

# Identification and signatures based on NP-hard problems of indefinite quadratic forms

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**Abstract.** We prove NP-hardness of equivalence and representation problems of quadratic forms under probabilistic reductions, in particular for indefinite, ternary quadratic forms with integer coefficients. We present identifications and signatures based on these hard problems. The bit complexity of signature generation and verification is quadratic using integers of bit length 150.

**Keywords.** Identification, signature, indefinite quadratic forms, NP-hardness, proof of knowledge, anisotropic form, equivalence class.

**AMS classification.** 11E20, 94A60, 68D15, 11D09, 11R29.

## 1 Introduction

The arithmetic theory of quadratic forms goes back to FERMAT, LEGENDRE, LAGRANGE, and GAUSS. Algorithmic problems for lattices and quadratic forms have been promoted by the LLL-algorithm [14]. Recently definite forms or lattices gave rise to cryptographic protocols related to the NP-hard problems of finding a shortest or a closest lattice vector; see [15], [20] for hardness results and [1], [8], [11], [12] for applications. Cryptographic protocols based on NP-hard problems seem to withstand attacks by quantum computers. However, lattice cryptography requires lattices of high dimension. This yields long cryptographic keys and slow protocols.

By contrast, we present identification and signatures based on hard problems of quadratic forms in dimension three and four. Bounded solutions of equivalence and representation problems for indefinite ternary forms are shown to be NP-hard for probabilistic reductions. This follows from ADLEMAN and MANDERS [18] who proved NP-completeness of deciding solvability of inhomogeneous binary quadratic equations over the integers. Signature generation and verification have quadratic bit complexity for integers of bit length 150. Note that RSA has cubic bit complexity for much longer integers.

**Outline.** Section 2 introduces computational problems of quadratic forms. Section 3 presents an identification scheme that proves knowledge of an equivalence transform for ternary anisotropic forms. It performs one LLL-reduction and a few arithmetic steps per round. Section 4 extends the identification to long challenges and signatures. Section 5 gives NP-hardness proofs. Section 6 presents polynomial time solutions for problems of isotropic forms of odd squarefree determinant. Section 7 characterizes anisotropic forms.

## 2 The equivalence problem of quadratic forms

**Quadratic forms.** An  $n$ -ary *quadratic form* (or simply *form*)  $f$  over  $\mathbb{Z}$  is a homogeneous quadratic polynomial  $f = f_A = \sum_{i,j=1}^n a_{i,j}x_i x_j$  with coefficients  $a_{i,j} = a_{j,i} \in \frac{1}{2}\mathbb{Z}$  for  $i \neq j$ ,  $a_{i,i} \in \mathbb{Z}$ ,  $A = (a_{i,j}) \in \mathbb{Z}^{n \times n}$ . Note that  $f$  takes integer values for  $x_1, \dots, x_n \in \mathbb{Z}$ . By definition  $\det f = \det A$ ,  $\dim f = n$ .

**Equivalence classes.** Let  $f = f_A$  be an  $n$ -ary form. For  $T \in \mathbb{Z}^{n \times n}$  let  $f_A T$  denote the form  $f_{T^t A T}$ . The forms  $f, fT$  are called *equivalent* if  $T \in \text{GL}_n(\mathbb{Z})$ , i.e.,  $|\det T| = 1$ . Obviously  $\det(fT) = (\det T)^2 \det f = \det f$ . We call the equivalence class of  $f$  simply the *class* of  $f$ . Let  $\mathcal{O}(f) = \{T : fT = f, |\det T| = 1\}$  denote the group of *automorphisms* of  $f$ . The textbook by CASSELS [3] surveys of the structure of classes.

Relevant properties of forms are:  $f$  is *regular* if  $\det f \neq 0$ ;  $f$  is *indefinite* if  $f$  takes both positive and negative values. Otherwise  $f$  is either *positive* or *negative*, positive forms correspond to the Gram matrices  $A = B^t B \in \mathbb{R}^{n \times n}$  of lattice bases  $B \in \mathbb{R}^{n \times n}$ .  $f = f_A$  is *primitive* if  $\gcd(a_{ij} \mid 1 \leq i, j \leq n) = 1$ ;  $f$  is *isotropic* if  $f(\mathbf{u}) = 0$  holds for some nonzero  $\mathbf{u} \in \mathbb{Z}^n$ , otherwise  $f$  is *anisotropic*. Every regular isotropic form is necessarily indefinite. The forms  $f = \sum_{i=1}^n a_i x_i^2$  are called *diagonal*.

We study the equivalence problem of forms  $f \in \mathcal{Q}$  for various sets of forms  $\mathcal{Q}$ .

### Computational equivalence problem, CEP( $\mathcal{Q}$ )

*GIVEN:* equivalent forms  $f, g \in \mathcal{Q}$ .

*FIND:*  $T \in \text{GL}_n(\mathbb{Z})$  such that  $g = fT$ .

**Representations.** An  $n$ -ary form  $f$  *represents* the integer  $m$  if there exists  $\mathbf{u} \in \mathbb{Z}^n \setminus \{0\}$  such that  $f(\mathbf{u}) = m$ . The representation  $\mathbf{u}$  is *primitive* if  $\gcd(u_1, \dots, u_n) = 1$ . Let  $\mathbf{CR}(\mathcal{Q})$  denote the problem to find for given  $f \in \mathcal{Q}$  and  $m \in \mathbb{Z}$  a primitive  $\mathbf{u} \in \mathbb{Z}^n$  such that  $f(\mathbf{u}) = m$  whenever such  $\mathbf{u}$  exists.

We show in section 5 that bounded solutions of **CEP** and **CR** are **NP**-hard to find for indefinite forms  $f$  of  $\dim f = 3$  and for definite forms  $f$  of  $\dim f = 5$ .

LLL-reduction [14] extends from lattice bases and definite forms to indefinite forms. LLL-forms  $f_A$ ,  $A = (a_{i,j})$  satisfy  $a_{1,1}^2 \leq 2^{n/2}(\det A)^{2/n}$ . There is a poly-time algorithm that transforms  $f$  into an LLL-form  $fT$  with  $T \in \text{GL}_n(\mathbb{Z})$ , see [13], [25], [27].

## 3 Identification based on the equivalence problem

We present efficient proofs of knowledge of an equivalence transform for indefinite forms. We use anisotropic forms for keys because there exist poly-time (unbounded) solutions of **CEP** and **CR** for isotropic forms  $f$  given the factorization of  $\det f$ , see section 6. No relevant poly-time solutions are known for **CEP** and **CR** and anisotropic forms. Let  $k \in \mathbb{N}$  be a security parameter.

*Key generation.* Pick an anisotropic form  $f_1 = ax^2 + by^2 - cz^2$  with  $a, b, c \in_R [1, 2^k]$ . Using the factorization of  $\det f$  verify that  $f$  is anisotropic, see section 7. Generate  $T$  and  $f_1 T$  by **CT**( $f_1$ ) and set  $S := T$ ,  $f_0 := f_1 S$ .

The *public key* is  $f_0, f_1$ , the *private key* is  $S$ .

The goal of the following procedure  $\mathbf{CT}(f)$  is to generate within  $(\mathcal{P}, \mathcal{V})_1$  forms  $f_b S^b T$  for  $b = 0, 1$  via  $\mathbf{CT}(f_b S^b)$  with (practically) indistinguishable distributions.

$\mathbf{CT}(f)$ : Computes a randomized  $T = (t_{i,j}) \in \mathbf{GL}_3(\mathbb{Z})$  and an LLL-form  $f := fT$ .

1. Pick  $t_{i,j} \in_R ]-2^k, 2^k[$  at random for  $j \neq 1$ . Compute the  $t_{i,1}^{adj}$  of  $(t_{i,j}^{adj}) = T^{-1} \det T$ :  
 $(t_{1,1}^{adj}, t_{2,1}^{adj}, t_{3,1}^{adj}) := (t_{2,2}t_{3,3} - t_{2,3}t_{3,2}, t_{1,2}t_{3,3} - t_{1,3}t_{3,2}, t_{1,2}t_{3,3} - t_{1,3}t_{3,2})$ .
2. Compute the  $t_{i,1}$  by solving  $\sum_{i=1}^3 t_{i,1} t_{i,1}^{adj} = \gcd(t_{1,1}^{adj}, t_{2,1}^{adj}, t_{3,1}^{adj}) =: \gcd$  for  $t_{i,1} \in \mathbb{Z}$  by the extended gcd-algorithm. If  $\gcd \neq 1$  then repeat with some new  $t_{i,j}, j \neq 1$ .
3. LLL-reduce  $fT$  to  $fTT'$ , replace  $T := TT'$ , and denote  $\mathbf{CT}(f) := fT$ .

Step 3 balances the initially large  $|t_{i,1}| \leq \max_i |t_{i,1}^{adj}| < 2^{2k+2}$  with the smaller  $t_{i,j}, j \neq 1$ . The random initial  $t_{i,j}, j \neq 1$  randomize the leading and the least significant  $k$  bits of the final  $t_{i,j}$ .

### Identification $(\mathcal{P}, \mathcal{V})_1$

The prover  $\mathcal{P}$  proves to the verifier  $\mathcal{V}$  knowledge of  $S$ .

1.  $\mathcal{P}$  sends as commitment the LLL-form  $\bar{f} := f_0 T := \mathbf{CT}(f_0)$ ,
  2.  $\mathcal{V}$  sends a random one-bit challenge  $b \in_R \{0, 1\}$ ,
  3.  $\mathcal{P}$  sends the reply  $R_b := S^b T$ , and  $\mathcal{V}$  checks that  $f_b R_b = \bar{f}$  and  $|\det R_b| = 1$ .
- Obviously, the honest prover  $\mathcal{P}$  withstands the test  $f_b R_b = \bar{f}$ .

*Proof of knowledge.* Consider a fraudulent  $\tilde{\mathcal{P}}$  that sends arbitrary  $\bar{f}, \bar{R}_b$ . The trivial  $\tilde{\mathcal{P}}$  guesses  $b$  in step 1 with probability  $\frac{1}{2}$ , sends the LLL-form  $\bar{f} := f_b T := \mathbf{CT}(f_b)$  and replies  $\bar{R}_b := T$ . Then  $\tilde{\mathcal{P}}$  withstands the verification with probability  $\frac{1}{2}$ . The probability  $\frac{1}{2}$  cannot be increased. If an arbitrary  $\tilde{\mathcal{P}}$  withstands the verification for the same  $\bar{f}$  and both challenges  $b = 0, 1$  then  $f_0 \bar{R}_0 = \bar{f} = f_1 \bar{R}_1$ , and thus  $f_1 \bar{R}_1 \bar{R}_0^{-1} = f_0$ . This yields an alternative private key  $\bar{S} = \bar{R}_1 \bar{R}_0^{-1} \in \mathcal{O}(f_1) S$  in time proportional to  $|(\tilde{\mathcal{P}}, \mathcal{V})_1|$  (which denotes the number of steps of  $(\tilde{\mathcal{P}}, \mathcal{V})_1$ ):

**Theorem 3.1.** *An arbitrary fraudulent prover  $\tilde{\mathcal{P}}$  that succeeds in  $(\tilde{\mathcal{P}}, \mathcal{V})_1$  with probability  $\varepsilon > \frac{1}{2}$  finds some  $\bar{S} \in \mathcal{O}(f_1) S$  in expected time  $|(\tilde{\mathcal{P}}, \mathcal{V})_1| / (\varepsilon - \frac{1}{2})$ .*

*Secret key protection.* We give heuristic arguments that the protocol  $(\mathcal{P}, \mathcal{V})_1$  is secure against a fraudulent verifier  $\tilde{\mathcal{V}}$ , in particular that the information  $R_b$  released to  $\tilde{\mathcal{V}}$  does not help to compute some  $\bar{S} \in \mathcal{O}(f_1) S$ .

Only  $\mathcal{P}$ 's reply  $R_1 = ST$  depends on  $S$  whereas  $R_0 = T$  is independent of  $S$ . However,  $f_1$  and  $\bar{f}$  define  $R_1$  uniquely up to automorphisms of  $f_1$  by the equation  $f_1 R_1 = \bar{f}$ . Note that  $f_1$  and  $\bar{f}$  should be nearly independent of  $S$  since  $f_0 = f_1 S$  and  $\bar{f} = f_1 S T$  are generated by  $\mathbf{CT}$  using independent random bits. Therefore, nearly all information that  $ST$  contains about  $S$  should depend on the choice of automorphisms of  $f_1$ , and these automorphisms must be sufficiently small. There provably exist polynomial size  $A \in \mathcal{O}(f_1)$  [6] but their known bounds are extremely large having polynomial degree larger than 500.

The protocol  $(\mathcal{P}, \mathcal{V})_1$  would be *statistical zeroknowledge* if the distributions of  $T$

and  $ST$  differ negligibly. We did not yet check this out experimentally.

For further protection we can let  $\mathcal{P}$  randomize  $R_1 = A_1ST$  by choosing  $A_1 \in \mathcal{O}(f_1)$  according to some random distribution; to this end it suffices to precompute generators of  $\mathcal{O}(f_1)$  once and for all. If the resulting distributions of  $R_1$  are close for varying  $ST$ , the  $R_1$  will practically only depend on  $\bar{f}$  and  $f_1$ . We conjecture that this additional measure of protection is not necessary:

**Conjecture.**  $\mathcal{P}$  does not reveal relevant information about  $S$ .

This extends to independent sequential executions of  $(\mathcal{P}, \mathcal{V})_1$ , since  $\mathcal{P}$  generates the corresponding  $\bar{f} := f_0T := \mathbf{CT}(f_0)$  using independent random bits. Since  $(\mathcal{P}, \mathcal{V})_1$  is restricted to one-bit challenges, a security level  $2^{100}$  requires 100 independent executions of  $(\mathcal{P}, \mathcal{V})_1$  and 300 rounds.

#### 4 Three round identification and signatures

Our signatures correspond to identification in three rounds with long challenges, where the verifier is simulated using a hash function. This identification releases some information about the private key which we argue to be irrelevant. This information cannot be combined over several identifications, but it reduces **CEP** to  $(n - 1)$ -ary subforms of  $f_0, f_1$ . To make the reduced **CEP** hard we increase  $\dim f_1$  to  $n = 4$ .

*Private key*  $S \in \mathrm{GL}_4(\mathbb{Z})$ ,

*Public key* anisotropic forms  $f_0 = f_1S, f_1 = \sum_{i=1}^4 a_i x_i^2$  with  $(-1)^i a_i \in [1, 2^k[$ .

*Key generation.* Apply a public hash function to a public / private random 100-bit seed to generate the pseudo-random bits for the construction of  $S$  via  $\mathbf{CT}(f_1)$  and the pseudo-random entries  $|a_i| \in [1, 2^k[$  of  $f_1$  (make sure that  $p^{2k}|d, p^{2k+1} \nmid d$  holds for a small prime  $p$  and  $d := a_1 a_2 a_3 a_4$  and that  $\prod_{i < j} (a_i, a_j)_p = (-1)^{p \bmod 2}$  holds as required by Theorem 7.2.). This way the public / private keys have bit lengths  $10k + 100$  and  $100$ , respectively. Note that  $\mathbf{CT}$ , extended to  $n = 4$  performs arithmetic steps on  $3k$ -bit integers. The forms  $f_0, f_1$  are indefinite and so are all ternary subforms of  $f_0, f_1$ .

##### Three round identification $(\mathcal{P}, \mathcal{V})_2$

*Prover*  $\mathcal{P}$  solves problems posed by the verifier  $\mathcal{V}$  that are hard without knowledge of  $S$

1.  $\mathcal{P}$  sends the LLL-form  $\bar{f} := f_0\bar{T} := \mathbf{CT}(f_0)$  to  $\mathcal{V}$ ,
2.  $\mathcal{V}$  computes the LLL-form  $f' := \bar{f}T' := \mathbf{CT}(\bar{f})$  and sends  $T'$  to  $\mathcal{P}$ ,
3.  $\mathcal{P}$  sends  $\mathbf{e}'_b := S^b \bar{T} T' \mathbf{e}_b$  for  $b = 0, 1$  and  $\mathbf{e}_1 := (1, 0, 0, 0)^t, \mathbf{e}_0 := (0, 0, 0, 1)^t$ ,  
 $\mathcal{V}$  checks that  $f_b \mathbf{e}'_b = \bar{f} T' \mathbf{e}_b$  for  $b = 0, 1$ . (we abbreviate  $T := \bar{T} T'$ )

Note that  $\mathcal{P}$  randomizes  $\bar{T}$  to protect against a fraudulent  $\tilde{\mathcal{V}}$  and  $\mathcal{V}$  randomizes  $T'$  against a fraudulent  $\tilde{\mathcal{P}}$ . Importantly  $\tilde{\mathcal{V}}$  does not know  $T = \bar{T} T'$  but only  $T'$ .

*Security against a fraudulent  $\tilde{\mathcal{P}}$ .* A successful  $\tilde{\mathcal{P}}$  must find solutions  $\mathbf{e}'_b$  of  $f_b(\mathbf{e}'_b) = m$  for both  $b = 0, 1$ , where the randomized  $m := \bar{f} T' \mathbf{e}_b$  is chosen by the verifier. The

problem to find a small solution  $\mathbf{e}'_b$  without the private key is **NP**-hard by Theorem 5.1. The fastest known method for solving  $f_b \mathbf{e}'_b = \tilde{f} T' \mathbf{e}_b$  for  $\mathbf{e}'_b$  is by reconstructing  $S$ .

By revealing  $\mathbf{e}'_1 = (\sum_{i=1}^4 s_{j,i} t_{i,1} \mid j = 1, \dots, 4)^t$  the honest  $\mathcal{P}$  proves bounds on  $\sum_i |s_{j,i}|$  for  $j = 1, \dots, 4$ ,  $S = (s_{i,j})$ .  $\mathcal{P}$  comes close to prove a bound on  $|s_{1,1}|$  as is required for the **NP**-hardness results of section 5.

*Security against a fraudulent  $\tilde{\mathcal{V}}$ .* Note that  $\mathcal{P}$  reveals  $S$  if  $\mathcal{P}$  replies to various challenges  $T'$  for the same  $\tilde{f}$ , it suffices that  $\tilde{\mathcal{V}}$  generates challenges by cyclically permuting the columns of some  $T'$ . Randomization of  $\tilde{f}$  via **CT** protects against this attack.

The novelty compared to  $(\mathcal{P}, \mathcal{V})_1$  is that step 3 sends information about  $S^b \tilde{T} T'$  for both  $b = 0, 1$  by sending  $\mathbf{e}'_b = S^b \tilde{T} T' \mathbf{e}_b$  for  $b = 0, 1$ .  $S^b \tilde{T} T'$  releases for a single  $b$  no relevant information about  $S$  as has been explained in section 3.

Next, we consider the following attacks that combine both replies  $\mathbf{e}'_b$ :

1. recovering direct information about  $S$  from  $\mathbf{e}'_0, \mathbf{e}'_1$ ,
2. reconstruct  $ST$  from  $\mathbf{e}'_1$  and  $T$  from  $\mathbf{e}'_0$ . This would reveal  $S$ .

1. The small, orthogonal vectors  $\mathbf{e}_0, \mathbf{e}_1$  are chosen so that  $\tilde{T} T' \mathbf{e}_b$  for  $b = 0, 1$  are nearly statistically independent for random  $T = \tilde{T} T'$ . This protects against the following attack. If  $\mathbf{e}_1 \in \text{span}(\mathbf{e}_0)$  then  $T \mathbf{e}_1 \in \text{span}(T \mathbf{e}_0)$  and thus  $\mathbf{e}'_1 \in \text{span}(S \mathbf{e}'_0)$ . This would completely disclose  $S$  by a few identifications. This attack can be extended to the case that  $\mathbf{e}_0, \mathbf{e}_1$  are nearly parallel.

It is crucial that  $T \mathbf{e}_0, T \mathbf{e}_1$  are for  $T = \tilde{T} T'$  nearly statistically independent (which holds for small, orthogonal  $\mathbf{e}_0, \mathbf{e}_1$ ). This makes the information released by the combination of  $\mathbf{e}'_0, \mathbf{e}'_1$  to  $\tilde{\mathcal{V}}$  practically negligible, and completely foils the described attack. Moreover, the released information cannot be combined for several identifications as the  $T$  are generated by independent random bits.

2. *Hardness of recovering  $ST$  from  $\mathbf{e}'_1$ .* Let  $f_1 = \sum_{i=1}^4 a_i x_i^2 = f_{A_1}$ ,  $f' = f_{A'} = f_1 ST$ . Given  $\mathbf{e}'_1 = ST \mathbf{e}_1$  we can transform  $f'$  into  $f'' = f_{A''} := f' T''$  such that  $a_1 = a''_{1,1}$ , and thus

$$\begin{pmatrix} 1 & \mathbf{0}^t \\ \mathbf{u} & Q^t \end{pmatrix} A_1 \begin{pmatrix} 1 & \mathbf{u}^t \\ \mathbf{0} & Q \end{pmatrix} = A'' =: \begin{pmatrix} a_1 & a_1 \mathbf{u}^t \\ a_1 \mathbf{u} & A''_+ \end{pmatrix} \quad (5)$$

holds for some  $\mathbf{u} \in \mathbb{Z}^3$ ,  $Q \in \text{GL}_3(\mathbb{Z})$ ,  $A''_+ \in \mathbb{Z}^{3 \times 3}$ . We rewrite (5) as

$$Q^t A_+ Q = A''_{\mathbf{u}} \quad \text{for } A''_{\mathbf{u}} := A''_+ + a_1 \mathbf{u} \mathbf{u}^t,$$

where  $A_+$  is the diagonal submatrix of  $A_1$  with diagonal  $(a_2, a_3, a_4)$ . Solving equation (5) for  $Q \in \text{GL}_3(\mathbb{Z})$  requires an unbounded solution of **CEP** for  $f_{A_+}, f_{A''_{\mathbf{u}}}$ . Thus, releasing  $\mathbf{e}'_1$  reduces **CEP** to ternary anisotropic forms and the recovering of  $\mathbf{u}$ .

*Hardness of recovering  $\mathbf{u}$ .* Equation (5) implies that  $\det A''_+ = \det A''_{\mathbf{u}}$ , and this equation can be written as  $\det A''_+ = f_D(\mathbf{u}) + a_2 a_3 a_4$  for the indefinite, diagonal matrix  $D$  with diagonal  $a_1(a_3 a_4, a_2 a_4, a_2 a_3)$  and  $a_1, a_3 < 0 < a_2, a_4$ . Hence the construction of  $\mathbf{u}$  from  $\mathbf{e}'_1$  requires to solve  $f_D(\mathbf{u}) = \det A''_+ - a_2 a_3 a_4$ . This problem can be made hard by ensuring that  $f_D$  is *anisotropic*, see sections 6, 7. Then no isotropic vector can help

to recover  $\mathbf{u}$ .

*The best known attack.* In order to solve **CEP** for  $f = f_{A_+}$  and  $f' = f_{A'_+}$  it is promising to exhaust the reduced forms  $f_A$  in the class of  $f, f'$  by simple transforms of  $\text{GL}_3(\mathbb{Z})$ : permute two rows and columns of  $A$  and transform the result into an reduced form. For  $k$ -bit coefficients of  $f, f'$  we have that  $d := \det f = O(2^{3k})$  and the number of on average there are  $\Theta(d)$  reduced forms in the class of  $f$ . ( A simple counting argument shows that there are  $\Theta(2^{6k})$  ternary, indefinite forms with coefficients of size  $O(2^k)$  that are reduced in the sense of GAUSS [7, art. 272], see also LAGARIAS [16, sect. 4 D]. These distribute over  $\Theta(2^{3k})$  determinants  $d$  which gives on average  $\Theta(2^{3k})$  reduced forms per  $d$ . ) This can solve **CEP** for  $f, f'$  in average time  $O(2^{3k})$ .

**Conclusion.** The problem to recover  $Q, \mathbf{u}$  and thus  $ST$  from  $\mathbf{e}'_1 = ST\mathbf{e}_1$  requires  $\Omega(2^{3k})$  arithmetic steps for all known algorithms. We are not aware of any better method for solving  $f_b\mathbf{e}'_b = \bar{f}T'\mathbf{e}_b$  for  $\mathbf{e}'_b$ . Therefore,  $k = 50$  seems to provide sufficient security.

Most instances of the recovering problem are subexponential for  $n = 3$ . For indefinite, binary  $A_+, D$ , the multiplicative structure of the Gaussian cycle [7, art.183ff] of reduced forms containing  $f_{A_+}, f_D$ , yields subexponential algorithms see [2, chap. 11].

**Signatures.** Extend the keys of  $(\mathcal{P}, \mathcal{V})_2$  by random seeds  $s', s^* \in_R \{0, 1\}^{100}$ ,  $s'$  public and  $s^*$  private. To generate a signature for message  $m$  follow  $(\mathcal{P}, \mathcal{V})_2$  but simulate  $\mathcal{V}$  randomized by  $H(m, s')$  for a public hash function  $H$ .

*Signature generation.* Compute  $\bar{T}, \bar{f} := f_0\bar{T}$  by **CT**( $f_0$ ) randomized by  $H(m, s^*)$ , keep  $\bar{T}$  secret. Compute  $\bar{f}T', T'$  by **CT**( $\bar{f}$ ) randomized by  $H(m, s')$ , set  $\mathbf{e}'_b := S^b\bar{T}T'\mathbf{e}_b$ . The resulting *signature*  $(\bar{f}, \mathbf{e}'_0, \mathbf{e}'_1)$  consists of 18  $k$ -bit integers, the entries of  $\mathbf{e}'_0, \mathbf{e}'_1, \bar{f}$ .

*Verification* computes  $T'$  from  $m, s'$  and checks that  $f_b\mathbf{e}'_b = \bar{f}T'\mathbf{e}_b$  for  $b = 0, 1$ .

The bit complexity of signature generation and verification is quadratic  $O(k^2)$ , its main work is LLL-reduction within **CT**. LLL-reduction using orthogonalisation in floating point arithmetic has quadratic bit complexity using school multiplication [21], [25].

## 5 NP-hardness

**5.1 The results.** Recall that a set  $S \subset \mathbb{Z}^*$ , consisting of sequences of integers, is in **NP** if  $S$  is decidable in nondeterministic poly-time. We prove probabilistic **NP**-hardness of decisional variants of **CEP**( $Q$ ) and **CR**( $Q$ ) that ask for a solution  $\mathbf{x}$  with a given bound. We first present the results and thereafter the proofs.

### Decisional Bounded Representation Problem, DBR( $Q$ )

*GIVEN:*  $f \in Q, m \in \mathbb{N}, c \in \mathbb{N}$ , the factorization of  $\det f$ .

*DECIDE:* whether  $\exists$  primitive  $\mathbf{x} \in \mathbb{Z}^n: f(\mathbf{x}) = m$  and  $|x_1| \leq c$ .

The given factorization of  $\det f$  does not decrease the worst-case complexity.

Recall that the class **RP** of *random polynomial time* consists of all sets  $S \subset \mathbb{Z}^*$  for which there is a probabilistic, poly-time algorithm which accepts every  $s \in S$  with

probability  $\geq \frac{1}{2}$  and rejects every  $s \in \mathbb{Z}^* \setminus S$  with probability 1.

Let  $\mathcal{Q}_{ind}$  consist of all indefinite, primitive forms  $f$  of  $\dim f = 3$ .

**Theorem 5.1.**  $\text{DBR}(\mathcal{Q}_{ind})$  is probabilistic NP-hard, i.e.,  $\text{NP} \subseteq \text{RP}^{\text{DBR}(\mathcal{Q}_{ind})}$ .

Therefore, if  $\text{DBR}(\mathcal{Q}_{ind})$  is in  $\text{RP}$  then so is every  $\text{NP}$ -set. The proof of Theorem 5.1 reduces a Boolean form  $\Phi$  in 3-CNF to a form  $f = 2x^2 + 2byz$ , where  $\frac{1}{2} \det f \in \mathbb{Z}$  is odd. Theorem 5.1 follows essentially from [18].

Dimension 3 is minimal for this hardness result. In fact, an algorithm of Gauß computes small representations for binary forms in subexponential time if the class number is small (see [7, art. 183–221], [5, sec. 5.2 and 5.6]).

For isotropic ternary forms of odd squarefree determinant unbounded solutions of **CEP** and **CR** can be found in poly-time given an isotropic vector. For anisotropic forms, by contrast, **CEP**, **CR** seem to be hard on average.

Theorem 5.2 shows that deciding whether a lattice of dimension 5 has a vector of given length is **NP**-hard.

**Theorem 5.2.** Let  $\mathcal{Q}_{df}$  consist of all positive definite forms  $f$  of  $\dim f = 5$ . Then  $\text{DBR}(\mathcal{Q}_{df})$  is **NP**-complete.

Representation problems for definite forms are by Theorem 5.2 harder than equivalence problems. In fact, equivalence transformations of definite forms can be efficiently computed in constant dimension by computing shortest lattice vectors [22], [23].

*Incomplete forms.* If some coefficients  $a_{i,j}$  of  $A$  are undefined,  $a_{i,j} = *$ , and if  $\det A$  does not depend on the undefined  $a_{i,j}$  then we call  $f_A$  *incomplete*. For example the form  $f = ax^2 + bxy + 2a_{1,3}xz + z^2$  is incomplete for  $a_{1,3} = *$ . A *completion* of  $f_A$  defines the undefined  $a_{i,j}$  of  $A$ .

#### Decisional Bounded Equivalence Problem, $\text{DBE}(\mathcal{Q})$

*GIVEN:*  $f, g \in \mathcal{Q}$ ,  $c \in \mathbb{N}$ , the factorization of  $\det f$ .

*DECIDE:* whether  $\exists T \in \text{GL}_n(\mathbb{Z})$ :  $fT = g$ ,  $|t_{1,1}| \leq c$ .

$\text{DBE}(\mathcal{Q})$  for given  $n$ -ary incomplete forms  $f, g \in \mathcal{Q}$  asks for the existence of  $T \in \text{GL}_n(\mathbb{Z})$  and completions  $\bar{f}, \bar{g}$  of  $f, g$  such that  $\bar{f}T = \bar{g}$ ,  $|T_{1,1}| \leq c$ .

Let  $\mathcal{Q}_{inin}$  extend the set  $\mathcal{Q}_{ind}$  by all incomplete, indefinite forms  $f$  of  $\dim f = 3$ .

**Theorem 5.3.**  $\text{DBE}(\mathcal{Q}_{inin})$  is probabilistic **NP**-hard.

**Extensions. 1.** The **NP**-hardness of Theorem 5.1 extends to all dimensions  $n \geq 3$ .

**2.** The proof of Theorem 5.1 can be extended from isotropic to anisotropic forms (that are used for identification and signatures). However, this requires a considerable amount of number theory and the Cohen-Lenstra heuristics for class numbers of real quadratic fields, see [10], [9]. The main problem is to show that all values  $x^2 + by$  are covered by the anisotropic forms  $x^2 + b(y^2 + z^2)$  for a few  $b$ .

**3.** At the end of this section we sketch how extend Theorem 5.3 from  $\mathcal{Q}_{inin}$  to  $\mathcal{Q}_{ind}$ .

**4.** The problem  $\text{DBR}(\mathcal{Q}_{df})$  is **NP**-complete in dimension  $\geq 5$  even if the condition that

$|x_1| \leq c$  is removed. This holds because the problem to decide whether  $ax^2 + by = c$  has a solution  $x, y \in \mathbb{N}$  is **NP-hard** [18], [9].

**5.2 From SAT to squares.** The following problem on binary Diophantine equations will be used as an intermediary problem for reductions.

**Modular Square Problem, MS**

*GIVEN:*  $a, b, c \in \mathbb{N}$  such that  $b = p^{m+1}b'$ ;  $a, p$  prime,  $b'$  squarefree,  $p \geq 5$ .

*DECIDE:*  $\exists x \in \mathbb{Z}: x^2 \equiv a \pmod{b}$  and  $|x| \leq c$ .

Let  $\preceq_r$  denote that there is a probabilistic, poly-time Karp reduction, and let 3SAT be the problem to decide satisfiability of Boolean forms in 3-CNF. Proposition 5.4 is similar to a result of ADLEMAN AND MANDERS [18].

**Proposition 5.4.**  $3SAT \preceq_r MS$ .

*Proof.* We transform a given Boolean form  $\Phi$  in 3-CNF into an instance  $(a, b, c)$  of **MS** that is solvable if and only if  $\Phi$  is satisfiable. Let  $\Phi$  contain each clause at most once, and let no clause of  $\Phi$  contain a variable both complemented and uncomplemented. Let  $\ell$  be the number of variables in  $\Phi$ . Choose an enumeration  $\sigma_1, \dots, \sigma_m$  of all such clauses in the variables  $x_1, \dots, x_\ell$  with exactly three literals such that the bijection  $i \mapsto \sigma_i$  and its inverse are poly-time. We write  $\sigma \in \Phi$  if  $\sigma$  occurs in  $\Phi$ , and  $x_j \in \sigma$  ( $\bar{x}_j \in \sigma$ ) if the  $j$ -th variable occurs uncomplemented (complemented) in clause  $\sigma$ . Let  $n = 2m + \ell$ . Let  $r = (r_1, \dots, r_\ell) \in \{0, 1\}^\ell$  denote Boolean values for  $x_1, \dots, x_\ell$ , where 1 corresponds to **true** and 0 corresponds to **false**. For a clause  $\sigma$  and  $r \in \{0, 1\}^\ell$  define

$$W(\sigma, r) = \sum_{i: x_i \in \sigma} r_i + \sum_{i: \bar{x}_i \in \sigma} (1 - r_i).$$

Introducing new variables  $y_1, \dots, y_m$  we set for  $k = 1, \dots, m$

$$R_k := \begin{cases} y_k - W(\sigma_k, r) + 1 & \text{if } \sigma_k \in \Phi, \\ y_k - W(\sigma_k, r) & \text{if } \sigma_k \notin \Phi, \end{cases}$$

Since  $\Phi$  is in 3-CNF, we have  $W(\sigma_k, r) = 0$  if assignment  $r$  does not satisfy clause  $\sigma_k$ , and  $1 \leq W(\sigma_k, r) \leq 3$  otherwise. Hence the equations  $R_1 = \dots = R_m = 0$  have a solution  $r \in \{0, 1\}^\ell, y \in \{0, 1, 2, 3\}^m$  if and only if  $\Phi$  is satisfiable.

We select a prime  $p \geq 5$ . As  $-3 \leq R_k \leq 4$  for all choices of  $y_k \in \{0, 1, 2, 3\}, r_i \in \{0, 1\}$ , the equations  $R_1 = \dots = R_m = 0$  holds if and only if  $\sum_{k=1}^m R_k p^k = 0$ . The latter equation is equivalent to

$$\sum_{k=1}^m (2 R_k) p^k \equiv 0 \pmod{p^{m+1}}. \quad (5.1)$$

since  $|\sum_{k=1}^m R_k p^k| \leq 4 \sum_{k=1}^m p^k < p^{m+1}$  holds for  $p \geq 5$  and  $p$  is odd.

We now add  $\alpha_0 + 1$  to the left hand side of (5.1), where  $\alpha_0$  is considered a variable taking values in  $\{1, -1\}$ . Hence (5.1) is solvable if and only if

$$\alpha_0 + 1 + \sum_{k=1}^m (2 R_k) p^k \equiv 0 \pmod{p^{m+1}}. \quad (5.2)$$



for  $y_k, r_i, \alpha_0$  ranging over their respective domains. Moreover, if (5.2) is solvable, then necessarily  $\alpha_0 = -1$  by reduction modulo  $p$ .

We express  $y_1, \dots, y_m$  and  $r_1, \dots, r_\ell$  in (5.2) by new variables  $\alpha_1, \dots, \alpha_n$ ,  $n = 2m + \ell$  ranging over  $\{1, -1\}$ , we set

$$y_k := \frac{1}{2}((1 - \alpha_{2k-1}) + 2(1 - \alpha_{2k})), \quad r_i := \frac{1}{2}(1 - \alpha_{2m+i}). \quad (5.3)$$

Exactly all combinations of  $y_k \in \{0, 1, 2, 3\}, r_i \in \{0, 1\}$  are covered by  $\alpha_1, \dots, \alpha_n$ . We can rewrite (5.2) via (5.3) into

$$\sum_{j=0}^n c_j \alpha_j \equiv \tau \pmod{p^{m+1}} \quad (5.4)$$

for some  $c_j, \tau \in \mathbb{Z}$ . We see that  $\Phi$  is satisfiable if and only if (5.4) is solvable for  $\alpha \in \{-1, 1\}^{n+1}$ . Note that  $p \nmid \tau$ , as the constant part of (5.2) equals 1.

We select a prime  $p_0 > 4 \cdot p^{m+1}(n+1)$  and primes  $p_0 < p_1 < \dots < p_n$  that are of polynomial size in  $p^m$ . For  $j = 1, \dots, n$  compute  $\theta_j$  via the Chinese Remainder Theorem to be the smallest positive integer satisfying

$$\theta_j \begin{cases} \equiv c_j \pmod{p^{m+1}}, \\ \equiv 0 \pmod{\prod_{i \neq j} p_i}, \\ \not\equiv 0 \pmod{p_j}. \end{cases}$$

We see that  $\Phi$  is satisfiable if and only if

$$\sum_{j=0}^n \theta_j \alpha_j \equiv \tau \pmod{p^{m+1}} \quad (5.5)$$

is solvable for  $\alpha \in \{\pm 1\}^{n+1}$ .

**Lemma 5.5.** *Let  $K := \prod_{j=0}^n p_j$  and  $c := \sum_{j=0}^n \theta_j$ . For  $x \in \mathbb{Z}, |x| \leq c$  the equation  $c^2 \equiv x^2 \pmod{K}$  holds if and only if  $x = \sum_{j=0}^n \alpha_j \theta_j$  for some  $\alpha \in \{\pm 1\}^{n+1}$ .*

*Proof.* Since  $\theta_j \theta_{j'} \equiv 0 \pmod{K}$  for  $j \neq j'$  each  $x = \sum_{j=0}^n \alpha_j \theta_j$  satisfies  $c^2 \equiv x^2 \pmod{K}$ . Conversely,  $c^2 \equiv x^2 \pmod{K}$  implies  $0 \equiv (c-x)(c+x) \pmod{K}$ , and thus either  $p_j | c-x$  or  $p_j | c+x$  holds for every  $j = 0, \dots, n$ . This disjunction is exclusive: if  $p_j | c-x$  and  $p_j | c+x$  then  $p_j | 2c$ , and thus  $p_j | c$ . This contradicts the fact that  $c \equiv \theta_j \not\equiv 0 \pmod{p_j}$ .

Since  $p_j$  divides exactly one of  $c \pm x$  we define  $\alpha_j \in \{\pm 1\}$  such that  $p_j | c - \alpha_j x$ , hence  $x \equiv \alpha_j c \pmod{p_j}$ . Setting  $x' := \sum_{i=0}^n \alpha_i \theta_i$  yields  $x \equiv x' \pmod{p_j}$  for all  $j$ , and thus  $x \equiv x' \pmod{K}$ .

We see from  $|x'| \leq \sum_{j=0}^n \theta_j = c$  and  $|x| \leq c$  that  $|x - x'| \leq 2c$ .

The choice of  $p_j$  guarantees that  $\frac{2 \cdot p^{m+1}}{p_j} \leq \frac{1}{2(n+1)}$ , and thus

$$\theta_j < 2 \cdot p^{m+1} \prod_{\substack{i=0 \\ i \neq j}}^n p_i = \frac{2 \cdot p^{m+1} K}{p_j} \leq \frac{K}{2(n+1)}.$$

Hence  $c = \sum_{j=0}^n \theta_j < K/2$ . We see from  $|x - x'| \leq 2c$  that  $|x - x'| < K$ , and from  $x \equiv x' \pmod{K}$  that  $x$  and  $x'$  coincide. This proves the lemma.  $\square$

Combining (5.5) with Lemma 5.5 we see that  $\Phi$  is satisfiable if and only if there exists  $x \in \mathbb{Z}$ ,  $|x| \leq c$  such that

$$c^2 \equiv x^2 \pmod{K}, \quad x \equiv \tau \pmod{p^{m+1}}.$$

These equations are equivalent to each of the following equations

$$\begin{aligned} c^2 &\equiv x^2 \pmod{K}, \quad \tau^2 \equiv x^2 \pmod{p^{m+1}} \quad (\mathbb{Z}_{p^{m+1}}^* \text{ is cyclic}), \\ p^{m+1}(c^2 - x^2) + K(\tau^2 - x^2) &\equiv 0 \pmod{p^{m+1}K}, \\ (p^{m+1} + K)x^2 &\equiv K\tau^2 + p^{m+1}c^2 \pmod{p^{m+1}K}. \end{aligned}$$

Since  $p \nmid K$  the latter equation can be written as

$$x^2 \equiv a \pmod{b} \tag{5.6}$$

$$\text{for } b = p^{m+1}K \text{ and } a \equiv (p^{m+1} + K)^{-1}(K\tau^2 + p^{m+1}c^2) \pmod{p^{m+1}K}. \tag{5.7}$$

Then (5.6) has a solution  $x \in \mathbb{Z}$  with  $|x| \leq c$  if and only if  $\Phi$  is satisfiable. We can select a prime  $a$  in the arithmetic progression (5.7) in random poly-time. Clearly  $K$  is odd and squarefree, and the prime  $a$  is coprime to  $b$ .

Then  $(a, b, c)$  is a solvable instance of **MS** if and only if  $\Phi$  is satisfiable.  $\square$

**5.3 Proofs of Theorems.** *Proof of Theorem 5.1.* Let  $\Phi$  be a boolean formula in 3-CNF. The proof of Prop. 5.4 transforms  $\Phi$  into an instance  $(a, b, c)$  of **MS**,  $ab$  odd, such that there exist  $x, y \in \mathbb{Z}$  satisfying  $x^2 + by = a$ ,  $|x| \leq c$  if and only if  $\Phi$  is satisfiable. We see that the form  $f = 2x^2 + 2byz$  yields a solvable instance  $f(x, y, z) = 2a$  of **DBR**( $\mathcal{Q}_{ind}$ ) if and only if  $\Phi$  is satisfiable. For a primitive solution  $(x, y, z)$  choose  $z = 1$ . Note that  $f$  is primitive since  $b$  is odd. Moreover,  $f$  is indefinite, isotropic and  $\det f = -2b^2$ . This proves the claim.  $\square$

*Proof of Theorem 5.2.* As in the proof of Prop. 5.4 we transform  $\Phi$  into an instance  $(a, b, c)$  of **MS**. Here we choose  $a$  that satisfies equation (5.7) such that  $a > c^2$ . Then all integer solutions  $x, y$  of  $x^2 + by = a$ ,  $|x| \leq c$  satisfy  $y > 0$ . Lagrange's Four Square Theorem shows that  $y \in \mathbb{N}$  can be represented as a sum of four squares. Therefore, we have transformed  $\Phi$  into  $(a, b, c) \in \mathbb{N}^3$  such that  $x_1^2 + b(x_2^2 + \dots + x_5^2) = a$ ,  $|x_1| \leq c$  is solvable for  $(x_1, \dots, x_5) \in \mathbb{N}^5$  if and only if  $\Phi$  is satisfiable. This proves the theorem.  $\square$

*Proof of Theorem 5.3.* We transform instances  $(a, b, c)$  of **MS** constructed in the proof of Prop. 5.4 into instances of **DBE**( $\mathcal{Q}_{inin}$ ), preserving solvability. We have that

$$\begin{pmatrix} a & b/2 & x \\ b/2 & 0 & 0 \\ x & 0 & 1 \end{pmatrix} = \begin{pmatrix} x & y & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & b/2 \\ 0 & b/2 & 0 \end{pmatrix} \begin{pmatrix} x & 0 & 1 \\ y & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$

for  $a = x^2 + by$ . Let  $f = x^2 + byz$  and  $f' = ax^2 + bxy + \gamma xz + z^2$  with  $\gamma = *$ , then  $f = f'T$  is solvable with  $|t_{1,1}| \leq c$  if and only if  $a = x^2 + by$ ,  $|x| \leq c$  is solvable.  $\square$

**NP-hardness of DBE**( $\mathcal{Q}_{ind}$ ). We sketch how to extend the proof of Theorem 5.3 to reduce to complete forms in  $\mathcal{Q}_{ind}$ , for more details see [9]. We construct for  $f = x^2 + byz$  a list of forms  $f_1, \dots, f_N$  such that every solution  $(x, y, z)$  of  $a = x^2 + byz, |x| \leq c$  provides a first column of some  $S \in \text{GL}_3(\mathbb{Z})$  satisfying  $fS = f_j$  for some  $f_j$ . Hence  $(a, b, c)$  is solvable for **MS** if and only if  $(f, f_j, c)$  is for some  $1 \leq j \leq N$  solvable for **DBE**. This proves the desired reduction.

We construct the  $f_j$  to represent all *orbits* of primitive representations of  $a$  by  $f$  under  $\mathcal{O}(f)$ . ZHURAVLEV [28, sec. 1.2] classifies these orbits in terms of modular matrix equations. We construct the  $f_j$  by solving these equations. First we determine the *genus* of  $f_j$ , i.e., the  $f_j$  up to simultaneous equivalence over the reals  $\mathbb{R}$  and over all rings of  $p$ -adic integers. Then we construct the actual  $f_j$  by a constructive version of the classical proof of the existence of genera [3, sec. 9.5]. According to **MS**, the integer  $a$  is prime, and this ensures that  $N = O(1)$ . Moreover, the construction is poly-time per entry  $f_j$ , given the factorization of  $ab$ .

## 6 Solving quadratic equations using an isotropic vector

Isotropic forms  $f_A$  are *universal* over any field  $\mathbb{F}$ , i.e., for  $A \in \mathbb{F}^{n \times n}$  the equation  $f_A(\mathbf{x}) = m$  is solvable for all  $m \in \mathbb{F}$  if it is solvable for  $m = 0, \mathbf{x} \neq 0$ , [3, chap. 2.2]. We show that  $f_A(\mathbf{x}) = m$  can easily be solved for all  $m \in \mathbb{Z}$  over the integers if an *isotropic* vector is given and  $\det A$  is odd and squarefree. Theorem 6.1 transforms an isotropic form  $f_A$  with odd, squarefree determinant  $d = \det A$  into the form  $2xy - dz^2$  and Theorem 6.2 solves the equation  $2xy - dz^2 = m$ . Importantly, Simon [26], [27] has shown that an isotropic vector can be found in poly-time for any isotropic form  $f$  given the factorization of  $\det f$ .

**Theorem 6.1.** *Let  $f_A$  be an isotropic, ternary form and let  $d := \det A$  be odd and squarefree. Given an isotropic vector  $(x', y', z')$  the form  $f_A$  can be transformed in poly-time into the equivalent form  $2xy - dz^2$ .*

*Proof.* Make  $(x', y', z')$  primitive by dividing it by  $\gcd(x', y', z')$ . Compute some  $S \in \text{GL}_3(\mathbb{Z})$  with first column vector  $(x', y', z')^t = S(1, 0, 0)^t$  as follows:

Compute  $g := \gcd(y', z')$  and  $S_1, S_2 \in \text{GL}_3(\mathbb{Z})$  such that  $S_1(x', y', z')^t = (x', g, 0)^t$ ,  $S_2(x', g, 0)^t = (1, 0, 0)^t$ . Set  $S^{-1} := S_2 S_1$  since  $S_2 S_1(x', y', z')^t = S_2(x', g, 0)^t = (1, 0, 0)^t$ . To compute  $S_1$  solve  $y'a + z'b = g$  by the extended gcd-algorithm. Then

$S'_1 := \begin{pmatrix} a & b \\ z'/g & -y'/g \end{pmatrix} \in \text{GL}_2(\mathbb{Z})$  satisfies  $S'_1(y', z')^t = (g, 0)^t$ . Extend  $S'_1$  to  $S_1 \in$

$\text{GL}_3(\mathbb{Z})$  by adding the first row  $(1, 0, 0)$  and first column  $(1, 0, 0)^t$ . Hence  $S_1(x', y', z')^t = (x', g, 0)^t$ . Compute  $S_2$  accordingly by solving  $x'a + gb = 1 = \gcd(x', g)$ .

Given  $S$  set  $A' = (a'_{i,j})_{1 \leq i, j \leq 3} := S^t A S$ . Then  $a'_{1,1} = 0$  as  $(x', y', z')$  is isotropic.

Compute via the extended gcd-algorithm some  $V \in \text{GL}_2(\mathbb{Z})$  such that  $(a'_{1,2}, a'_{1,3})V = (r, 0)$  holds for  $r := \gcd(a'_{1,2}, a'_{1,3})$ . This yields integers  $g, t, h$  such that

$$\begin{pmatrix} 1 & & \\ & V^t & \\ & & \end{pmatrix} A' \begin{pmatrix} 1 & & \\ & V & \\ & & \end{pmatrix} = \begin{pmatrix} 0 & r & 0 \\ r & g & t \\ 0 & t & h \end{pmatrix}.$$

As  $d = -r^2h$  and  $d$  is squarefree we have  $r = \pm 1$ . So let  $r = 1$ ,  $h = -d$ , and thus

$$\begin{pmatrix} 1 & & \\ -[g/2] & 1 & \\ -t & & 1 \end{pmatrix} \begin{pmatrix} 1 & & \\ 1 & g & t \\ t & & h \end{pmatrix} \begin{pmatrix} 1 & -[g/2] & -t \\ & 1 & \\ & & 1 \end{pmatrix} = \begin{pmatrix} 1 & & \\ 1 & e & 0 \\ 0 & & -d \end{pmatrix},$$

where  $e = g - 2[g/2] \in \{0, 1\}$ . So far we have transformed  $f_A$  into  $2xy + ey^2 - dz^2$ . We are finished if  $e = 0$ .

*Case  $e = 1$ .* We transform  $2xy + y^2 - dz^2$  into  $2\bar{x}y - d\bar{z}^2$ . As  $d$  is odd let  $-d = 1 + 2k$ . Transforming  $2xy + y^2 - dz^2$  via  $x := \bar{x} + ky - dz$  yields

$$2\bar{x}y + 2ky^2 + y^2 - 2dyz - dz^2 = 2\bar{x}y - dy^2 - 2dyz - dz^2 = 2\bar{x}y - d(y+z)^2.$$

Setting  $\bar{z} := y + z$  yields  $2\bar{x}y - d\bar{z}^2$ .  $\square$

**Theorem 6.2.** *Let  $d \in \mathbb{Z}$  be odd. Then the equation  $2xy - dz^2 = m$  is solved by any  $z$  satisfying  $z \equiv m \pmod{2}$  and  $x := (m + dz^2)/(2y)$  where  $y$  is an arbitrary integer divisor of  $(m + dz^2)/2$ .*

*Proof.* For odd  $d$  we have that  $m + dz^2$  is even if and only if  $z \equiv m \pmod{2}$ . Hence  $(m + dz^2)/2$  is integer and the claimed  $(x, y, z)$  is a solution.  $\square$

**Extensions.** Ternary isotropic forms  $f_A$  of odd, squarefree  $\det A$  are equivalent if and only if they coincide in  $\det A$ . Moreover, **CEP** for such  $f_A$  can be solved in poly-time by Theorem 6.1. In particular, such  $f_A$  is equivalent to  $2xy - \det A z^2$  by Theorem 6.1. However,  $f_A$  can be inequivalent to  $2xy - \det A z^2$  for even  $\det A$ . For example, the forms  $ax^2 + by^2 - cz^2$  and  $2xy + abc z^2$  can be inequivalent for even  $abc$  because only the second form is a multiple of 2 unless  $a, b, c$  are all even.

The equation  $2xy - dz^2 = m$  is unsolvable for even  $d$  and odd  $m$ . However, all solvable instances of  $f_A(\mathbf{x}) = m$  for even, squarefree  $d = \det A$  can easily be solved given an isotropic vector.

Even when  $d = \det A$  is not squarefree the equation  $f_A(\mathbf{x}) = m$  can in practice be solved by the method of Theorems 6.1, 6.2. It is unlikely that a large squarefactor  $r^2 \neq 1$  shows up in the algorithm of Theorem 6.1.

*The set of all solutions.* We get all solutions  $(x, y, z) \in \mathbb{Z}^3$  of  $2xy - dz^2 = m$  by extending the solutions of Theorem 6.2 in that we allow to permute  $x$  and  $y$  and to change the signs of  $x, y, z$ . In fact, we easily get all solutions of  $2xy - dz^2 = 0$  given the factorization of  $d$ .

Moreover, when we replace  $\mathbb{Z}$  by a finite field, a finite ring or the field of real numbers then solving the equation  $f_A(\mathbf{x}) = m$  is relatively easy for  $m \neq 0$ . Solutions over the ring  $\mathbb{Z}_N$ ,  $N$  composite, can be found using Pollard's algorithm [24].

## 7 Characterization of isotropic and anisotropic indefinite forms

For fixed  $n$ , every  $n$ -ary form  $f$  over  $\mathbb{Z}$  can be transformed in poly-time into a diagonal form  $fT = \frac{1}{a_0}f' = \frac{1}{a_0}\sum_{i=1}^n a_i x_i^2$ , where  $a_0, \dots, a_n \in \mathbb{Z}$  and  $T \in \mathbb{Q}^{n \times n}$ ,  $\det T = 1$ . Then  $f$  is isotropic if and only if  $f'$  is isotropic. Next we characterize isotropic diagonal forms. The form  $ax^2 + by^2 - cz^2$  is isotropic if and only if the Legendre equation

$$ax^2 + by^2 = cz^2 \tag{7.1}$$

is solvable. The equation (7.1) is in *normal form* if  $a, b, c \in \mathbb{N}$  are positive, squarefree and pairwise coprime. Let  $QR_a$  denote the set of quadratic residues modulo  $a$ .

**Theorem 7.1.** (see [17], [4]) *In normal form the equation (7.1) has a non-zero solution if and only if  $bc \in QR_a$ ,  $ac \in QR_b$  and  $-ab \in QR_c$ , and solving (7.1) for given  $a, b, c$  is poly-time equivalent to each of the following problems :*

1. solve  $\alpha^2 = bc \pmod a$ ,  $\beta^2 = ac \pmod b$  and  $\gamma^2 = -ab \pmod c$ ,
2. solve equation (7.1) for a non-zero  $(x, y, z) \in \mathbb{Z}^3$  such that  $x^2 + y^2 + z^2 \leq 2abc$ .

**Theorem 7.2.** [3, chap. 4.1, 4.2, lem. 2.6] *An indefinite form  $f = \sum_{i=1}^4 a_i x_i^2$  with  $a_i \in \mathbb{Z}$  is anisotropic if and only if there exist a prime  $p$  and  $k \in \mathbb{N}$  such that  $p^{2k} \mid d$ ,  $p^{2k+1} \nmid d$  for  $d := a_1 a_2 a_3 a_4$  and  $\prod_{i < j} (a_i, a_j)_p = (-1)^{p \bmod 2}$ .*

The *Hilbert Norm Residue Symbol*  $(a_i, a_j)_p \in \{\pm 1\}$  equals 1 if and only if  $a_i x^2 + a_j y^2 - z^2$  is isotropic over  $\mathbb{Q}_p$ , the field of  $p$ -adic numbers. In particular  $(a_i, a_j)_p$  is poly-time, and anisotropy of  $f$  is poly-time given the factorization of  $\det f$  [3, chap. 3.2]. For  $n \geq 5$  every indefinite  $n$ -ary form  $f$  is isotropic [19]. Anisotropic quaternary forms  $f$  have a square-factor dividing  $\det f$ .

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