}\}$ is illustrated in Figure 3.

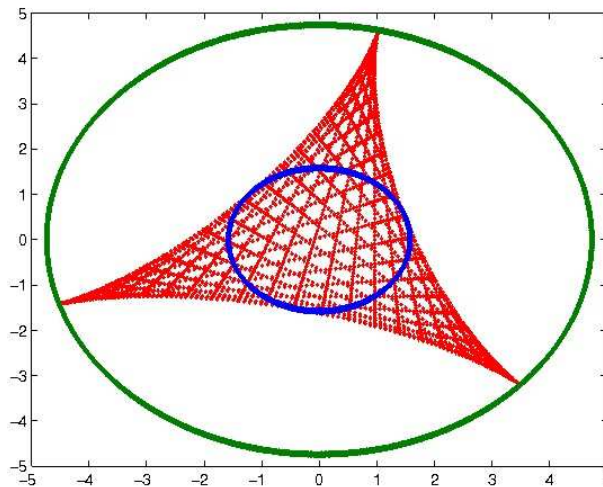


FIGURE 3. A meshplot of S . The green (light) circle has radius $3 \cdot |\Theta|$ and the blue (dark) circle has radius $|\Theta|$ with $|\Theta| \approx 1.5789$.

Finally, we show pathconnectivity of the set U_y^A and therefore answer Rullgård's question for all configuration spaces of amoebas of polynomials with barycentric simplex Newton polytopes with one inner lattice point (see Corollary 6.7). As a cornerstone, we show the following general result about configuration spaces of amoebas

Theorem 6.6. *Let $A = \text{conv}\{\alpha(1), \dots, \alpha(d)\}$ and $j \in \{1, \dots, d\}$. If for every $b \in \mathbb{C}_\diamond^A$ the set $\{(b_1, \dots, b_d) : b_j \in \mathbb{C}^*\} \cap (U_{\alpha(j)}^A)^c$ is simply connected, then $U_{\alpha(j)}^A$ is pathconnected.*

Proof. We identify $b \in \mathbb{C}_\diamond^A$ with $f_b := \sum_{i=1}^d b_i \cdot \mathbf{z}^{\alpha(i)} \in \mathbb{C}_\diamond^A$. Let w.l.o.g. $j = 1$, and let $a, b \in U_{\alpha(1)}^A \subseteq \mathbb{C}_\diamond^A$. We construct an explicit path γ between a and b such that $\gamma \in U_{\alpha(1)}^A$. Let $[a, b]$ denote the line segment $a + \mu \cdot (b - a) \subset \mathbb{C}_\diamond^A$, $\mu \in [0, 1]$. For the construction of the path we need a value $\kappa \in \mathbb{R}_{>0}$ for the modulus of the first coordinate of points in \mathbb{C}_\diamond^A

such that every point on $[a, b]$ is lopsided. This is guaranteed by

$$(6.7) \quad \kappa := 1 + \max_{c \in [a, b]} \min_{\mathbf{w} \in \mathbb{R}^n} \left\{ \sum_{i=2}^d |c_i| \cdot e^{\langle \mathbf{w}, \alpha^{(i)} - \alpha^{(1)} \rangle} \right\} \in \mathbb{R}_{>0}.$$

Define the points $a', b' \in \mathbb{C}_{\diamond}^A$ by

$$a' := (\kappa \cdot \arg(a_1), a_2, \dots, a_d), \quad b' := (\kappa \cdot \arg(b_1), b_2, \dots, b_d).$$

The choice of κ guarantees that the polynomials $f_{a'}$ and $f_{b'}$ are lopsided at some point with the monomial with exponent $\alpha(1)$ as dominant term and therefore $a', b' \in U_{\alpha(1)}^A$. Since for every $b \in \mathbb{C}_{\diamond}^A$ the set $\{(b_1, \dots, b_d) : b_1 \in \mathbb{C}\} \cap (U_{\alpha(1)}^A)^c$ is simply connected and since $a, a', b, b' \in U_{\alpha(1)}^A$, there exists a path γ_1 from a to a' and a path γ_2 from b' to b with $\gamma_1 \subset \{(a_1, a_2, \dots, a_d) : a_1 \in \mathbb{C}\} \cap U_{\alpha(1)}^A$ and $\gamma_2 \subset \{(b_1, b_2, \dots, b_d) : b_1 \in \mathbb{C}\} \cap U_{\alpha(1)}^A$. Let

$$d := (\kappa \cdot \arg(b_1), \arg(b_2) \cdot |a_2|, \dots, \arg(b_d) \cdot |a_d|).$$

Since there is a $\mathbf{w} \in \mathbb{R}^n$ with $\mathbf{w} \in E_{\alpha(1)}(f_{a'})$ and $f_{a'}\{\mathbf{w}\}$ lopsided we have

$$\mathbb{T}(f_{a'}) = \left\{ f' = \kappa \cdot e^{i\psi_1} \cdot \mathbf{z}^{\alpha(1)} + \sum_{j=2}^d e^{i\psi_j} \cdot a_j \cdot \mathbf{z}^{\alpha(j)} : \psi_j \in [0, 2\pi) \text{ for all } j \right\} \subset U_{\alpha(1)}^A$$

by Proposition 5.2. Since furthermore $d \in \mathbb{T}(f_{a'})$, there exists a path $\gamma_3 \subset \mathbb{T}(f_{a'}) \subset U_{\alpha(1)}^A$ from a' to d .

Let γ_4 denote the line segment

$$\gamma_4 := \{d + \lambda \cdot (0, \arg(b_2) \cdot (|b_2| - |a_2|), \dots, \arg(b_d) \cdot (|b_d| - |a_d|)), \quad \lambda \in [0, 1]\}.$$

By construction $\gamma_4(\lambda) \in \mathbb{T}(f_{a+\lambda(b-a)})$ for all $\lambda \in [0, 1]$. Since for every $\lambda \in [0, 1]$ the first coordinate of $\gamma_4(\lambda)$ has modulus κ , it follows from (6.7) and Proposition 5.2 that there is a $\mathbf{w} \in \mathbb{R}^n$ such that $f_{\gamma_4(\lambda)}\{\mathbf{w}\}$ is lopsided and in $E_{\alpha(1)}(f_{\gamma_4(\lambda)})$. Hence, $\gamma_4 \subset U_{\alpha(1)}^A$. Therefore, $\gamma := \gamma_2 \circ \gamma_4 \circ \gamma_3 \circ \gamma_1$ is a path from a to b with $\gamma \in U_{\alpha(1)}^A$. \square

Corollary 6.7. *If (Δ, y) is barycentric then U_y^A is pathconnected.*

Proof. All S (see (6.5)) are simply connected (Lemma 6.4) and contain the origin (Theorem 3.7). Thus, U_y^A is pathconnected by Theorem 6.6. \square

ACKNOWLEDGEMENTS

The authors would like to thank Mikael Passare for helpful comments, in particular for his suggestion to investigate A -discriminants and the example presented in the beginning of Section 6.

REFERENCES

1. G. Averkov, *On the size of lattice simplices with a single interior lattice point*, 2011, Preprint, arXiv:1103.0629.
2. F. Bihan, *Polynomial systems supported on circuits and dessins d'enfants*, J. Lond. Math. Soc. (2) **75** (2007), no. 1, 116–132.
3. M. Einsiedler, D. Lind, R. Miles, and T. Ward, *Expansive subdynamics for algebraic \mathbb{Z}^d -actions*, Ergodic Theory Dynam. Systems **21** (2001), no. 6, 1695–1729.
4. M. Forsberg, M. Passare, and A. Tsikh, *Laurent determinants and arrangements of hyperplane amoebas*, Adv. Math. **151** (2000), 45–70.
5. A. Gathmann, *Tropical algebraic geometry*, Jahresber. Deutsch. Math.-Verein. **108** (2006), no. 1, 3–32.
6. I.M. Gelfand, M.M. Kapranov, and A.V. Zelevinsky, *Discriminants, Resultants and Multidimensional Determinants*, Birkhäuser, Boston, 1994.
7. D. Maclagan and B. Sturmfels, *Introduction to Tropical Geometry*, Book manuscript, 2011.
8. G. Mikhalkin, *Real algebraic curves, the moment map and amoebas*, Ann. Math. **151** (2000), 309–326.
9. ———, *Amoebas of algebraic varieties and tropical geometry*, Different Faces of Geometry (S. K. Donaldson, Y. Eliashberg, and M. Gromov, eds.), Kluwer, New York, 2004, pp. 257–300.
10. L. Nilsson, *Amoebas, Discriminants, and Hypergeometric Functions*, Ph.D. thesis, Stockholm University, 2009.
11. L. Nilsson and M. Passare, *Discriminant coamoebas in dimension two*, J. Commut. Algebra **2** (2010), 447–471.
12. M. Nisse, *Maximally sparse polynomials have solid amoebas*, Preprint, arXiv:0704.2216, 2007.
13. M. Nisse and F. Sottile, *The phase limit set of a variety*, Preprint, arXiv:1106.0096, 2011.
14. M. Passare and H. Rullgård, *Amoebas, Monge-Ampère measures and triangulations of the Newton polytope*, Duke Math. J. **121** (2004), no. 3, 481–507.
15. M. Passare, T. Sadykov, and A. Tsikh, *Singularities of hypergeometric functions in several variables*, Compositio Math. **141** (2005), 787–810.
16. M. Passare and A. Tsikh, *Amoebas: their spines and their contours*, Idempotent Mathematics and Mathematical Physics, Contemp. Math., vol. 377, Amer. Math. Soc., Providence, RI, 2005, pp. 275–288.
17. P. Pébay, J.M. Rojas, and D.C. Thompson, *Optimizing n -variate $(n+k)$ -nomials for small k* , Theoret. Comput. Sci. **412** (2011), no. 16, 1457–1469.
18. K. Purbhoo, *A Nullstellensatz for amoebas*, Duke Math. J. **14** (2008), no. 3, 407–445.
19. B. Reznik, *Lattice point simplices*, Discrete Math. **60** (1986), 219–242.
20. J. Richter-Gebert, B. Sturmfels, and T. Theobald, *First steps in tropical geometry*, Idempotent Mathematics and Mathematical Physics, Contemp. Math., vol. 377, Amer. Math. Soc., Providence, RI, 2005, pp. 289–317.
21. L.I. Ronkin, *On zeros of almost periodic functions generated by functions holomorphic in a multicircular domain*, Complex Analysis in Modern Mathematics (Russian), FAZIS, Moscow, 2001, pp. 239–251.
22. H. Rullgård, *Topics in Geometry, Analysis and Inverse Problems*, Ph.D. thesis, Stockholm University, 2003.
23. T. Theobald and T. de Wolff, *Approximating amoebas and coamoebas by sums of squares*, 2011, Preprint, arXiv:1101.4114.

APPENDIX A. PROOF OF LEMMA 6.4

We provide the calculations for the proof of Lemma 6.4.

Lemma A.1. *Let T denote the region whose boundary is the (rotated) hypocycloid given by $\phi \mapsto F(n, \phi)$ for $\phi \in [0, 2\pi)$. Then $S \subseteq T$ and $\partial T \subseteq \partial S$.*

Proof. By Theorem 6.1, S is given by the image of the function $g : [0, 2\pi)^n \rightarrow \mathbb{C}$, $\phi \mapsto -|\Theta| \cdot \sum_{j=0}^n e^{i \cdot (\arg(b_j) + \langle \alpha(j), \phi \rangle)}$ with $\alpha(0) = -\sum_{j=1}^n \alpha(j)$. In order to show $S \subseteq T$, it suffices to show that every critical point of g is contained in T , because every boundary point of S is a critical point of g .

Once more, we use the dual basis $\alpha(1)^*, \dots, \alpha(n)^*$ of $\alpha(1), \dots, \alpha(n)$ again, i.e. $\phi := \sum_{j=1}^n \phi_j \cdot \alpha(j)^*$. Furthermore, we can assume w.l.o.g. $\arg(b_1) = \dots = \arg(b_n) = 0$ since we can replace ϕ_j by $-\arg(b_j) + \phi_j$. We have

$$\frac{\partial g}{\partial \phi_j}(\phi) = -|\Theta| \cdot i \cdot \left(e^{i \cdot \phi_j} - e^{-i \cdot (\sum_{l=1}^n \phi_l - \arg(b_0))} \right),$$

and thus,

$$\begin{aligned} \operatorname{Re} \left(\frac{\partial g}{\partial \phi_j}(\phi) \right) &= |\Theta| \cdot \left(\sin(\phi_j) - \sin \left(-\sum_{l=1}^n \phi_l + \arg(b_0) \right) \right) \\ \text{and } \operatorname{Im} \left(\frac{\partial g}{\partial \phi_j}(\phi) \right) &= |\Theta| \cdot \left(-\cos(\phi_j) + \cos \left(-\sum_{l=1}^n \phi_l + \arg(b_0) \right) \right). \end{aligned}$$

ϕ is a critical point of g if and only if $\operatorname{Re}(\nabla g(\phi)) = \lambda_\phi \cdot \operatorname{Im}(\nabla g(\phi))$ with $\lambda_\phi \in \mathbb{R}$, i.e., if and only if for all $j \in \{1, \dots, n\}$:

$$\lambda_\phi \cdot \cos(\phi_j) + \sin(\phi_j) = \lambda_\phi \cdot \cos \left(-\sum_{l=1}^n \phi_l + \arg(b_0) \right) + \sin \left(-\sum_{l=1}^n \phi_l + \arg(b_0) \right).$$

Since the right hand term is independent of j , this implies

$$\lambda_\phi \cdot \cos(\phi_j) + \sin(\phi_j) = \lambda_\phi \cdot \cos(\phi_k) + \sin(\phi_k)$$

for all $j, k \in \{1, \dots, n\}$. This is in particular true if $\cos(\phi_j) = \cos(\phi_k)$ and $\sin(\phi_j) = \sin(\phi_k)$, i.e., if all $e^{i \cdot \phi_j}$ have the same argument, that is, $g(\phi)$ is located on the (rotated) hypocycloid given by $F(n, \psi)$, $\psi \in [0, 2\pi)$ (see (6.6), Lemma 6.3).

The function $h(\phi_j) := \lambda_\phi \cdot \cos(\phi_j) + \sin(\phi_j)$ is a periodic function in the interval $[0, 2\pi)$ which has a vanishing derivative exactly at the points ϕ_j with $\tan(\phi_j) = 1/\lambda_\phi$. \tan is π -periodic and strictly increasing on the interval $(-\pi/2, \pi/2)$. Therefore, for a fixed solution ϕ_n of $h(\phi_n)$, for every $j \in \{1, \dots, n-1\}$ there are exactly two possibilities: either $\phi_j = \phi_n$ or ϕ_j is the unique solution distinct from ϕ_n with $h(\phi_j) = h(\phi_n)$, and that one coincides with $-\sum_{l=1}^n \phi_l + \arg(b_0)$.

Thus, if ϕ is a critical point with $g(\phi) \notin F(n, [0, 2\pi))$, then there are ϕ_j (w.l.o.g. $j = 1, \dots, s$ for some $1 \leq s < n-1$) satisfying $\lambda_\phi \cdot \cos(\phi_j) = \cos(-\sum_{l=1}^n \phi_l + \arg(b_0))$ and $\sin(\phi_j) = \sin(-\sum_{l=1}^n \phi_l + \arg(b_0))$, which means that $\arg(e^{i \cdot \phi_1}) = \dots = \arg(e^{i \cdot \phi_s}) = \arg(e^{-i \cdot \sum_{l=1}^n \phi_l + \arg(b_0)})$ and $\arg(e^{i \cdot \phi_{s+1}}) = \dots = \arg(e^{i \cdot \phi_n})$. Hence, $\phi_1 = \dots = \phi_s$, $\phi_{s+1} = \dots = \phi_n$ and

$$\begin{aligned} \phi_1 &= -\sum_{l=1}^n \phi_l + \arg(b_0) = -s \cdot \phi_1 - (n-s) \cdot \phi_n + \arg(b_0) \\ &= -\frac{n-s}{s+1} \cdot \phi_n + \arg(b_0). \end{aligned}$$

Thus, $g(\phi)$ is located on the curve given by the hypocycloid with parameters $R = (n+1)|\Theta|$ and $r' = (s+1)|\Theta|$ rotated by $\arg(b_0)$ (see (6.3)).

Since S is a subset of the closed ball $\mathcal{B}_{(n+1)\cdot|\Theta|}(0)$ with radius $(n+1)\cdot|\Theta|$ around the origin (Theorem 3.7), it is bounded and since U_y^A is a closed set, we have $\partial S \subset S$. Since the trajectory of every hypocycloid with parameters $R = n+1$ and $r \in \{2, \dots, n-1\}$ is a subset of T (coinciding with $F(n, \psi)$, $\psi \in [0, 2\pi)$ at the cusps), we have $S \subseteq T$.

Since the sets S and T are closed, the statement $\partial T \subseteq \partial S$ follows from $S \subseteq T$ and $\partial T \subseteq S$. The first of these conditions has just been shown and the second one is Lemma 6.3 in connection with the definition of T . \square

Proof of Lemma 6.4. By Lemma A.1 we know that $S \subseteq T$ with $\partial T \subseteq \partial S$. Furthermore, by (6.6) the image of F is contained in S . Hence, the lemma is proven if we can show that the image of F equals T (which is simply connected by definition).

Let $k := n - 1 + (-1)^n$ and w.l.o.g. $\arg(b_1), \dots, \arg(b_n) = 0$. F satisfies an $(n+1)$ -quasiperiodicity condition $F(\mu, j \cdot \psi) = e^{i \cdot (2\pi j)/(n+1)} \cdot F(\mu, \psi)$ with $\mu \in [k, n]$, $\psi \in [0, 2\pi/(n+1)]$, $j \in \{0, \dots, n\}$. In particular,

$$(A.1) \quad \{F(\mu, j \cdot 2\pi/(n+1)) : \mu \in [k, n]\} = \{e^{i \cdot 2\pi \cdot j/(n+1)} \cdot \mu : \mu \in [-k, n]\}$$

for $j \in \{0, \dots, n\}$.

We know that the path $\gamma_n(\psi) := F(n, \psi)$ with $\psi \in [0, 2\pi)$ is a hypocycloid (Lemma 6.3). Let $T = T_1 \cup \dots \cup T_{n+1}$ where

$$T_j := T \cap \{x \in \mathbb{C} : \arg(x) \in [(j-1) \cdot 2\pi/(n+1), j \cdot 2\pi/(n+1)]\}.$$

We show that the image of F equals T and thus is in particular simply connected. This follows from the quasiperiodicity, if the image of $F(\mu, \psi)$ with $\psi \in [0, 2\pi/(n+1)]$ covers T_1 .

The pathsegment $\gamma_n(\psi)$ with $\psi \in [0, 2\pi/(n+1)]$ is a loop-free path, which is injective in the argument. The pathsegment $\gamma_0(\psi)$ with $\psi \in [0, 2\pi/(n+1)]$ is a segment of a circle in $(T \setminus T_1) \cup \partial T_1$, which is also injective in the argument. Thus, for every $\psi \in (0, 2\pi/(n+1))$ the segment $\sigma_\psi := [F(0, \psi), F(n, \psi)]$ intersects $\{x \in [0, n]\} \cup \{x \in e^{i \cdot 2\pi/(n+1)} \cdot [0, n]\}$ at some point t_ψ . This implies with (A.1) that F covers the homotopy $H : [0, 2\pi/(n+1)] \rightarrow \{[x_1, x_2] \subset \mathbb{C}\}, \psi \rightarrow [t_\psi, \gamma_n(\psi)]$ of line segments with $H(0) = [n, n]$, $H(2\pi/(n+1)) = e^{i \cdot 2\pi/(n+1)} \cdot [n, n]$. The image of H is T_1 . Hence, the image of $F(\mu, \psi)$ with $\psi \in [0, 2\pi/(n+1)]$ covers T_1 and therefore the image of F is T . Since $\text{im}(F) \subseteq S$ and $S \subseteq T$ we have $S = T$ and thus, S is simply connected. \square

Example A.2. Figure 4 illustrates the proof of Lemma 6.4 for the case of $f := c + \sum_{j=1}^5 \mathbf{z}^{\alpha(j)} \in \mathbb{C}[z_1, \dots, z_4]$ with $\sum_{j=1}^5 \alpha(j) = 0$. Here, $\Theta = 1$ hence $R = 5$ and $r = 1$. Due to quasiperiodicity it suffices to cover the grey region T_1 . Of course, γ_5 is the hypocycloid with the upper values of R and r and γ_0 is the circle of radius 1 around the origin. In the figure one can see the pathsegments $\sigma(0)$ and $\sigma(\frac{2}{5}\pi)$ yielding the start- and endpoint of the homotopy H (the two cusps intersecting T_1) and the pathsegments $\sigma(\frac{2}{7}\pi)$ and $\sigma(\frac{11}{21}\pi)$ which yield $H(\frac{2}{7}\pi)$ and $H(\frac{11}{21}\pi)$ given by the subsegments from the point on γ_5 to $t_{2\pi/7}$

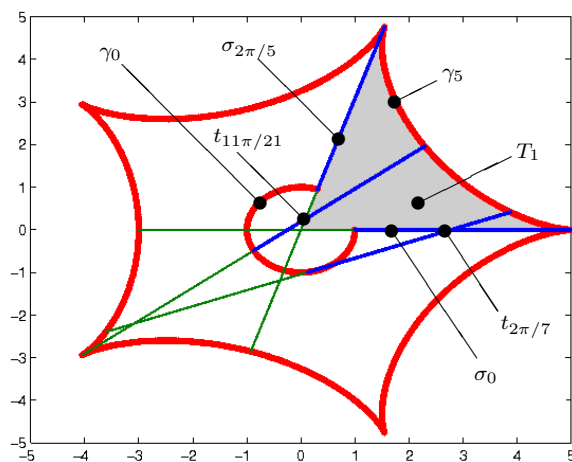


FIGURE 4. Illustration of the covering of the set S by the function F .

resp. $t_{11\pi/21}$. One can see how the complete area T_1 is covered by these subsegments given by H .

GOETHE-UNIVERSITÄT, FB 12 – INSTITUT FÜR MATHEMATIK, POSTFACH 11 19 32, D–60054
 FRANKFURT AM MAIN, GERMANY
E-mail address: {theobald,wolff}@math.uni-frankfurt.de