
Convex Fujita numbers are not determined by the fundamental group

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Abstract — We study effective global generation of adjoint line bundles on smooth projective varieties. To measure the effectivity we introduce the concept of the convex Fujita number of a smooth projective variety and compute its value for a class of varieties with prescribed dimension $d \geq 2$ and an arbitrary projective group as fundamental group.

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1. INTRODUCTION

1.1. History and Motivation. Our aim is to study the relation between the topology of algebraic varieties and effective positivity results for line bundles on them. The model statement we consider is Lefschetz' theorem for ample line bundles on abelian varieties: the tensor square of an ample line bundle is globally generated, the tensor cube is very ample, independently of the dimension of the underlying manifold. In our current work, we will look at the question how the fundamental group of an algebraic variety influences the positivity of adjoint line bundles.

Constructing global sections of line bundles or having effective control over such is an ancient and consistently difficult problem in algebraic geometry. In the last decades, much of the effort directed towards this problem was guided by the conjectures of Fujita (for global generation and very ampleness [Fuj87]) and Mukai (for the study of higher syzygies, see [EL93b, Conjecture 4.2]).

The main purpose of our work is to study effective global generation of convex integral linear combinations of ample divisors while being able to determine precise Fujita-type bounds in a number of cases. In particular, we succeed in constructing examples with the following prescribed parameters: dimension, fundamental group, and global generation behavior. We essentially exhaust the range of these invariants simultaneously given the global generation behavior predicted by Fujita's freeness conjecture.

Fujita's conjectures on global generation anticipate that given a smooth projective variety X equipped with an ample Cartier divisor L , the adjoint divisor $K_X + mL$ should be base point free provided $m \geq \dim(X) + 1$. This is a classical consequence of Riemann–Roch if $\dim(X) = 1$, and has been demonstrated for $\dim(X) \leq 5$ [Rei88, EL93a, Kaw97, Hel97, YZ20]. For arbitrary dimension, there exist non-trivial global generation results due to Angehrn–Siu [AS95], Heier [Hei02], and Ghidelli and Lacini [GL21]. It is important to remember that these bounds are uniform but nevertheless not linear in $\dim(X)$.

Effective global generation behavior of divisors on a given variety has not been explicitly known except in a handful of cases. Fujita's freeness conjecture is sharp for hyperplane divisors on projective spaces \mathbb{P}^n ; on the other end of the spectrum, a classical result of Lefschetz shows that given an ample divisor L on an abelian variety X , the divisor $2L$ is globally generated independently of the dimension of X . Related results have been obtained by Pareschi–Popa [PP03, Theorem 5.1] on the global generation of $2K_X + 2L$ for a nef and big divisor L on an irregular variety X with finite Albanese morphism. In the context of varieties S with numerically trivial canonical divisors, there are results for the Hilbert scheme $\text{Hilb}^2(S)$ of subschemes of length

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2, by Riess [Rie21] when S is a K3 surface of degree 2, and by Küronya–Mustopa [KM22] when S is an abelian surface.

In order to be able to talk about intermediate bounds on effective global generation and treat global generation in higher codimensions, the authors of [KM22] introduced Fujita numbers for coherent sheaves with a view towards the codimension of the base locus. It is this line of thought that we follow, except that we focus solely on the Fujita number describing global generation itself — being the closest in spirit to the freeness conjecture — while introducing the (topological) fundamental group of the variety as an extra parameter, and concentrating on the finer variant using convex linear combinations instead of multiples of a given ample divisor.

1.2. Convex Fujita numbers. As mentioned above, we will measure effective positivity of line bundles via the global generation of the associated sequence of adjoint ones, as suggested by Fujita’s conjectures. Throughout this paper we will work with varieties over \mathbb{C} .

Definition 1.1. The **convex Fujita number** of a smooth projective variety X is the minimal $m \geq 0$ such that for all $s \geq m$ and any ample divisors L_1, \dots, L_s on X the adjoint divisor

$$K_X + L_1 + \dots + L_s$$

is globally generated. We will denote the convex Fujita number by $\text{Fu}(X)$, or, if no such m exists, then we set $\text{Fu}(X) = \infty$ (but this does not occur, see Proposition 2.5).

Fujita conjectured in [Fuj87] that for a smooth projective variety X of dimension n and any ample divisor L on X the multiple adjoint divisor $m(K_X + tL)$ is globally generated if $m + t > n + 1$ and $K_X + tL$ is nef. Fujita moreover shows in the same paper that $K_X + tL$ is nef for $t \geq n + 1$. As a result, only the case $m = 1$ has been traditionally referred to as follows.

Conjecture 1.2 (Fujita’s freeness conjecture [Fuj87]). *Let X be a smooth projective variety and let L be an ample divisor on X . Then for all $m \geq \dim(X) + 1$ the adjoint divisor $K_X + mL$ is globally generated.*

Fujita’s freeness conjecture follows directly from the Riemann–Roch theorem for curves; for surfaces it is a quick consequence of Reider’s theorem obtained by vector bundle techniques [Rei88], Ein and Lazarsfeld in [EL93a] showed it for threefolds, Kawamata in [Kaw97] for 4-folds and Ye and Zhu in [YZ20] for 5-folds. All results for $\dim(X) \geq 3$ rely on vanishing theorems and non-klt center methods. The conjecture is currently open in dimensions six and above.

Uniform bounds for m have been proven such that $K_X + mL$ is globally generated for all ample divisors L on all smooth projective varieties X of a given dimension n . Angehrn and Siu show in [AS95, Theorem 0.1] that $m \geq (n^2 + n + 2)/2$ suffices, while Heier [Hei02, Theorem 3.1] improved the bound to $m \geq (e + 1/2)n^{4/3} + 1/2n^{2/3} + 1$, where $e = \exp(1)$ is Euler’s number. More recently, Ghidelli and Lacini proved in [GL21, Theorem 1.1] an asymptotically much better bound of

$$m \geq \max\{n + 1, n(\log \log(n) + 2.34)\}.$$

We will discuss in §2.1 the impact on the convex Fujita number of some of the methods and results on Fujita’s freeness conjecture as recalled above.

A numerical version of Conjecture 1.2 was proposed by Helmke [Hel97, Conjecture 1.2] as follows.

Conjecture 1.3 (numerical Fujita’s freeness conjecture [Hel97]). *Let X be a smooth projective variety of dimension $\dim(X) = n$ and let L be an ample divisor on X such that*

- (i) $(L^n) > n^n$, and
- (ii) for all irreducible cycles $Z \subseteq X$ of dimension $\dim(Z) = d < n$ we have $(L^d \cdot Z) \geq n^d$.

Then the adjoint divisor $K_X + L$ is globally generated.

The numerical Fujita’s freeness conjecture implies $\text{Fu}(X) \leq \dim(X) + 1$ for all X (see Proposition 2.5 for the elementary argument showing a weaker but unconditional bound), and this bound in turn obviously implies Fujita’s freeness conjecture. We may wonder whether

$$\text{Fu}(X) \leq \dim(X) + 1$$

always holds. As we will see, it holds for all the examples constructed in this paper whose convex Fujita number is calculated precisely.

1.3. Varieties with prescribed convex Fujita numbers.

Definition 1.4 (Fujita simple and Fujita extreme varieties). We say that a smooth projective variety X is

- *Fujita simple* if $\text{Fu}(X) = 0$, and
- *Fujita extreme* provided $\text{Fu}(X) \geq \dim(X) + 1$.

Example 1.5. For $X = \mathbb{P}^n$ all ample line bundles are isomorphic to $\mathcal{O}(a)$ for some $a > 0$. It follows at once that $\text{Fu}(\mathbb{P}^n) = n + 1$, so Fujita extreme varieties exist in all dimensions.

Example 1.6. Riemann-Roch implies that all smooth projective curves C have $\text{Fu}(C) = 2$ regardless of the genus of C . The line bundles \mathcal{L} on C such that $\omega_C \otimes \mathcal{L}$ is not globally generated are precisely the line bundles $\mathcal{L} = \mathcal{O}_C(P)$ for an arbitrary point $P \in C$.

Remark 1.7. The canonical bundle of a Fujita simple variety is by definition globally generated and thus nef. In particular, Fujita simple varieties are minimal.

Example 1.8. It's a classical theorem of Lefschetz that on an abelian variety A already $\mathcal{L}^{\otimes 2}$ is globally generated for any ample line bundle \mathcal{L} . The proof of Lefschetz's theorem generalizes to estimate the convex Fujita number of abelian varieties by $\text{Fu}(A) \leq 2$. This was proved in [BS96, Theorem 1.1]. It is based on an application of the theorem of the square

$$\mathcal{L}^{\otimes 2} \simeq t_x^* \mathcal{L} \otimes t_{-x}^* \mathcal{L},$$

Kodaira vanishing and Riemann-Roch $h^0(A, \mathcal{L}) = \chi(A, \mathcal{L}) = \frac{1}{g!}(\mathcal{L}^g) > 0$ (applied to the translates $t_x^* \mathcal{L}$ and $t_{-x}^* \mathcal{L}$ instead of \mathcal{L}), and the product map

$$\bigoplus_{x \in A} H^0(A, t_x^* \mathcal{L}) \otimes H^0(A, t_{-x}^* \mathcal{L}) \rightarrow H^0(A, \mathcal{L}^{\otimes 2}).$$

We recall that a group π is called **projective** if it is isomorphic to the (topological) fundamental group $\pi_1(X)$ of a smooth projective variety X .

Theorem A (see Proposition 4.1 and Proposition 4.7). *Let π be a projective group, and let $n \geq 2$ be an integer. There is a Fujita simple smooth connected projective variety X of dimension n with*

- (i) X is of general type, and
- (ii) $\pi_1(X)$ is isomorphic to π .

The varieties X that prove Theorem A are constructed in two ways. The first method starts with the surface case $n = 2$ (dealt with in Proposition 4.1) and then takes the product of a Fujita simple surface and a simply connected Fujita simple variety, more precisely a hypersurface in \mathbb{P}^{n-1} of degree at least $n + 1$. This covers dimensions $n \geq 4$.

The second method covers $n \geq 3$ and constructs X as a cyclic cover $f : X \rightarrow Y$ that totally ramifies along a smooth ample divisor, such that the degree $d = \deg(f)$ is sufficiently large. The variety Y is a complete intersection of the correct dimension n in $S \times \mathbb{P}^n$ with a smooth projective variety S that has the correct fundamental group $\pi_1(S) = \pi$. Such an S exists because π is assumed to be a projective group.

More precisely, analyzing complete intersections in \mathbb{P}^N leads to examples for $n \geq 3$ and for $(n, k) = (2, 0)$ of the following theorem. The remaining examples in dimension $n = 2$ are obtained as the blow-up of \mathbb{P}^2 in $3 - k$ points.

Theorem B (see Theorem 3.3). *Let $n \geq 2$ be an integer, and let $0 \leq k \leq n + 1$. There is a simply connected smooth connected projective variety X of dimension n with convex Fujita number $\text{Fu}(X) = k$. Moreover, if $k = 0$, then X can be chosen to be of general type.*

The behavior of convex Fujita numbers in products is mysterious in general, but easy in the following special case. It will be applied in the case of a simply connected variety Y .

Proposition C (see Corollary 2.8). *Let X be a smooth projective variety, and let Y be a smooth projective variety with $H^1(Y, \mathcal{O}_Y) = 0$. Then*

$$\text{Fu}(X \times Y) = \max\{\text{Fu}(X), \text{Fu}(Y)\}.$$

The Künneth formula for π_1 , Proposition C and the case $k = 0$ of Theorem B reduce Theorem A to the case of dimension $n = 2$ and 3. Even more follows by combining of Theorem A with the full version of Theorem B.

Our next result indicates that the topological invariant $\pi_1(X)$ alone is not sufficient to control positivity properties of adjoint line bundles.

Theorem D (see Theorem 4.9). *Let π be a projective group, and let $n \geq 2$ be an integer, and let $0 \leq k \leq n - 1$. There is a smooth connected projective variety X of dimension n with the following properties:*

- (i) $\pi_1(X)$ is isomorphic to π , and
- (ii) $\text{Fu}(X) = k$.

The surface with prescribed fundamental group and convex Fujita number 1 is obtained as the blow up in one point of a carefully chosen surface with the same fundamental group and Fujita number 0. The threefold with convex Fujita number $\text{Fu}(X) = 2$ arises as $X = S \times \mathbb{P}^1$ with a surface S with the same fundamental group and convex Fujita number $\text{Fu}(S) \leq 2$. The threefold X' with convex Fujita number $\text{Fu}(X') = 1$ arises as a branched double of the threefold X with $\text{Fu}(X) \leq 2$.

Theorem D has the following obvious corollary.

Corollary E. *The precise value of the Fujita number $\text{Fu}(X)$ is not determined by the fundamental group $\pi_1(X)$ alone.*

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2. PRELIMINARIES ON CONVEX FUJITA NUMBERS

2.1. Finiteness. We first show that convex Fujita numbers are finite. We start with surfaces, 3-folds and toric varieties in order to review that the typical techniques in the Fujita freeness conjecture specific to these dimensions also bound the convex Fujita number.

Proposition 2.1. *Let X be a smooth projective surface.*

- (1) *The convex Fujita number of X is bounded by $\text{Fu}(X) \leq 3$.*
- (2) *If $\text{Fu}(X) = 3$, then there exist an ample divisor L on X with $(L^2) = 1$.*
- (3) *If the intersection pairing on the Néron-Severi lattice $\text{NS}(X)$ is even, then $\text{Fu}(X) \leq 2$.*
- (4) *If the canonical divisor is numerically equal to $2D$ with $D \in \text{Div}(X)$, then $\text{Fu}(X) \leq 2$.*

Proof. By the Riemann-Roch formula, the assumption in (4) implies the assumption in (3) and clearly thus both then follow from (1) and (2).

Let us prove (1). We have to show a bound on m such that for ample divisor L_1, \dots, L_m the adjoint divisor $K_X + L$ with $L = L_1 + \dots + L_m$ is globally generated. If $m \geq 3$, then $(L^2) \geq 9$ and by [Rei88, Theorem 1 (i)] base points can only occur if there is an effective divisor C such that $(C^2) \leq 0$ and $(L \cdot C) - (C^2) = 1$. But $(L \cdot C) \geq m$ since the L_i are ample, contradiction.

For (2) we must have a base point of $K_X + L$ when $m = 2$. Reider's method as in the proof of (1) still works unless $(L^2) \leq 4$, which implies $(L_i \cdot L_j) = 1$ for all i, j . This shows (2). \square

The following consequence of Reider's method will be used in our proof of Theorem A.

Proposition 2.2. *Let X be a smooth projective surface. If the intersection pairing on the Néron-Severi lattice $\text{NS}(X)$ takes values in $d\mathbb{Z}$ for some $d \geq 5$, then the convex Fujita number of X is bounded by $\text{Fu}(X) \leq 1$.*

Proof. We must show that for any ample divisor L on X the adjoint divisor $K_X + L$ is globally generated. Since by assumption $(L^2) \geq d \geq 5$, we can apply [Rei88, Theorem 1 (i)] and must exclude the exceptional case: there is an effective divisor C such that $(C^2) \leq 0$ and $(L \cdot C) - (C^2) = 1$. But by assumption d divides $(L \cdot C) - (C^2)$, so we are done. \square

Proposition 2.3. *Let X be a smooth projective threefold. Then the convex Fujita number is bounded by $\text{Fu}(X) \leq 4$.*

Proof. Let \mathcal{L} be a sum $L_1 + \dots + L_m$ of $m \geq 4$ ample divisors L_i on X . Then for all i, j, k and all surfaces S and all curves C in X we have

$$(L_i \cdot L_j \cdot L_k) \geq 1, \quad (L_i \cdot L_j \cdot S) \geq 1, \quad (L_i \cdot C) \geq 1,$$

so that

$$(L^3) \geq m^3 > 27, \quad (L^2 \cdot S) \geq m^2 \geq 9, \quad (L \cdot C) \geq m \geq 3.$$

The estimate $\text{Fu}(X) \leq 4$ now follows from [Hel97, Theorem 5.2]. \square

Fujita's freeness conjecture has been proved for toric varieties in [Lat96, Theorem 0.3]. The bound for the convex Fujita number of toric varieties has also been established.

Proposition 2.4. *For a toric variety X the convex Fujita number is bounded by*

$$\text{Fu}(X) \leq \dim(X) + 1.$$

Equality holds only for $X = \mathbb{P}^n$.

Proof. In view of $\omega_X = \mathcal{O}_X(-\sum_i D_i)$ with D_i being the torus invariant prime divisors, the result follows at once from [Mus02, Theorem 0.3]¹. \square

An explicit finiteness statement for the convex Fujita number follows from work of Angehrn and Siu. It is noteworthy that the bound is uniform in the dimension.

Proposition 2.5. *For a smooth projective variety of dimension n the convex Fujita number is bounded as*

$$\text{Fu}(X) \leq \frac{1}{2}(n^2 + n + 2).$$

Proof. Let $L = L_1 + \dots + L_m$ be a sum of $m \geq \frac{1}{2}(n^2 + n + 2)$ ample divisors on X . For any irreducible cycle W on X of dimension d and any map $\sigma : \{1, \dots, d\} \rightarrow \{1, \dots, m\}$ we thus find

$$(L_{\sigma(1)} \cdot \dots \cdot L_{\sigma(d)} \cdot W) \geq 1,$$

so that $(L^d \cdot W) \geq m^d$. It follows that the criterion of [AS95, Theorem 0.1] for global generation of $K_X + L$ is satisfied. \square

2.2. Fujita numbers of products. Let X and Y be smooth projective varieties. For ample line bundles \mathcal{L} on X and \mathcal{M} on Y the line bundle $\mathcal{L} \boxtimes \mathcal{M}$ on $X \times Y$ is ample. Let \mathcal{L}_i (resp. \mathcal{M}_i) be ample line bundles on X (resp. on Y) for $i = 1, \dots, m$. If the line bundle

$$\omega_{X \times Y} \otimes \bigotimes_{i=1}^m (\mathcal{L}_i \boxtimes \mathcal{M}_i) = (\omega_X \otimes \bigotimes_{i=1}^m \mathcal{L}_i) \boxtimes (\omega_Y \otimes \bigotimes_{i=1}^m \mathcal{M}_i) \quad (2.1)$$

is globally generated, then also its restriction $\omega_X \otimes \bigotimes_{i=1}^m \mathcal{L}_i$ (resp. $\omega_Y \otimes \bigotimes_{i=1}^m \mathcal{M}_i$) to the fiber of the projection $X \times Y \rightarrow X$ (resp. $X \times Y \rightarrow Y$) is globally generated. This immediately shows the following lemma.

¹Mustață describes this theorem as a strong version of Fujita's freeness conjecture. The notion of convex Fujita number provides a conceptual framework for this kind of strengthening of Fujita's conjecture. The result can also be extracted from [Lat96], but the chosen reference is more direct.

Lemma 2.6. *Let X and Y be smooth projective varieties. Then*

$$\mathrm{Fu}(X \times Y) \geq \max\{\mathrm{Fu}(X), \mathrm{Fu}(Y)\}.$$

The estimate can be improved to an equality in some favorable cases.

Proposition 2.7. *Let X and Y be smooth projective varieties such that the abelian varieties Pic_X^0 and Pic_Y^0 have no common nontrivial isogeny factor. Then the following holds.*

- (1) $\mathrm{Pic}(X \times Y) = \mathrm{Pic}(X) \times \mathrm{Pic}(Y)$, and
(2) $\mathrm{Fu}(X \times Y) = \max\{\mathrm{Fu}(X), \mathrm{Fu}(Y)\}$.

Proof. We first show that (1) implies (2). The product decomposition for $\mathrm{Pic}(-)$ is natural by restriction to fibers, hence also the nef cones and its interior, the ample cone, are products of the respective cones of the factors. Now arguing as in the proof of Lemma 2.6, it remains to see that $\omega_{X \times Y} \otimes \bigotimes_{i=1}^m (\mathcal{L}_i \boxtimes \mathcal{M}_i)$ is globally generated if the restrictions to the fibers of both projections are globally generated. This follows at once by the Künneth formula applied to (2.1).

For (1) we analyze the Leray spectral sequence for \mathbb{G}_m along the projection $\mathrm{pr} : X \times Y \rightarrow X$. The low degree terms yield an exact sequence

$$0 \rightarrow \mathrm{Pic}(X) \xrightarrow{\mathrm{pr}^*} \mathrm{Pic}(X \times Y) \rightarrow \mathrm{H}^0(X, \mathbb{R}^1 \mathrm{pr}_* \mathbb{G}_m) \xrightarrow{d_2^{0,1}} \mathrm{H}^2(X, \mathbb{G}_m) \xrightarrow{\mathrm{pr}^*} \mathrm{H}^2(X \times Y, \mathbb{G}_m).$$

Since the choice of a point $y \in Y$ and the map $i(x) = (x, y)$ splits the projection, the map pr^* admits a retraction. Hence the boundary map $d_2^{0,1}$ is the zero map and we have a short exact sequence

$$0 \rightarrow \mathrm{Pic}(X) \xrightarrow{\mathrm{pr}^*} \mathrm{Pic}(X \times Y) \rightarrow \mathrm{H}^0(X, \mathbb{R}^1 \mathrm{pr}_* \mathbb{G}_m) \rightarrow 0.$$

The sheaf $\mathbb{R}^1 \mathrm{pr}_* \mathbb{G}_m$ is represented by the Picard variety of Y , hence

$$\mathrm{H}^0(X, \mathbb{R}^1 \mathrm{pr}_* \mathbb{G}_m) = \mathrm{Hom}(X, \mathrm{Pic}_Y).$$

Now we fix a point $x \in X$. Evaluation in x and constant maps, as well as the Albanese property describe a canonical splitting

$$\mathrm{Hom}(X, \mathrm{Pic}_Y) = \mathrm{Pic}(Y) \times \mathrm{Hom}((X, x), (\mathrm{Pic}_X, 0)) = \mathrm{Pic}(Y) \times \mathrm{Hom}(\mathrm{Alb}_X, \mathrm{Pic}_Y^0).$$

Because Albanese and Pic^0 are dual abelian varieties and thus share the same isogeny factors, our assumption is precisely that the second factor vanishes. This proves (1). \square

We now prove Proposition C from the introduction.

Corollary 2.8. *Let X be a smooth projective variety, and let Y be a smooth projective variety with $\mathrm{H}^1(Y, \mathcal{O}_Y) = 0$. Then*

$$\mathrm{Fu}(X \times Y) = \max\{\mathrm{Fu}(X), \mathrm{Fu}(Y)\}.$$

Proof. Since $\mathrm{H}^1(Y, \mathcal{O}_Y) = 0$, we have that Pic_Y^0 is trivial, so Proposition 2.7 proves the claim. \square

3. SIMPLY CONNECTED VARIETIES

3.1. Complete intersections. In this section let X be a smooth complete intersection in \mathbb{P}^{n+r} of dimension $n \geq 2$ of hypersurfaces of degree d_i for $i = 1, \dots, r$.

Proposition 3.1. *If $n \geq 3$, then the complete intersection $X \hookrightarrow \mathbb{P}^{n+r}$ of multidegree (d_1, \dots, d_r) has convex Fujita number*

$$\mathrm{Fu}(X) = \max \left\{ 0, (n+r+1) - \sum_{i=1}^r d_i \right\}.$$

Proof. By the Lefschetz hyperplane theorem for $\mathrm{Pic}(-)$, see [SGA 2, Exp. XII Cor. 3.6], the group $\mathrm{Pic}(X)$ is generated by $\mathcal{O}(1)|_X$. The line bundle $\mathcal{L} = \mathcal{O}(a)|_X$ is ample if and only if $a \geq 1$, and it is globally generated if and only if $a \geq 0$.

The adjoint bundle $\omega_X \otimes \mathcal{L}$ for a product $\mathcal{L} = \mathcal{L}_1 \otimes \dots \otimes \mathcal{L}_m$ of ample line bundles $\mathcal{L}_i = \mathcal{O}(a_i)|_X$ is by adjunction

$$\omega_X \otimes \mathcal{L} = \mathcal{O}\left(- (n + r + 1) + \sum_{i=1}^m a_i + \sum_{i=1}^r d_i\right)$$

For a fixed m all possible $a_i \geq 1$ lead to globally generated adjoint bundles if and only if the special case $a_i = 1$ for all $i = 1, \dots, m$ leads to a globally generated line bundle, equivalently if

$$m \geq (n + r + 1) - \sum_{i=1}^r d_i.$$

This translates into the claimed formula for $\text{Fu}(X)$. \square

3.2. Simply connected surfaces. While complete intersections can treat dimension ≥ 3 , for surfaces we argue with explicit examples.

Proposition 3.2. *Let $0 \leq k \leq 3$ be an integer. Then there is a simply connected smooth projective surface X with convex Fujita number k .*

- (1) *More concretely, for $1 \leq k \leq 3$ the blow up of \mathbb{P}^2 in $3 - k$ points has $\text{Fu}(X) = k$.*
- (2) *A very general hypersurface X in \mathbb{P}^3 of degree $d \geq 5$ is simply connected, has convex Fujita number $\text{Fu}(X) = 0$ and is of general type.*

Proof. (1) These blow up surfaces are simply connected by birational invariance of π_1 . For $k = 3$ we deal with $X = \mathbb{P}^2$ that has $\text{Fu}(\mathbb{P}^2) = 3$ because of the ample line bundle $\mathcal{O}(1)$.

For $k = 2$ we consider the blow up $X \rightarrow \mathbb{P}^2$ in one point. This is the Hirzebruch surface $\mathbb{P}(\mathcal{O} \oplus \mathcal{O}(-1))$, and $\text{Pic}(X)$ is generated by the class of a fiber F and the class of a section S with self intersection $(S^2) = -1$. A divisor $L = aS + bF$ is nef if and only if $b \geq a \geq 0$. Consequently, a divisor $L = aS + bF$ is ample, i.e. in the interior of the nef cone, if and only if $b > a > 0$. Moreover, as Hirzebruch surfaces are toric varieties, $aS + bF$ is globally generated if and only if it is nef, see [Mus02, Theorem 3.1]. The canonical class is $K = -2S - 3F$. For ample divisors $L_i = a_iS + b_iF$, $i = 1, \dots, m$, we find that

$$K + \sum_{i=1}^m L_i = (-2 + \sum_{i=1}^m a_i)S + (-3 + \sum_{i=1}^m b_i)F.$$

For $L_i = S + 2F$ this becomes $(m - 2)S + (2m - 3)F$, and that is nef, hence globally generated, if and only if $m \geq 2$. When $m \geq 2$, for general ample divisor L_i , then

$$-3 + \sum_{i=1}^m b_i \geq -3 + \sum_{i=1}^m (a_i + 1) > -2 + \sum_{i=1}^m a_i \geq 0,$$

and so the corresponding adjoint divisor is globally generated. This shows that $\text{Fu}(X) = 2$.

For $k = 1$ we consider the blow up $X \rightarrow \mathbb{P}^2$ in two points. This is a del Pezzo surface of degree 7, and $\text{Pic}(X)$ is generated by the pullback H of the line and the two exceptional fibers E_1 and E_2 . A divisor $L = dH - a_1E_1 - a_2E_2$ is nef, if and only if

$$d \geq a_1 + a_2 \quad \text{and} \quad a_i \geq 0, \text{ for } i = 1, 2.$$

Consequently, L being ample means that all inequalities are strict. Since we may think of X as being the blow up in two torus invariant points, X is a toric variety and so again by [Mus02, Theorem 3.1] a divisor L is globally generated if and only if L is nef. The canonical divisor is $K = -3H + E_1 + E_2$ and not globally generated, hence $\text{Fu}(X) \geq 1$. For any ample divisor $L = dH - a_1E_1 - a_2E_2$, i.e. $d \geq a_1 + a_2 + 1$ and $a_i \geq 1$, the adjoint divisor

$$K + L = (d - 3)H - (a_1 - 1)E_1 - (a_2 - 1)E_2$$

has $(a_i - 1) \geq 0$ and

$$d - 3 \geq a_1 + a_2 + 1 - 3 = (a_1 - 1) + (a_2 - 1),$$

hence $K + L$ is globally generated. This shows that $\text{Fu}(X) = 1$.

(2) The hyperplane is simply connected due to Lefschetz hyperplane theorem for the fundamental group. By Noether-Lefschetz [Lef21] and degree $d \geq 4$ (see also [SGA 7II, Exp. XIX Théorème 1.2]), the Picard group $\text{Pic}(X)$ is generated by $\mathcal{O}(1)|_X$. This is the reason to restrict to very general hyperplanes. The convex Fujita number is then calculated as in the proof of Proposition 3.1. Since the degree is at least $d \geq 5$, the canonical bundle $\omega_X = \mathcal{O}(d-4)|_X$ is very ample. \square

3.3. Convex Fujita numbers for simply connected varieties. We now prove Theorem B.

Theorem 3.3. *Let $n \geq 2$ be an integer, and let $0 \leq k \leq n+1$. There is a simply connected smooth projective variety X of dimension n with convex Fujita number $\text{Fu}(X) = k$. Moreover, if $k = 0$, then X can be chosen to be of general type.*

Proof. We remark first that n -dimensional complete intersections in \mathbb{P}^{n+r} are simply connected by the Lefschetz hyperplane theorem as long as $n \geq 2$. For $n \geq 3$ thus the proof reduces to Proposition 3.1, because all values of k can be obtained, e.g. by $r = 1$ and $d_1 = n + 2 - k$. If $k = 0$, then we choose $r = 1$ and $d_1 > n + 2$ which forces ω_X to be very ample and X to be of general type.

The case $n = 2$ is nothing but Proposition 3.2. \square

4. VARIETIES WITH PRESCRIBED FUNDAMENTAL GROUP

In this section we construct smooth projective varieties with a given fundamental group and varying dimension and convex Fujita number.

4.1. Fujita simple surfaces with prescribed fundamental group. We now prove the surface case of Theorem A.

Proposition 4.1. *Let π be a projective group. Then there is a smooth projective surface X of general type with fundamental group isomorphic to π and convex Fujita number $\text{Fu}(X) = 0$.*

Proof. Let Y be a smooth projective variety with $\pi_1(Y) = \pi$. Upon replacing Y by its product with some projective space, we may assume $\dim(Y) \geq 3$. Passing to a smooth complete intersection of $\dim(Y) - 3$ very ample divisors if necessary, we assume going forward that $\dim(Y) = 3$.

Fixing an ample divisor H on Y and an integer $p \geq 5$ such that $K_Y + pH$ is ample and globally generated, we choose X to be a very general smooth hypersurface in the linear system $|pH|$. By the Lefschetz hyperplane theorem, $\pi_1(X)$ is isomorphic to π . By Noether-Lefschetz for arbitrary 3-folds, see [Jos95] and more effectively using global generation of $K_Y + pH$ by [RS09, Theorem 1], we have

$$\text{Pic}(X) = \text{Pic}(Y)$$

via restriction. This means that for all $\mathcal{L}_1, \mathcal{L}_2 \in \text{Pic}(X)$ there are extensions $\mathcal{M}_1, \mathcal{M}_2 \in \text{Pic}(Y)$ with $\mathcal{L}_i = \mathcal{M}_i|_X$ and thus

$$(\mathcal{L}_1 \cdot \mathcal{L}_2)_X = (\mathcal{M}_1 \cdot \mathcal{M}_2 \cdot \mathcal{O}_Y(pH))_Y \in p\mathbb{Z}$$

is divisible by p . This shows $\text{Fu}(X) \leq 1$ by Proposition 2.2.

By adjunction, the canonical bundle of X is $\omega_X = (\omega_Y \otimes \mathcal{O}_Y(pH))|_X$. Since $\omega_Y \otimes \mathcal{O}_Y(pH)$ is ample and globally generated, its restriction to X is also ample and globally generated, so $\text{Fu}(X) = 0$ as desired. \square

Theorem A now follows easily with the exception of dimension $n = 3$. Indeed, given a projective group π we first choose a Fujita simple surface S of general type with $\pi_1(S) = \pi$ as in Proposition 4.1. If $n = 2$ we are done. Otherwise by Theorem B, since we excluded $n = 3$, we have a complete intersection Y of general type and dimension $n - 2$ which is Fujita simple and simply connected. By Proposition C the variety $X = S \times Y$ is Fujita simple, it is of general type and dimension n , and with $\pi_1(X) = \pi$ by the Künneth formula for π_1 . This constructs the variety asked for in Theorem A.

4.2. Totally branched cyclic covers. It remains to construct a threefold that satisfies the needs of Theorem A. Since the construction is not particularly different in all dimensions $n \geq 3$, we do not specialize in threefolds now. We dealt with surfaces first in Proposition 4.1 because we wanted to highlight how far one gets by only invoking Reider's method instead of the analytic methods of Angehrn-Siu [AS95].

Remark 4.2. We first recall the construction of the cyclic and totally branched covering $X \rightarrow Y$ of a smooth projective variety Y with respect to a line bundle $\mathcal{L} \in \text{Pic}(Y)$, a degree $d \geq 1$, and a smooth divisor B (branch locus) in the linear system associated to $\mathcal{L}^{\otimes d}$, more precisely to an isomorphism

$$s : \mathcal{L}^{\otimes d} \xrightarrow{\sim} \mathcal{O}_Y(B).$$

The μ_d -torsor $X \rightarrow Y$ is constructed as the relative spectrum

$$f : X = \text{Spec}_Y(\text{Sym}^\bullet(\mathcal{L}^{-1})/\mathcal{L}^{\otimes -d} = \mathcal{O}_Y(-B)) \rightarrow Y.$$

which, locally with an equation $\{s = 0\}$ for the Cartier divisor B , solves the equation $t^d = s$ inside the line bundle \mathcal{L} globally. The variety X is again smooth projective by Abhyankar's lemma, and also $R = f^{-1}(B)_{\text{red}}$ (ramification locus) is smooth, in fact isomorphic to B . We have the well known relations

$$\begin{aligned} \mathcal{O}_X(R) &\simeq f^* \mathcal{L}, \\ f^* B &= d \cdot R, \\ \omega_X(R) &\simeq f^*(\omega_Y(B)). \end{aligned}$$

It follows that

$$\omega_X \simeq f^*(\omega_Y(B)) \otimes \mathcal{O}_X(-R) \simeq f^*(\omega_Y \otimes \mathcal{L}^{\otimes (d-1)}). \quad (4.1)$$

The next lemmas record the effect of various Lefschetz theorems applied to functors evaluated at the following diagram showing the cyclic totally branched covering constructed above.

$$\begin{array}{ccc} R & \hookrightarrow & X \\ \simeq \downarrow f|_R & & \downarrow f \\ B & \hookrightarrow & Y. \end{array} \quad (4.2)$$

Lemma 4.3. *Let $f : X \rightarrow Y$ be the branched μ_d -cover constructed above associated to \mathcal{L} and B . If \mathcal{L} is ample and the dimension $\dim(Y)$ is at least 3, then f induces an isomorphism*

$$f_* : \pi_1(X) \xrightarrow{\sim} \pi_1(Y).$$

Proof. Since \mathcal{L} is ample, both B in Y and R in X are ample. By the Lefschetz hyperplane theorem, the functor π_1 applied to diagram (4.2) has isomorphisms for all but the map induced by f . Hence also f_* is an isomorphism. \square

Remark 4.4. Lemma 4.3 also holds in case $\dim(Y) = 2$ and then with the weaker assumption that $B^2 > 0$. This is proven in [KK14, Proposition 1] based on a result by Nori.

Lemma 4.5. *Let $f : X \rightarrow Y$ be the branched μ_d -cover constructed above associated to \mathcal{L} and B . If \mathcal{L} is ample and the dimension $\dim(Y)$ is at least 4, then f induces an isomorphism*

$$f^* : \text{Pic}(Y) \xrightarrow{\sim} \text{Pic}(X).$$

Moreover, if $d \gg 0$ is sufficiently large and in addition B is chosen to be very general, then the same conclusion holds when $\dim(Y) \geq 3$.

Proof. Again, since \mathcal{L} is ample, both B in Y and R in X are ample.

Let first $\dim(Y)$ be at least 4. By the Lefschetz hyperplane theorem for $\text{Pic}(-)$, see [SGA 2, Exp. XII Cor. 3.6], the functor Pic applied to diagram (4.2) has isomorphisms for all but the map induced by f . Hence also f^* is an isomorphism.

For $\dim(Y) = 3$ and very general B we use again the Noether-Lefschetz theorem of [Jos95] to deduce that the restriction $\text{Pic}(Y) \rightarrow \text{Pic}(B)$ is an isomorphism. We cannot use the same

argument for the restriction $\text{Pic}(X) \rightarrow \text{Pic}(R)$, since we do not control whether R is very general in X , and most likely it is not. But by the Lefschetz hyperplane theorem for $\text{Pic}(-)$, see [SGA 2, Exp. XII Cor. 3.6], the restriction $\text{Pic}(X) \hookrightarrow \text{Pic}(R)$ is still injective, and that is sufficient to conclude as in the proof before when $\dim(Y) \geq 4$. \square

Proposition 4.6. *Let $f : X \rightarrow Y$ be the branched μ_d -cover constructed above associated to an ample line bundle \mathcal{L} and a smooth divisor B . We assume that the dimension $\dim(Y)$ is at least 3, where if $\dim(Y) = 3$ we ask $d \gg 0$ to be large and B to be very general. Then the convex Fujita number is bounded as follows:*

$$\text{Fu}(X) \leq \max\{0, \text{Fu}(Y) + 1 - d\}.$$

Moreover, if $d - 2 \geq \text{Fu}(Y)$, then ω_X is ample and globally generated.

Proof. Let $\mathcal{L}_1, \dots, \mathcal{L}_s$ be ample line bundles on Y . Due to Lemma 4.5 there are line bundles \mathcal{M}_i on X with $\mathcal{L}_i = f^* \mathcal{M}_i$. Moreover, as f is finite, the line bundles \mathcal{M}_i are also ample. Since

$$\omega_X \otimes (\mathcal{L}_1 \otimes \dots \otimes \mathcal{L}_s) \simeq f^*(\omega_Y \otimes \mathcal{L}^{\otimes(d-1)} \otimes \mathcal{M}_1 \otimes \dots \otimes \mathcal{M}_s),$$

and because being globally generated pulls back under morphisms, the left hand side is globally generated as soon as $s + d - 1 \geq \text{Fu}(Y)$. The estimate for $\text{Fu}(X)$ follows.

If $d - 2 \geq \text{Fu}(X)$, then $\omega_Y \otimes \mathcal{L}^{\otimes(d-2)}$ is globally generated. The tensor product of an ample line bundle \mathcal{L} with a globally generated line bundle $\omega_Y \otimes \mathcal{L}^{\otimes(d-2)}$ is again ample, hence ω_X is ample as the pull back of $\omega_Y \otimes \mathcal{L}^{\otimes(d-1)}$. \square

4.3. Fujita simple varieties with prescribed fundamental group and dimension. The proof of Theorem A begun in Proposition 4.1 will now be completed by the following proposition.

Proposition 4.7. *Let π be a projective group and $n \geq 3$. Then there is a smooth projective variety X of general type and dimension n with fundamental group isomorphic to π and convex Fujita number $\text{Fu}(X) = 0$.*

Proof. We can argue as in the proof of the surface case Proposition 4.1 that there is a smooth projective variety Y of general type with $\pi_1(Y) = \pi$ and dimension n . Now we choose an ample line bundle \mathcal{L} on Y .

By Proposition 2.5, the convex Fujita number $\text{Fu}(Y)$ is finite. We choose $d \geq \text{Fu}(Y) + 2$ large enough such that by Bertini we find a smooth divisor B in the linear system associated to $\mathcal{L}^{\otimes d}$. Let $f : X \rightarrow Y$ be the branched μ_d -cover constructed above associated to \mathcal{L} and B . If $\dim(Y) = 3$ we moreover ask $d \gg 0$ to be sufficiently large and B very general, so that the conclusion of Lemma 4.5 holds. Then Proposition 4.6 shows that X is Fujita simple and of general type. \square

In a certain range we may improve on Theorem A by even imposing the value of the Kodaira dimension.

Theorem 4.8. *Let π be a projective group, let $n \geq 4$ and let $n - 2 \geq m \geq 2$. Then there is a smooth projective variety X of Kodaira dimension m and dimension n with fundamental group isomorphic to π and convex Fujita number $\text{Fu}(X) = 0$.*

Proof. We construct X as a product $X = Y \times Z$. Theorem A provides a smooth projective Y of general type and dimension m with $\pi_1(Y)$ isomorphic to π and $\text{Fu}(Y) = 0$.

The factor Z is obtained as a smooth hypersurface $Z \hookrightarrow \mathbb{P}^{n+1-m}$ of degree $n + 2 - m$ that, moreover, we require to be very general if $n - m = 2$. If $\dim(Z) = 2$, then Z is a very general smooth quartic in \mathbb{P}^3 , hence a K3 surface with Picard group generated by $\mathcal{O}(1)|_Z$. The argument of Proposition 3.1 applies to show $\text{Fu}(Z) = 0$. If $\dim(Z) \geq 3$, then Proposition 3.1 applies directly to show $\text{Fu}(Z) = 0$, too.

By the Lefschetz hyperplane theorem for the fundamental group we have $\pi_1(Z) = 0$ so that $\pi_1(X)$ is isomorphic to $\pi_1(Y) \simeq \pi$. Being simply connected, Z also has vanishing $H^1(Z, \mathcal{O}_Z)$ and so Corollary 2.8 yields $\text{Fu}(X) = \max\{\text{Fu}(Y), \text{Fu}(Z)\} = 0$.

As Z has trivial canonical bundle, we have $\omega_X = \text{pr}^* \omega_Y$ with $\text{pr} : X = Y \times Z \rightarrow Y$ the projection map. Therefore X and Y have the same Kodaira dimension, namely m by construction. \square

4.4. Convex Fujita numbers with prescribed fundamental group and dimension. We now aim to prove Theorem D of the introduction.

Theorem 4.9. *Let π be a projective group, and let $n \geq 2$ be an integer, and let $0 \leq k \leq n - 1$. There is a smooth connected projective variety X of dimension n with the following properties:*

- (i) $\pi_1(X)$ is isomorphic to π , and
- (ii) $\text{Fu}(X) = k$.

Proof. The case $k = 0$ is the content of Theorem A, so that case is done. Let S be such a Fujita simple surface with $\pi_1(S) = \pi$.

Let first n be at least 4. If $0 \leq k \leq n - 1$, then there exists a simply connected variety Y of dimension $n - 2 \geq 2$ and convex Fujita number k by Theorem B. By the Künneth formula the product $X = S \times Y$ has $\pi_1(X) = \pi_1(S) = \pi$, and, since $H^1(Y, \mathcal{O}_Y) = 0$ for the simply connected variety Y , we have from Corollary 2.8 that $\text{Fu}(X) = \max\{0, k\} = k$.

If $n = 3$ and $k = 2$, then we can take $Y = \mathbb{P}^1$ with $\text{Fu}(Y) = 2$ and again conclude that $X = S \times Y$ has the required fundamental group and convex Fujita number 2.

The only cases missing now are the case $(n, k) = (2, 1)$ and $(3, 1)$ which we deal with separately in Proposition 4.10 and Proposition 4.11 below. \square

Proposition 4.10. *Let π be a projective group. There exists a smooth projective surface S such that the blow-up $S' \rightarrow S$ in a point yields a smooth projective surface with $\text{Fu}(S') = 1$ and $\pi_1(S') = \pi$.*

Proof. We can argue as in the proof of Proposition 4.1 that there is a smooth projective variety Y of general type with $\pi_1(Y) = \pi$ and dimension 3. Now we choose a very ample line bundle $\mathcal{O}(1)$ on Y and choose S a very general smooth divisor in the linear system of $\mathcal{O}(24)$ by Bertini's theorem. Upon replacing $\mathcal{O}(1)$ by a multiple initially, we may assume by Noether-Lefschetz, see [Jos95], that $\text{Pic}(S) = \text{Pic}(Y)$. The choice of the power 24 then forces all intersection numbers of line bundles on S to be divisible by 24.

By the Lefschetz hyperplane theorem and the birational invariance of the fundamental group the blow up $\sigma : S' \rightarrow S$ in a choice of a point P on S is a smooth projective surface S' with

$$\pi_1(S') = \pi_1(S) = \pi_1(Y) = \pi.$$

Since S' is not minimal the canonical divisor $K_{S'}$ is not nef and a fortiori not globally generated. Thus $\text{Fu}(S') \geq 1$. It remains to show that for all ample divisors L on S' the adjoint divisor $K_{S'} + L$ is globally generated.

Since $\text{Pic}(S')$ equals $\text{Pic}(S) \oplus \mathbb{Z}$, with the summand \mathbb{Z} spanned by the exceptional divisor $E = \sigma^{-1}(P)$, we find that $L = \sigma^*M - aE$ for some divisor M on S and $a \in \mathbb{Z}$. We compute

$$(L^2) = (M^2) - a^2 > 0,$$

so modulo 24 it's the negative of a square. Squares modulo 24 are 0, 1, 4, 9, 12, 16, hence

$$(L^2) \geq 8.$$

Reider's theorem [Rei88, Theorem 1 (i)] implies that $K_{S'} + L$ is globally generated unless we are in the exceptional case: there is an effective divisor C' on S' such that $(C'^2) = 0$ and $(L \cdot C') = 1$. Since L is ample and C' is effective, it follows from $(L \cdot C') = 1$ that C' must be irreducible and reduced. As $(E^2) = -1$ we can exclude $C' = E$. Hence C' is the strict transform of an irreducible and reduced curve C on S . Let m_C be the multiplicity of C in P . Then

$$0 = (C'^2) = (C^2) - m_C^2 \equiv -m_C^2 \pmod{24}.$$

It follows that 12 divides m_C . On the other hand, we have $(M \cdot C) \equiv 0 \pmod{24}$ and so

$$1 = (L \cdot C') = (M \cdot C) - am_C \equiv 0 \pmod{12},$$

a contradiction. \square

Proposition 4.11. *Let π be a projective group, and let S be a smooth projective surface with $\text{Fu}(S) \leq 2$. Let \mathcal{M} be a very ample line bundle on S , and set $\mathcal{L} = \mathcal{M} \boxtimes \mathcal{O}(1)$ on $Y = S \times \mathbb{P}^1$. Then the double cover $f : X \rightarrow Y$ branched in a very general smooth divisor B of the linear system associated to $\mathcal{L}^{\otimes 2}$ is a smooth projective threefold with $\text{Fu}(X) = 1$ and $\pi_1(X) = \pi$.*

Proof. Let $\text{pr} : Y \rightarrow S$ be the projection map. Since $\text{Fu}(S) \leq 2$, the line bundle

$$\omega_Y(B) = (\omega_S \otimes \mathcal{M}^{\otimes 2}) \boxtimes (\omega_{\mathbb{P}^1}(2)) = \text{pr}^*(\omega_S \otimes \mathcal{M}^{\otimes 2})$$

is globally generated. Therefore the effective version of the Noether-Lefschetz theorem proved in [RS09, Theorem 1] applied to $\mathcal{O}_Y(1) := \mathcal{L}^{\otimes 2}$ shows that the restriction map

$$\text{Pic}(Y) \xrightarrow{\sim} \text{Pic}(B)$$

is an isomorphism. This suffices to show as in Lemma 4.5 that

$$f^* : \text{Pic}(Y) \rightarrow \text{Pic}(X)$$

is an isomorphism. By Proposition C we have $\text{Fu}(Y) = 2$, and by Proposition 4.6 we have $\text{Fu}(X) \leq 1$. It remains to show that ω_X is not globally generated. We compute its global sections using $f_*\mathcal{O}_X = \mathcal{O}_Y \oplus \mathcal{L}^{-1}$ as

$$H^0(X, \omega_X) = H^0(X, f^*(\omega_Y \otimes \mathcal{L})) = H^0(Y, \omega_Y \otimes \mathcal{L}) \oplus H^0(Y, \omega_Y).$$

Furthermore, by the Künneth formula and the product structure of Y and $\omega_Y \otimes \mathcal{L}$

$$H^0(Y, \omega_Y \otimes \mathcal{L}) = H^0(S, \omega_S \otimes \mathcal{M}) \otimes H^0(\mathbb{P}^1, \mathcal{O}(-1)) = 0,$$

$$H^0(Y, \omega_Y) = H^0(S, \omega_S) \otimes H^0(\mathbb{P}^1, \mathcal{O}(-2)) = 0,$$

both vanish. Hence ω_X has no global sections and the proof is complete. \square

Remark 4.12. A result similar in nature to Theorem 4.9 can be found in [Deb05, Proposition 26]. Based on earlier ideas and results due to Bogomolov, Debarre proves that for every projective group π there is a smooth projective surface with ample cotangent bundle and fundamental group isomorphic to π .

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